# **Vector Semantics**

• Word2vec

# **TF-IDF and PPMI Vectors**

- **TF-IDF and PPMI vectors are** 
  - long vectors with dimensions corresponding to the words in the vocabulary, i.e. their lengths are |V| which is the number of words in the language and |V| can be around 50,000.
  - sparse, i.e most of the elements in those vectors are zero.
- In most of NLP tasks, **dense vectors** work better than **sparse vectors**.
- Dense vectors are short, and their lengths are between 50 and 1000.
  They are dense, i.e. *their most-elements are non-zero*.

# **Sparse versus Dense Vectors**

#### Why dense vectors?

- Short vectors may be easier to use as **features** in machine learning (less weights to tune).
- Dense vectors may generalize better than storing explicit counts.
- They may do better at **capturing synonymy**:
  - *car* and *automobile* are synonyms; but they are distinct dimensions
  - a neighboring word with *car* and a neighboring word with **automobile** should be similar, but they are not similar.
- In practice, dense vectors work better.

# Word2vec

- One of the most popular embedding method is **word2vec** (Mikolov et al. 2013).
- The **word2vec methods** are very fast, efficient to train and easily available online with code and pretrained embeddings.
  - Main dense vector idea: predict rather than count .
- Popular dense embeddings:
  - Word2vec (Mikolov et al.) <u>https://code.google.com/archive/p/word2vec/</u>
  - Fasttext <u>http://www.fasttext.cc/</u>
  - Glove (Manning et al.) <u>http://nlp.stanford.edu/projects/glove/</u>

# Word2vec

- The intuition of word2vec is:
  - Instead of **counting** how often each word *w* occurs near "*apricot*"
  - Train a classifier on a binary **prediction** task:
    - Is *w* likely to show up near "*apricot*"?
- We don't actually care about this task
  - But we'll take the **learned classifier weights as the word embeddings**.

#### **Brilliant insight:** Use running text (plain text) as implicitly supervised training data!

- A word **s** near apricot acts as gold 'correct answer' to the question "Is word w likely to show up near apricot?"
- No need for hand-labeled supervision.

# Word2vec: Skip-Gram Algorithm

The intuition of skip-gram is:

- **1.** Treat the target word and a neighboring context word as positive examples.
- 2. Randomly sample other words in the lexicon to get negative samples
- **3.** Use logistic regression to train a classifier to distinguish those two cases
- 4. Use the regression weights as the embeddings

# **Skip-Gram Training Data**

- Assume that **context words** are those in +/- 2 word window.
- A training sentence:

... lemon, a tablespoon of apricot jam a pinch ...

c1 c2 target c3 c4

# **Skip-Gram Goal**

- Our goal is to train a classifier such that,
  - Given a **tuple (t,c)** of a **target word t paired with a context word c** 
    - (apricot, jam)
    - (apricot, aardvark)
  - it will return the probability that c is a real context word (true for jam, false for aardvark):

P(+ | t,c)Probability that word c is not areal context word for tP(- | t,c) = 1 - P(+|t,c)Probability that word c is not a real context word for t

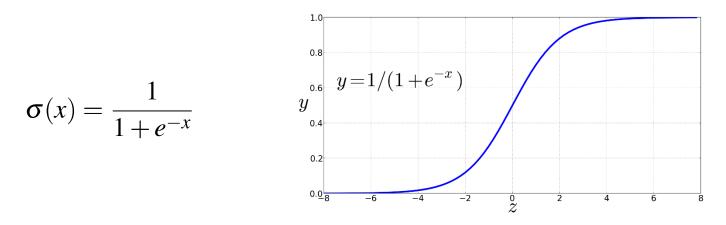
# How to Compute P(+|t,c)?

The **intuition of the skipgram model** is to base this probability on similarity:

- A word is likely to occur near the target if its embedding is similar to the target embedding.
- Two vectors are similar if they have a high dot product.
  - Similarity(t,c)  $\propto$  t  $\cdot$  c
  - The dot product **t.c** is not a probability, it's just a number ranging from 0 to  $\infty$ .

#### **How to Compute** P(+|t,c)? *Turning dot product into a probability*

• To turn **dot product** into a probability, we'll use **logistic** or **sigmoid function**  $\sigma(x)$ .



• The probability that word c is a real context word for target word t is:

$$P(+|t,c) = \frac{1}{1+e^{-t\cdot c}} \qquad P(-|t,c) = 1-P(+|t,c) \\ = \frac{e^{-t\cdot c}}{1+e^{-t\cdot c}}$$

# **How to Compute** P(+|t,c)? *For all the context words:*

- We need to take account of the multiple context words in the window.
- Skip-gram makes the strong but very useful simplifying assumption that all context words are independent, allowing us to just multiply their probabilities:

$$P(+|t,c_{1:k}) = \prod_{i=1}^{k} \frac{1}{1+e^{-t \cdot c_i}}$$
$$\log P(+|t,c_{1:k}) = \sum_{i=1}^{k} \log \frac{1}{1+e^{-t \cdot c_i}}$$

- Skip-gram trains a probabilistic classifier that, given a test target word t and its context window of k words  $c_{1:k}$ , assigns a probability based on how similar this context window is to the target word.
- The probability is based on applying the logistic (sigmoid) function to the dot product of the embeddings of the target word with each context word.

## Learning skip-gram embeddings Skip-Gram Training Data

• Training sentence:

... lemon, a tablespoon of apricot jam a pinch ...

c1 c2 t c3 c4

- Training data: pairs centering on apricot
- Asssume a +/- 2 word window is used.

## Learning skip-gram embeddings Skip-Gram Training

• Training sentence:

... lemon, a tablespoon of apricot jam a pinch ...

c1 c2 t c3 c4

#### positive examples +

t c apricot tablespoon apricot of apricot jam apricot a

- For each positive example, we'll create *k* **negative examples**.
- Using *noise* words
  - Noise word is any random word that isn't *target word t (apricot)*

### Learning skip-gram embeddings Skip-Gram Training

• Training sentence:

... lemon, a tablespoon of apricot jam a pinch ...

c1 c2 t c3 c4

**Create k (=2) negative examples.** 

positive examples +		negative examples -			
t	с	t	С	t	С
apricot	tablespoon	apricot	aardvark	apricot	twelve
apricot	of	apricot	puddle	apricot	hello
apricot	jam	apricot	where	apricot	dear
apricot	a	apricot	coaxial	apricot	forever

#### Learning skip-gram embeddings Choosing noise words

- Could pick w as a noise word according to their unigram frequency P(w)
- More common to chosen then according to  $P_{\alpha}(w)$

$$P_{\alpha}(w) = \frac{count(w)^{\alpha}}{\sum_{w} count(w)^{\alpha}}$$

- $\alpha = 0.75$  works well because it gives rare noise words slightly higher probability
- To show this, imagine two events P(a)=.99 and P(b)=.01:

$$P_{\alpha}(a) = \frac{.99^{.75}}{.99^{.75} + .01^{.75}} = .97$$
$$P_{\alpha}(b) = \frac{.01^{.75}}{.99^{.75} + .01^{.75}} = .03$$

## Learning skip-gram embeddings Setup

- Let's represent words as vectors of some length (say 300), randomly initialized.
- So, we start with 300\*V random parameters
- Over the entire training set, we'd like to adjust those word vectors such that we
  - Maximize the similarity of the target word, context word pairs (t,c) drawn from the positive data
  - Minimize the similarity of the (t,c) pairs drawn from the negative data.
- We'll start with 0 or random weights
- Then adjust the word weights to make the positive pairs more likely and the negative pairs less likely over the entire training set:

#### Learning skip-gram embeddings Objective Criteria

• We want to maximize

$$L(\theta) = \sum_{(t,c)\in +} \log P(+|t,c) + \sum_{(t,c)\in -} \log P(-|t,c)$$

• Maximize the + label for the pairs from the positive training data, and the – label for the pairs sample from the negative data.

### Learning skip-gram embeddings Objective Criteria

• Focusing in on one word/context pair (t,c) with its k noise words  $n_1...n_k$ , the learning objective L is:

$$\begin{aligned} d(\theta) &= \log P(+|t,c) + \sum_{i=1}^{k} \log P(-|t,n_i) \\ &= \log \sigma(c \cdot t) + \sum_{i=1}^{k} \log \sigma(-n_i \cdot t) \\ &= \log \frac{1}{1 + e^{-c \cdot t}} + \sum_{i=1}^{k} \log \frac{1}{1 + e^{n_i \cdot t}} \end{aligned}$$

L

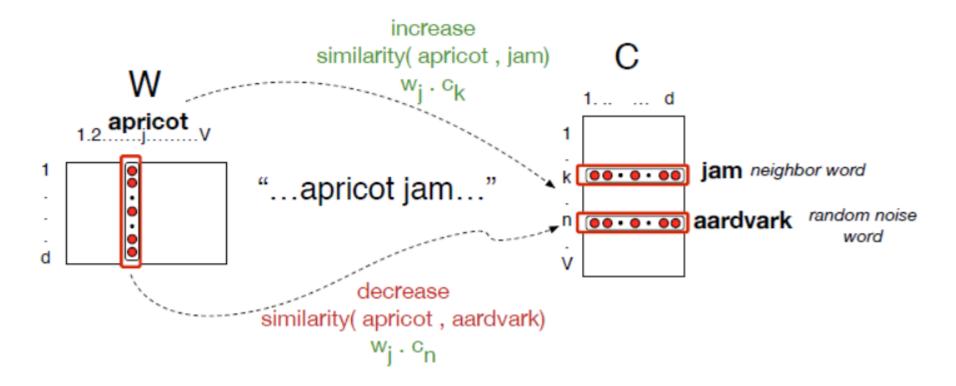
• That is, we want to maximize the dot product of the word with the actual context words, and minimize the dot products of the word with the k negative sampled non-neighbor words.

## Learning skip-gram embeddings Train using gradient descent

- We can then use stochastic gradient descent to train to this objective,
  - iteratively modifying the parameters (the embeddings for each target word t and each context word or noise word c in the vocabulary) to maximize the objective.
- Skip-gram model actually learns two separate embeddings for each word w:
  - target embedding t and
  - context embedding c.
- These embeddings are stored in two matrices,
  - target matrix W and
  - context matrix C.

## Learning skip-gram embeddings Train using gradient descent

• The skip-gram model tries to shift embeddings so the target embedding (**apricot**) are closer to (have a higher dot product with) context embeddings for nearby words (**jam**) and further from (have a lower dot product with) context embeddings for words that don't occur nearby (**aardvark**).



## Learning skip-gram embeddings Train using gradient descent

- Just as in logistic regression, the learning algorithm starts with randomly initialized W and C matrices, and then walks through the training corpus using gradient descent to update W and C so as to maximize the objective criteria.
- Thus matrices W and C function as the parameters  $\theta$  that logistic regression is tuning.
- Once embeddings are learned, we'll have two embeddings for each word  $w_i$ :  $t_i$  and  $c_i$ .
  - We can choose to throw away the C matrix and just keep W, in which case each word i will be represented by the vector t<sub>i</sub>.
  - Alternatively we can add the two embeddings together, using the summed embedding  $t_i + c_i$  as the new d-dimensional embedding

# **Evaluating Vector Models**

- Compare to human scores on word similarity-type tasks:
  - WordSim-353 (Finkelstein et al., 2002)
  - SimLex-999 (Hill et al., 2015)
  - Stanford Contextual Word Similarity (SCWS) dataset (Huang et al., 2012)
  - TOEFL dataset:
    - Levied is closest in meaning to: imposed, believed, requested, correlated

# Semantic properties of embeddings

#### Similarity depends on window size C

• One parameter that is relevant to both sparse tf-idf vectors and dense word2vec vectors is the **size of the context window** used to collect counts.

Short context windows  $\rightarrow$  most similar words to a target word w tend to be semantically similar words with same parts of speech.

Long context windows  $\rightarrow$  the highest cosine words to a target word w tend to be words that are topically related but not similar.

Example:

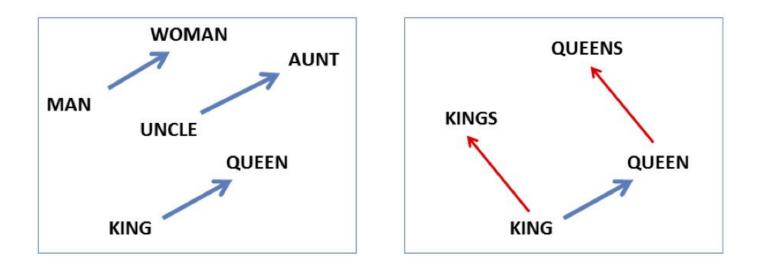
window of  $+/-2 \rightarrow$  the most similar words to the word Hogwarts (from the Harry Potter series) were names of other fictional schools: Sunnydale (from Buffy the Vampire Slayer) or Evernight (from a vampire series).

window of  $+/-5 \rightarrow$  the most similar words to **Hogwarts** were other words topically related to the Harry Potter series: **Dumbledore**, **Malfoy**, and **half-blood**.

# **Analogy: Embeddings capture relational meaning!**

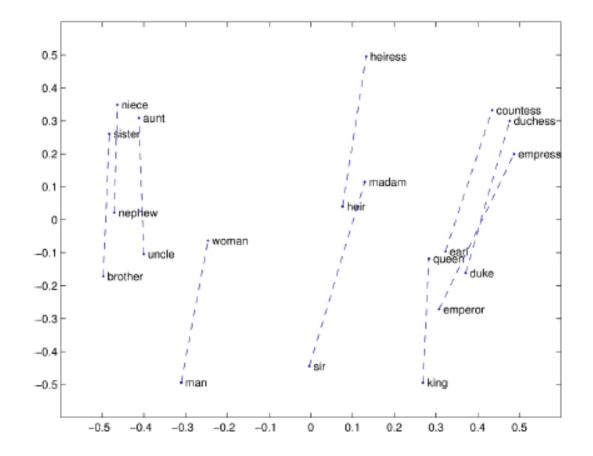
• Another semantic property of embeddings is their **ability to capture relational meanings.** 

vector('king') - vector('man') + vector('woman')  $\approx$  vector('queen') vector('Paris') - vector('France') + vector('Italy')  $\approx$  vector('Rome')



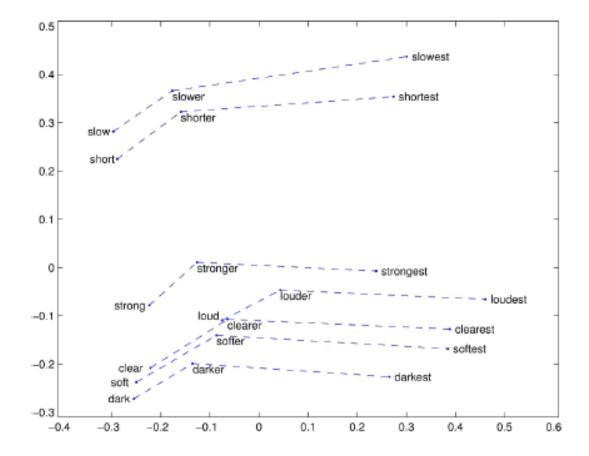
# **Analogy: Embeddings capture relational meaning!**

• Relational properties of the vector space, shown by projecting vectors onto two dimensions



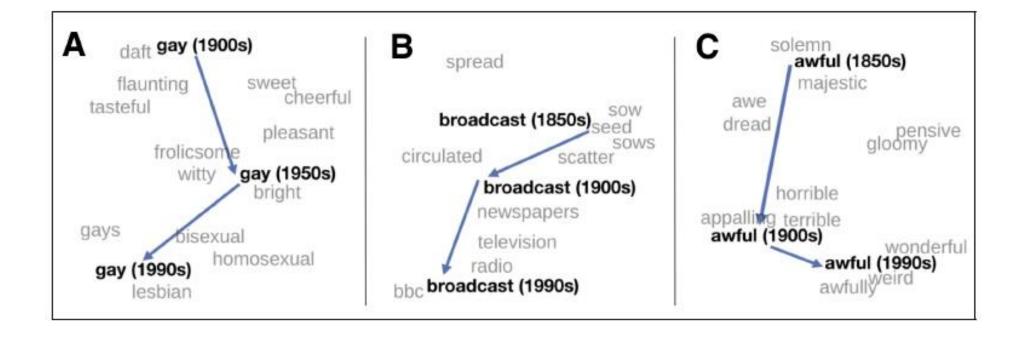
# **Analogy: Embeddings capture relational meaning!**

• offsets seem to capture comparative and superlative morphology



# **Embeddings and Historical Semantics**

• Train embeddings on old books to study changes in word meaning!!



# **Vector Semantics: Summary**

- In vector semantics, a word is modeled as a vector a point in high-dimensional space, also called an embedding.
- Vector semantic models fall into two classes: sparse and dense.

#### **Sparse Models:**

- In sparse models like tf-idf each dimension corresponds to a word in the vocabulary.
- Cell in sparse models are **functions of co-occurrence counts**.
- The **term document matrix** has rows for each word (**term**) in the vocabulary and a column for each document.
- The **word-context matrix** has a row for each (target) word in the vocabulary and a column for each context term in the vocabulary.
- A common sparse weighting is tf-idf, which weights each cell by its term frequency and inverse document frequency.
- Word and document similarity is computed by computing the dot product between vectors. The cosine of two vectors—a normalized dot product—is the most popular such metric.
- **PPMI (pointwise positive mutual information)** is an alternative weighting scheme to tf-idf.

# **Vector Semantics: Summary**

#### **Dense Models:**

- Dense vector models have dimensionality 50-300 and the dimensions are harder to interpret.
- The word2vec family of models, including skip-gram, is a popular efficient way to compute dense embeddings.
- **Skip-gram** trains a **logistic regression classifier** to compute the probability that two words are 'likely to occur nearby in text'.
  - This probability is computed from the dot product between the embeddings for the two words,
- Skip-gram uses **stochastic gradient descent to train the classifier**, by learning embeddings that have a high dot-product with embeddings of words that ocur nearby and a low dot-product with noise words.
- Other important embedding algorithms include **GloVe**, and **fasttext**.