# BIL694-Lecture 3: Connectivity 

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Resources for the presentation:<br>"Introduction to Graph Theory" by Douglas B. West

## Outline

(1) Cuts and Connectivity

2 $k$-connected Graphs

## Outline

(1) Cuts and Connectivity

## (2) k-connected Graphs

## Vertex-connectivity

A non-empty graph $G$ is called connected if any two of its vertices are connected by a path. Instead of saying a graph is not connected, we say a graph is disconnnected.
A vertex-set whose removal makes a connected $G$ disconnected, is called a cut-set (or separating set or vertex cut) of $G$.

For a set $S \subset V(G)$, the subgraph $G[S]$ is the subgraph of $G$ induced by $S$. In other words, the vertex set of the subgraph $G[S]$ is $S$ and each edge in $G[S]$ has both of its endvertices in $S$.

# Finding a connected subgraph of a certain order (number of vertices) 

Proposition: The vertices of a connected graph $G$ can always be enumerated, say $v_{1}, \ldots, v_{n}$ so that $G_{i}:=G\left[v_{1}, \ldots, v_{i}\right]$ is connected for every $i \leq n$.
Proof:

- Every connected graph $G$ has a spanning tree $T$.
- Pick a root in $T$ and call it $v_{1}$. Label the remaining vertices $v_{2}, \ldots, v_{n}$ starting from the first level of $T$ and continuing to the consecutive level once all vertices in a level are labelled.
- This enumeration of the vertices satisfy the condition we want.


## Vertex-connectivity

A maximal connected subgraph of a graph $G$ is called a component of $G$. A graph $G$ is $k$-connected if $G-X$ is connected for every set $X \subset V(G)$ with $|X|<k$.
The greatest integer $k$ such that $G$ is $k$-connected is called the connectivity of $G$, denoted by $\kappa(G)$.

Remark: $\kappa(G)=0$ if and only if $G$ is disconnected or a $K_{1}$. $\kappa\left(K_{n}\right)=n-1$ for all $n \geq 1$.

Proposition: If $G$ is nontrivial (not $K_{n}$ or $K_{1}$ ) connected graph, then $\kappa(G) \leq \delta(G)$.
Proof:

- The neighborhood of any vertex is a cut-set of $G$.
- Since there is a vertex $v$ with $\delta(G)$ neighbors, $N(v)$ is a cut-set of $G$.

Proposition: For any $k \geq 1, \kappa\left(Q_{k}\right)=k$.

## Proof:

- The neighborhood of every vertex is a cut-set. Therefore, $\kappa\left(Q_{k}\right) \leq k$.
- To show $\kappa\left(Q_{k}\right) \geq k$, use induction on $k$. Base step: For $k=1, Q_{1}=K_{2}$, thus $\kappa\left(Q_{1}\right)=1$, true for $k=1$.
- inductive step: By the induction hypothesis (I.H.), $\kappa\left(Q_{k-1}\right)=k-1$. Let $Q$ and $Q^{\prime}$ be two vertex-disjoint "mirror" copies of $Q_{k-1}$ and $S$ be a vertex cut of $Q_{k}$.
- We see that either $Q-S$ or $Q^{\prime}-S$ should be disconnected, otherwise $S$ must contain $2^{k-1}$ vertices (a vertex cover of the matching between $Q$ and $Q^{\prime}$.
- So, assume $Q-S$ is disconnected. Thus $S$ contains at least $k-1$ vertices in $Q$.
- $S$ must contain also a vertex in $Q^{\prime}$, otherwise all vertices in $Q$ and $Q^{\prime}$ are connected to each other. Thus $S$ contains at least $k$ vertices. Done.

An edge-set whose removal makes a connected $G$ disconnected, is called an edge cut (or a disconnecting set of edges) of $G$.

A graph $G$ with edge-set $E$ is called $\ell$-edge-connected if $G-F$ is connected for every set $F \subset E$ with fewer than $\ell$ edges.
Edge-connectivity of a graph $G$, denoted by $\kappa^{\prime}(G)$ or $\lambda(G)$, is the greatest integer $\ell$ such that $G$ is $\ell$-connected.
Note: If $G$ is disconnected, then $\kappa^{\prime}(G)=0$.
Proposition: If $G$ is not empty, then $\kappa(G) \leq \kappa^{\prime}(G) \leq \delta(G)$. Proof:

- The second inequality holds because removing all edges incident to a vertex disconnects a connected graph.
- To prove the first inequality, assume that $F$ is a minimal subset of the edge-set $E$ such that $G-F$ is disconnected. We will show that $\kappa(G) \leq|F|$.
- Let $T$ be a vertex set that contains exactly one endpoint of each edge in $F$. This set is a vertex-cut of $G$.


## Example for $\kappa(G)=\kappa^{\prime}(G)$ : 3-regular graphs

Proposition: If $G$ is a 3-regular graph, then $\kappa(G)=\kappa^{\prime}(G)$.
Proof:

- Let $S$ be a minimum vertex-cut. We only need to show that $\kappa(G) \geq \kappa^{\prime}(G)$, since the other direction of this inequality is known.
- Let $H_{1}$ and $H_{2}$ be two components of $G-S$. By the minimality of $S$, each vertex $v$ in $S$, has neighbors in $H_{1}$ and $H_{2}$.
- So, $v$ has exactly one neighbor in one of $H_{1}$ or $H_{2}$, say that single neighbor is $u \in H_{1}$. Add $u v$ to the edge-cut. Do that for all $v \in S$.
- The set of the edges $u v$ is an edge-cut. (if some vertex $v^{\prime}$ in $S$ has a neighbor in $S$, then add the edge from $v^{\prime}$ to $H_{1}$ for all such $v^{\prime}$.


## Corollary

For any vertex set $S \subset V(G),|[S, \bar{S}]|=\left[\sum_{v \in S} \operatorname{deg}(v)\right]-2 e(G[S])$. Moreover, for simple $G$, if $|[S, \bar{S}]|<\delta(G)$ for nonempty $S$, then $|S|>\delta(G)$.

## Outline

## (1) Cuts and Connectivity

(2) k-connected Graphs

## 2-connected Graphs

Two paths between vertices $u$ and $v$ are said to be internally disjoint if they only have the endvertices $u$ and $v$ in common.

## Theorem (Whitney, 1932)

A graph $G$ having at least three vertices is 2-connected if and only if for each pair $u, v \in V(G)$, there exist internally disjoint $u, v$-paths in $G$.

## Lemma (Expansion Lemma)

If $G$ is a $k$-connected graph, and $G^{\prime}$ is obtained from $G$ by adding a new vertex $y$ with at least $k$ neighbors in $G$, then $G^{\prime}$ is $k$-connected.

Proof:

- To prove this, one needs to show that any vertex cut $S$ in $G^{\prime}$ has at least $k$ vertices.
- If $y$ is in $S$, then $|S-y| \geq k$ and $|S| \geq k+1$, done.
- If $y \notin S$ and $N(y) \subset S$, again $|S| \geq k$.
- Otherwise, in $G^{\prime}-S, y$ and some vertices in $N(y)$ must be in the same component. This implies, $S$ also is a vertex-cut in $G$ and $|S| \geq k$.


## Theorem

For a graph $G$ with at least three vertices, TFAE ("the following are equivalent") and characterize 2-connected graphs: A) G is connected and has no cut-vertex.
B) For all $x, y \in V(G)$, there are internally disjoint $x, y$-paths.
C) For all $x, y \in V(G)$, there is a cycle through $x$ and $y$.
D) $\delta(G) \geq 1$, and every pair of edges in $G$ lies on a common cycle.

Proof:

- $A \Longleftrightarrow B$ is shown in the theorem in the previous slide. Also, $B \Longleftrightarrow C$ is trivial. So, we need $X \Longrightarrow D$ and $D \Longrightarrow Y$ for some $X, Y \in\{A, B, C\}$.
- $D \Longrightarrow C$ : Since $\delta(G) \geq 1$, no isolated vertex.

Pick any two edges $u x$ and $v y$, there is a cycle containing these edges, done. If only one edge, $x y$, then pick any other edge and apply to them.

## Theorem

For a graph $G$ with at least three vertices, TFAE ("the following are equivalent") and characterize 2-connected graphs: A) G is connected and has no cut-vertex.
B) For all $x, y \in V(G)$, there are internally disjoint $x, y$-paths.
C) For all $x, y \in V(G)$, there is a cycle through $x$ and $y$.
D) $\delta(G) \geq 1$, and every pair of edges in $G$ lies on a common cycle.

- $A \Longrightarrow D$ : Since $G$ is connected, $\delta(G) \geq 1$.
- Consider any two edges $u v$ and $x y$. Add to $G$ a new vertex $w$ with neighbors $u$ and $v$. Add another vertex $z$ to $G$ with neighbors $x$ and $y$. Call this new graph $G^{\prime}$.
- By expansion lemma, $G^{\prime}$ is 2-connected. Since $A \Longleftrightarrow C, w$ and $z$ lie on a cycle. Remove $w$ and $z$ from $C$ and add the edges $u v$ and $x y$, done.


## 2-connected Graphs

subdividing an edge: An edge $u v$ is subdivided by replacing $u v$ with two edges $u w$ and $w v$, where $w$ is a new vertex.

## Corollary

If $G$ is 2-connected, then the graph $G^{\prime}$ obtained by sibdividing an edge is 2-connected.

Ear decomposition: An ear of a graph $G$ is a maximal path whose internal vertices have degree 2 in $G$. An ear decomposition of $G$ is a decomposition $P_{0}, \ldots, P_{k}$ such that $P_{0}$ is a cycle and $P_{i}$ for $i \geq 1$ is an ear of $P_{0} \cup \cdots \cup P_{i}$.

## Theorem (Whitney, 1932)

A graph is 2-connnected iff it has an ear decomposition. Moreover, every cycle in a 2-connected graph is the initial cycle in some ear decomposition.

A similar decomposition exists also for 2-edge-connected graphs (see the book).

Given $x, y \in V(G)$, a set $S \subseteq V(G)-\{x, y\}$ is an $x, y$-separator or $x, y$-cut if $G-S$ has no $x, y$-path.
$\kappa(x, y)$ (or $\kappa(x, y)$ ): the minimum size of an $x, y$-cut in a graph $G$. $\lambda(x, y)$ (or $\lambda_{G}(x, y)$ ): the maximum size of a set of pairwise internally disjoint $x, y$-paths in $G$.

For $X, Y \subseteq V(G)$, an $X, Y$-path is a path having first vertex in $X$, last vertex in $Y$, and no other vertex in $X \cup Y$.

Remark: Always, $\kappa(x, y) \geq \lambda(x, y)$. Why? See example on page 166 .

## Connectivity and Menger's Theorem

## Theorem (Menger's Theorem)

If $x$ and $y$ are vertices of a graph $G$ and $x y \notin E(G)$, then
$\kappa(x, y)=\lambda(x, y)$.
Proof:

- Clearly, $\kappa(x, y) \geq \lambda(x, y)$. Induction on $n(G)$ to show that $\kappa(x, y) \leq \lambda(x, y)$.
- Base step: $n(G)=2$ Only $x, y$ and $x y \notin E(G)$. Then, $\kappa(x, y)=\lambda(x, y)=0$, done.
- Inductive step: Reading exercise.


## Theorem (Menger's Theorem)

A graph $G$ is $k$-connected if and only if every two vertices are connected by at least $k$ independent paths.

## Appplications of Menger's Theorem

$U$-fan: Given a vertex $x$ and a set $U$ of vertices, an $x, U$-fan is a set of $x, U$-paths such that any two of these paths have only $x$ in common.

## Theorem (Fan Lemma, Dirac, 1960)

A graph $G$ is $k$-connected if and only if it has at least $k+1$ vertices and, for every choice of $x, U \subset V(G)$ with $|U| \geq k$, it has an $x, U$-fan of size k.

Proof:
Necessity: $G, k$-connected. Pick a vertex $x$ and a set $U$ with at least $k$ vertices, show an $x, U$-fan exists.
Use Expansion Lemma: Add a new vertex $y$ by connecting $y$ to each vertex of $U$ with an edge, call this new graph $G^{\prime}$. By Exp. Lem., $G^{\prime}$ is also $k$-connected.
Menger's thm. implies $k$ internally disjoint $x, y$-paths exist in $G^{\prime}$. Remove $y$. These paths show an $x, U$-fan exists.

## Sufficiency:

- Assume $G$ satisfies the fan condition, show that $G$ is $k$-connnected.
- First, note that $\delta(G) \geq k$ (consider an $x, U$-fan with $U=N(x)$.
- For any two vertices $w$ and $z$, we find $k$ internally disjoint $w, z$-paths. Thus Menger's Thm. implies $k$-connectedness of $G$.
- Let $U=N(z)$. There is a $w, U$-fan. By extending each of the $k$ $w, U$-paths to $z$, we are done.


## Appplications of Menger's Theorem

## Theorem (Dirac, 1960)

If $G$ is a $k$-connnected graph (with $k \geq 2$ ), and $S$ is a set of $k$ vertices in $G$, then $G$ has a cycle that contains all vertices in $S$.

## Proof Idea: Induction on $k$

- Base step: $k=\mathbf{2}$ If $G$ is 2 -connected, there are 2 internally disjoint $x, y$-paths between any two vertices $x$ and $y$, whose union is a cycle containing $x$ and $y$.
- Inductive step: $k>2$ Given any set $S$ in a $k$-connected graph $G$, we find a cycle containing every vertex in $S$.
- Clearly, $G$ is also $(k-1)$-connected. So, for any vertex $x \in S$, there is a cycle $C$ containing $S-\{x\}$.
- Case: $|V(C)|=k-1$ Because there is an $x, V(C)$-fan, $x$ can be added to $C$ to obtain a larger cycle.


## Theorem (Dirac, 1960)

If $G$ is a $k$-connnected graph (with $k \geq 2$ ), and $S$ is a set of $k$ vertices in $G$, then $G$ has a cycle that contains all vertices in $S$.

- Case: $|V(C)| \geq k$ There is an $x, V(C)$-fan of size $k$. Let $v_{1}, v_{2}, \ldots, v_{k-1}$ be the vertices of this fan in $V(C)$.
$V_{i}$ be the segment of $C$ from $v_{i}$ to $v_{i+1}$ but not containing it. (assuming cyclic order)
- By pigeonhole principle ( $k-1$ segments=pigeonholes and $k$ vertices (that are not $x$ ) of the fan $=$ pigeons), one segment $V_{j}$ contains at least two vertices.
- Say $u, u^{\prime}$ from the fan are in $V_{j}$. Replace $u, u^{\prime}$-segment of $C$ with the $x, u$-path and $x, u^{\prime}$-path of the fan to obtain a cycle containing all of $S$.

