

# BBM 101

## Introduction to Programming I

$$\begin{array}{r} 51 \\ \times 3 \\ \hline 153 \end{array}$$

NP

4	1	5	8	3	6	7	2	9
9	8	2	5	7	4	1	3	6
7	3	6	1	9	2	4	5	8
1	9	3	6	2	8	5	4	7
8	5	4	9	1	7	2	6	3
2	6	7	4	5	3	9	8	1
6	4	1	7	8	5	3	9	2
5	2	9	3	6	1	8	7	4
3	7	8	2	4	9	6	1	5

Sudoku

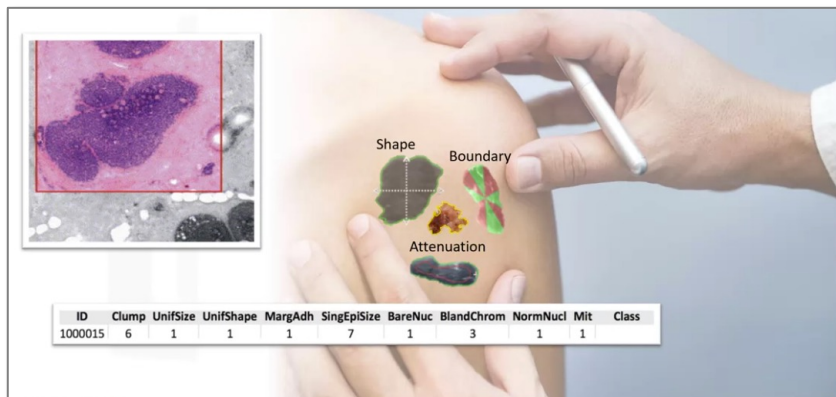
## Lecture #14.2 – Algorithmic Speed



# Last time... Understanding Data

Data science is the study of data.

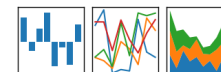
Data scientist is part **mathematician**, part **statistician**, part **computer scientist** and part **trend-spotter**.



Machine Learning



pandas  
 $y_{it} = \beta' x_{it} + \mu_i + \epsilon_{it}$



# Lecture Overview

- Algorithmic Complexity

**Disclaimer:**

- Slides based on material prepared by E. Grimson, J. Guttag and C. Terman in MITx 6.00.1x
- Finalized slide sets are arranged by: Sevil Şen & Fuat Akal & Aydın Kaya

# Computational complexity

- How much time will it take a program to run?
- How much memory will it need to run?
- Need to balance minimizing computational complexity with conceptual complexity
  - Keep code simple and easy to understand, but where possible optimize performance

# Measuring complexity

- Goals in designing programs
  1. It returns the correct answer on all legal inputs
  2. It performs the computation efficiently
- Typically (1) is most important, but sometimes (2) is also critical, e.g., programs for collision detection, avionic systems, drive assistance etc.
- Even when (1) is most important, it is valuable to understand and optimize (2)

# How do we measure complexity?

- Given a function, we would like to answer:  
“How long will this take to run?”
- Could just run on some input and time it.
- Problem is that this depends on:
  1. Speed of computer
  2. Specifics of Programming Language implementation
  3. Value of input
- Avoid **(1)** and **(2)** by measuring time in terms of number of basic steps executed

# Measuring basic steps

- Use a **random access machine (RAM)** as model of computation
  - Steps are executed sequentially
  - Step is an operation that takes constant time
    - Assignment
    - Comparison
    - Arithmetic operation
    - Accessing object in memory
- For point **(3)**, measure time in terms of size of input

# But complexity might depend on value of input?

```
def linearSearch(L, x):  
    for e in L:  
        if e==x:  
            return True  
    return False
```

- If x happens to be near front of L, then returns True almost immediately
- If x not in L, then code will have to examine all elements of L
- Need a general way of measuring



# Cases for measuring complexity

- **Best case:** minimum running time over all possible inputs of a given size
  - For linearSearch – constant, i.e. independent of size of inputs
- **Worst case:** maximum running time over all possible inputs of a given size
  - For linearSearch – linear in size of list
- **Average (or expected) case:** average running time over all possible inputs of a given size
- We will focus on worst case – a kind of **upper bound** on running time

# Example

```
def fact(n):  
    answer = 1  
    while n > 0:  
        answer *= n  
        n -= 1  
    return answer
```

- Number of steps
  - 1 (for assignment)
  - 5\*n (1 for test, plus 2 for first assignment, plus 2 for second assignment in while; repeated n times through while)
  - 1 (for return)
- $5*n+2$  steps
- But as n gets large, 2 is irrelevant, so basically  $5*n$  steps

# Example

- What about the multiplicative constant (5 in this case)?
- We argue that in general, multiplicative constants are not relevant when comparing algorithms

# Example

```
def sqrtExhaust(x, eps):  
    step = eps**2  
    ans = 0.0  
    while abs(ans**2 - x) >= eps and ans <= max(x, 1):  
        ans += step  
    return ans
```

- If we call this on 100 and 0.0001, will take one billion iterations of the loop
  - Have roughly 8 steps within each iteration

# Example

```
def sqrtBi(x, eps):  
    low = 0.0  
    high = max(1, x)  
    ans = (high + low)/2.0  
    while abs(ans**2 - x) >= eps:  
        if ans**2 < x:  
            low = ans  
        else:  
            high = ans  
        ans = (high + low)/2.0  
    return ans
```

- If we call this on 100 and 0.0001, will take thirty iterations of the loop
  - Have roughly 10 steps within each iteration
- 1 billion or 8 billion versus 30 or 300 – it is size of problem that matters

# Measuring complexity

- Given this difference in iterations through loop, multiplicative factor (number of steps within loop) probably irrelevant
- Thus, we will focus on measuring the complexity as a function of input size
  - Will focus on the largest factor in this expression
  - Will be mostly concerned with the worst case scenario

# Asymptotic notation

- Need a formal way to talk about relationship between running time and size of inputs
- Mostly interested in what happens as size of inputs gets very large, i.e. approaches infinity

# Example

```
def f(x):  
    for i in range(1000):  
        ans = i  
    for i in range(x):  
        ans += 1  
    for i in range(x):  
        for j in range(x):  
            ans += 1
```

Complexity is  $1000 + 2x + 2x^2$ , if each line takes one step



# Example

- $1000 + 2x + 2x^2$
- If  $x$  is small, constant term dominates
  - E.g.,  $x = 10$  then 1000 of 1220 steps are in first loop
- If  $x$  is large, quadratic term dominates
  - E.g.  $x = 1,000,000$ , then first loop takes 0.000000005% of time, second loop takes 0.0001% of time (out of 2,000,002,001,000 steps)!

# Example

- So really only need to consider the nested loops (quadratic component)
- Does it matter that this part takes  $2x^2$  steps, as opposed to say  $x^2$  steps?
  - For our example ( $x = 10^6$ ), if our computer executes 100 million steps per second, difference is ~5.5 hours versus ~2.75 hours ( $X^2$  vs.  $2 * X^2$ )
  - On the other hand if we can find a linear algorithm, this would run in a fraction of a second ( $X$  vs.  $2 * X$ )
  - So multiplicative factors probably not crucial, but order of growth is crucial

# Rules of thumb for complexity

- Asymptotic complexity
  - Describe running time in terms of number of basic steps
  - If running time is sum of multiple terms, keep one with the largest growth rate
  - If remaining term is a product, drop any multiplicative constants
- Use “Big O” notation (aka capital omicron)
  - Gives an upper bound on asymptotic growth of a function

# Complexity classes

- $O(1)$  denotes constant running time
- $O(\log n)$  denotes logarithmic running time
- $O(n)$  denotes linear running time
- $O(n \log n)$  denotes log-linear running time
- $O(n^c)$  denotes polynomial running time ( $c$  is a constant)
- $O(c^n)$  denotes exponential running time ( $c$  is a constant being raised to a power based on size of input)

# Constant complexity

- Complexity independent of inputs
- Very few interesting algorithms in this class, but can often have pieces that fit this class
- Can have loops or recursive calls, but number of iterations or calls independent of size of input

# Logarithmic complexity

- Complexity grows as log of size of one of its inputs
- Example:
  - Binary search of a list

# Logarithmic complexity

```
def binarySearch(alist, item):  
    first = 0  
    last = len(alist)-1  
    found = False  
  
    while first<=last and not found:  
        midpoint = (first + last)//2  
        if alist[midpoint] == item:  
            found = True  
        elif item < alist[midpoint]:  
            last = midpoint-1  
        else:  
            first = midpoint+1  
  
    return found
```

# Logarithmic complexity

```
def binarySearch(alist, item):  
    first = 0  
    last = len(alist)-1  
    found = False  
  
    while first<=last and not found:  
        midpoint = (first + last)//2  
        if alist[midpoint] == item:  
            found = True  
        elif item < alist[midpoint]:  
            last = midpoint-1  
        else:  
            first = midpoint+1  
  
    return found
```

- Only have to look at loop as no function calls
- Within while loop constant number of steps
- How many times through loop?
  - How many times can one divide indexes to find midpoint?
  - $O(\log(\text{len}(\text{alist})))$



# Linear complexity

- Searching a list in order to see if an element is present
- Add characters of a string, assumed to be composed of decimal digits

```
def addDigits(s):  
    val = 0  
    for c in s:  
        val += int(c)  
    return val
```

- $O(\text{len}(s))$

# Linear complexity

- Complexity can depend on the number of recursive calls

```
def fact(n):  
    if n == 1:  
        return 1  
    else:  
        return n*fact(n-1)
```

- Number of recursive calls?
  - Fact(n), then fact(n-1), etc. until get to fact(1)
  - Complexity of each call is constant
  - $O(n)$

# Log-linear complexity

- Many practical algorithms are log-linear
- Very commonly used log-linear algorithm is **merge sort**

# Polynomial complexity

- Most common polynomial algorithms are quadratic, i.e., complexity grows with square of size of input
- Commonly occurs when we have nested loops or recursive function calls

# Quadratic complexity

```
def isSubset(L1, L2):  
    for e1 in L1:  
        matched = False  
        for e2 in L2:  
            if e1 == e2:  
                matched = True  
                break  
        if not matched:  
            return False  
    return True
```

# Quadratic complexity

```
def isSubset(L1, L2):  
    for e1 in L1:  
        matched = False  
        for e2 in L2:  
            if e1 == e2:  
                matched = True  
                break  
        if not matched:  
            return False  
    return True
```

- Outer loop executed  $\text{len}(L1)$  times
- Each iteration will execute inner loop up to  $\text{len}(L2)$  times
- $O(\text{len}(L1) * \text{len}(L2))$
- Worst case when  $L1$  and  $L2$  same length, none of elements of  $L1$  in  $L2$
- $O(\text{len}(L1)^2)$

# Quadratic complexity

Find intersection of two lists, return a list with each element appearing only once

```
def intersect(L1, L2):  
    tmp = []  
    for e1 in L1:  
        for e2 in L2:  
            if e1 == e2:  
                tmp.append(e1)  
    res = []  
    for e in tmp:  
        if not(e in res):  
            res.append(e)  
    return res
```

# Quadratic complexity

```
def intersect(L1, L2):  
    tmp = []  
    for e1 in L1:  
        for e2 in L2:  
            if e1 == e2:  
                tmp.append(e1)  
  
    res = []  
    for e in tmp:  
        if not(e in res):  
            res.append(e)  
    return res
```

- First nested loop takes  $\text{len}(L1) * \text{len}(L2)$  steps
- Second loop takes at most  $\text{len}(L1)$  steps
- Latter term overwhelmed by former term
- $O(\text{len}(L1) * \text{len}(L2))$



# Exponential complexity

- Recursive functions where more than one recursive call for each size of problem
  - Fibonacci series
  - Towers of Hanoi
- Many important problems are inherently exponential
  - Unfortunate, as cost can be high
  - Will lead us to consider approximate solutions more quickly

# Exponential Complexity

```
def fib(N):  
    if N == 1 or N == 0:  
        return N  
    else:  
        return fib(N-1) + fib(N-2)
```

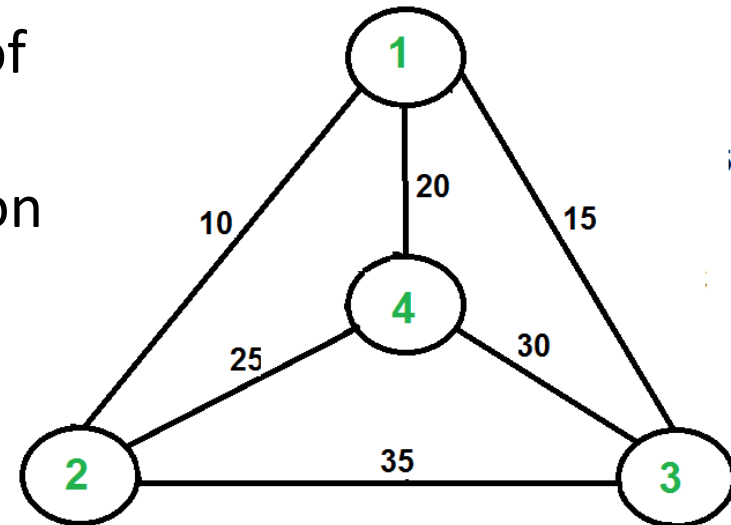
# Exponential Complexity

```
def fib(N) :  
    if N == 1 or N == 0:  
        return N  
    else:  
        return fib(N-1) + fib(N-2)
```

- Assuming return statement is constant time
- Recall the recursive tree
- Complexity of this function is  $O(\sim 2^n)$

# Factorial Complexity

- The travelling salesperson problem.
  - A salesperson has to visit  $n$  towns. Each pair of towns is joined by a route of a given length. Find the shortest possible route that visits all the towns and returns to the starting point.
1. Consider city 1 as the starting and ending point.
  2. Generate all  $(n-1)!$  Permutations of cities.
  3. Calculate cost of every permutation and keep track of minimum cost permutation.
  4. Return the permutation with minimum cost.



# Complexity classes

- $O(1)$  denotes constant running time
- $O(\log n)$  denotes logarithmic running time
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- $O(n^c)$  denotes polynomial running time ( $c$  is a constant)
- $O(c^n)$  denotes exponential running time ( $c$  is a constant being raised to a power based on size of input)
- $O(n!)$  denotes factorial running time

# Comparing complexities

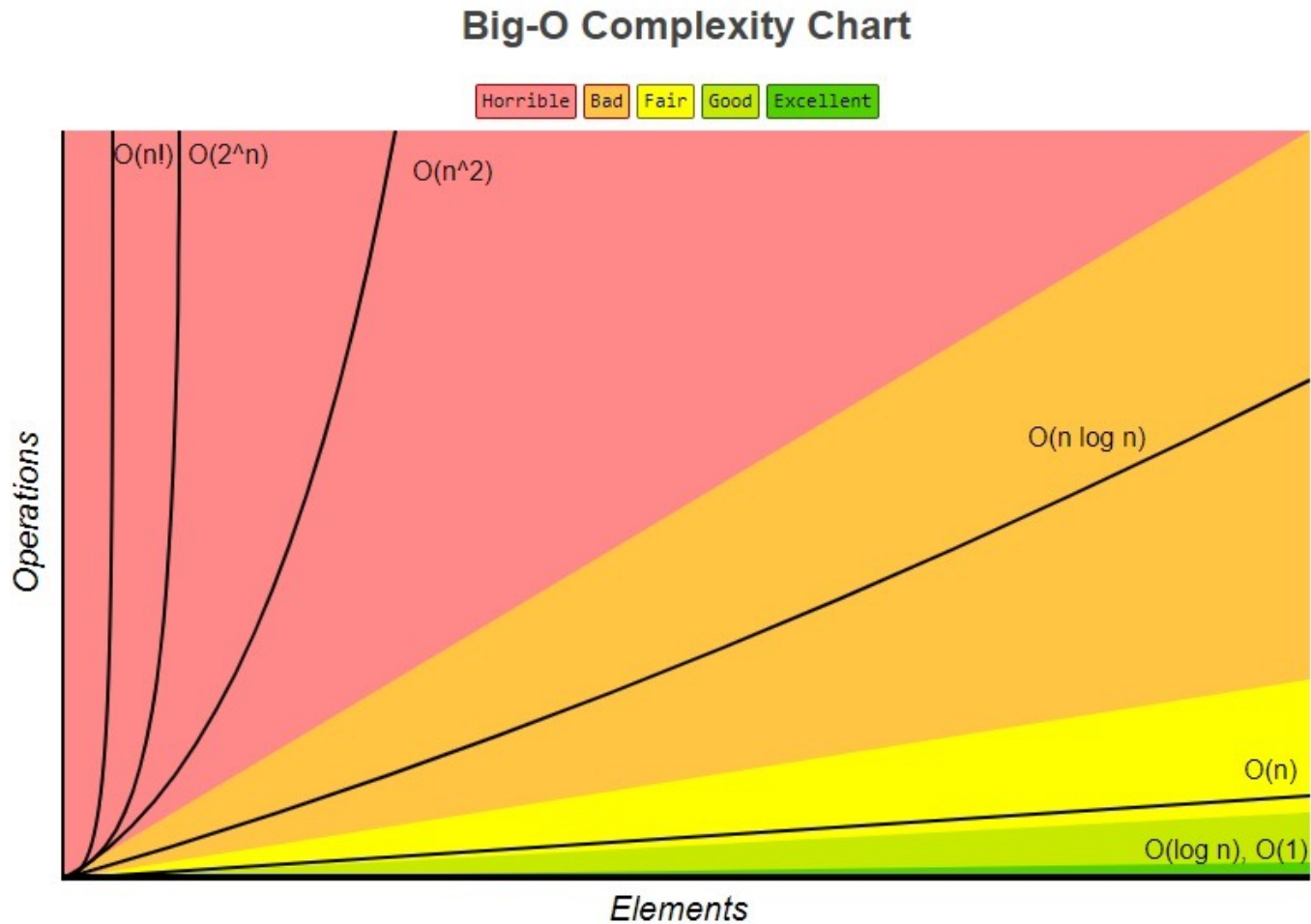
- So does it really matter if our code is of a particular class of complexity?
- Depends on size of problem, but for large scale problems, complexity of worst case makes a difference

# Comparing complexities - example

- There are alternative approaches with differing algorithm complexities for doing *something* on a list of  $n$  elements.
- Now you want to compare them. Assume that computer makes three billion ( $3 \cdot 10^9$ ) calculations per second. Lets look for the running time of the algorithms.

Complexity	$n=10$	$n=1000$	$n=10^5$	$n=10^{10}$
$O(\log n)$	< 1msec	< 1msec	< 1msec	< 1msec
$O(n)$	< 1msec	< 1msec	< 1msec	< 1 min
$O(n \log n)$	< 1msec	< 1msec	< 1 sec	< 2 min
$O(n^2)$	< 1msec	< 1msec	< 1 min	~1000 year
$O(2^n)$	< 1 sec	>1000 year	>1000 year	>1000 year
$O(n!)$	< 1 sec	>1000 year	>1000 year	>1000 year

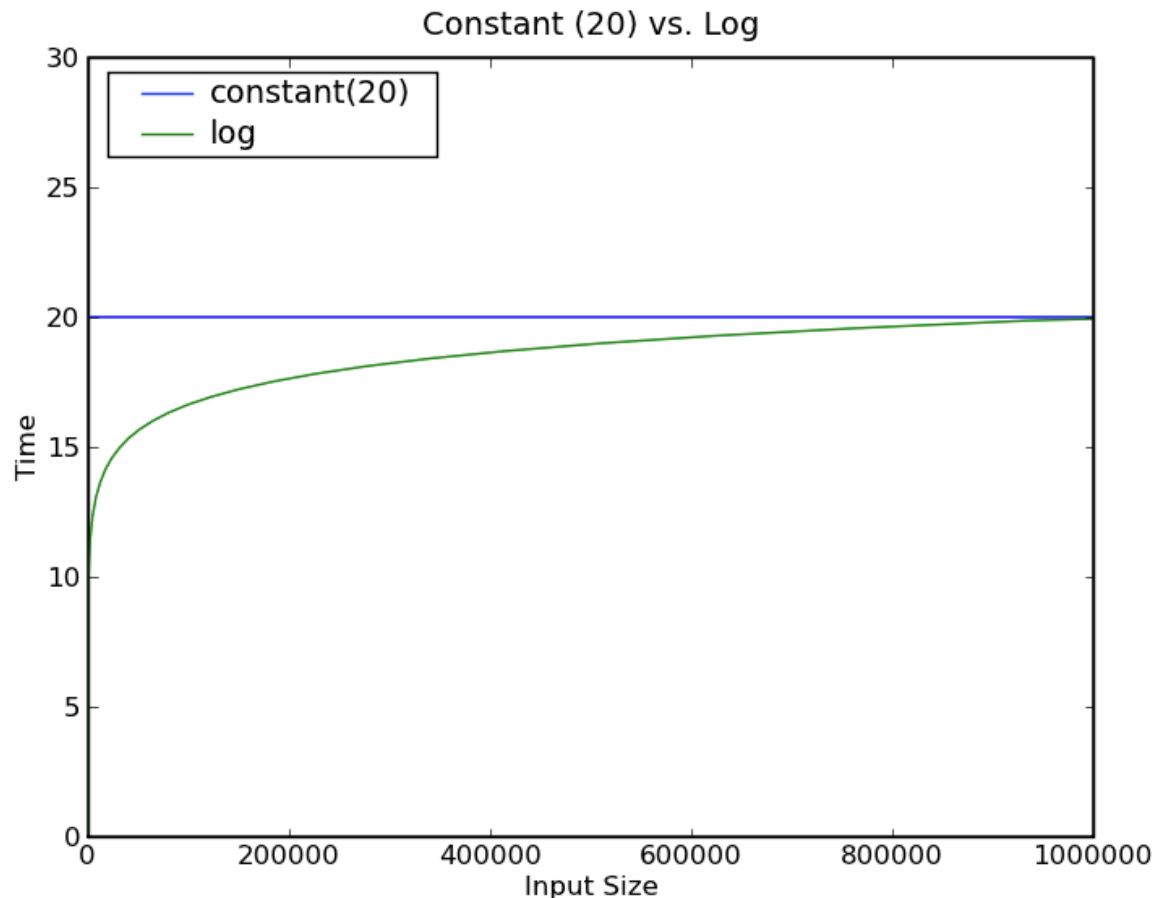
# Comparing the Complexities





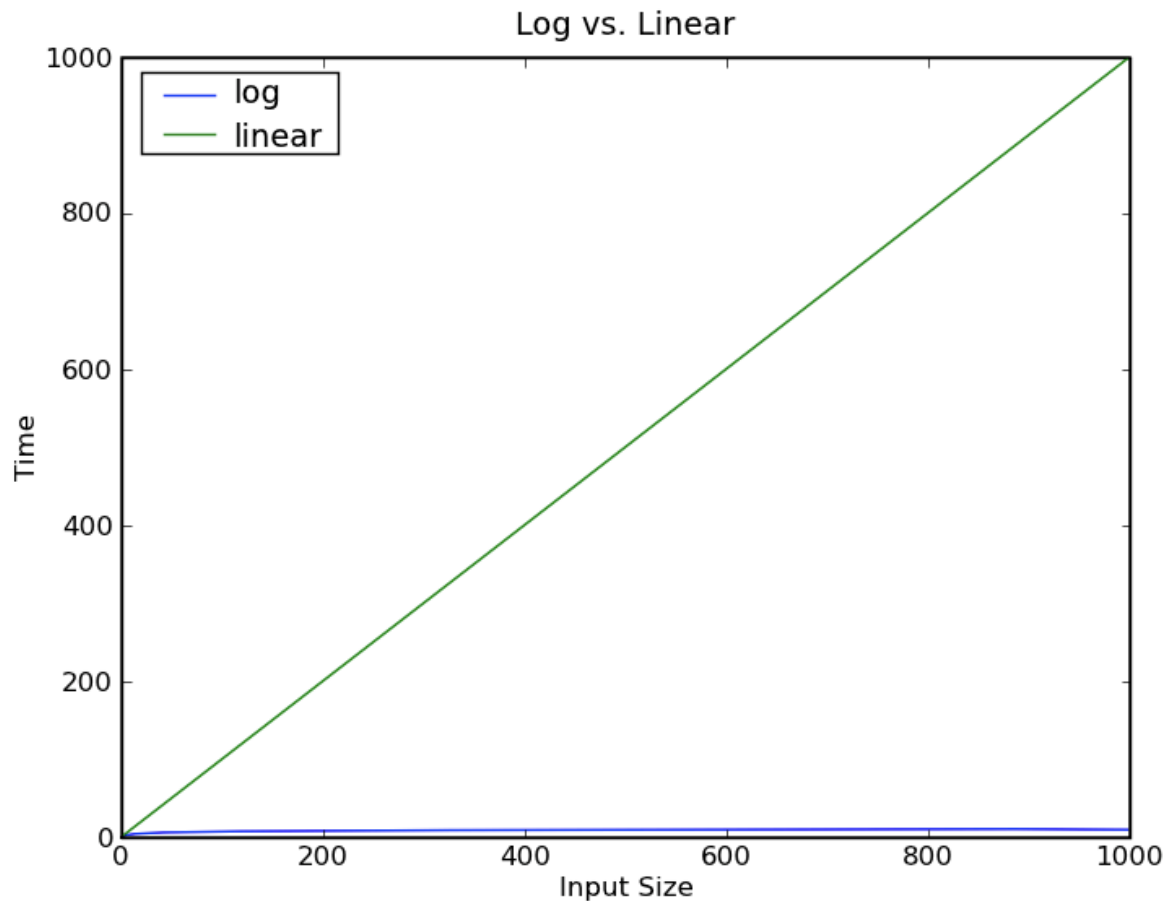
# Constant versus Logarithmic

- A logarithmic algorithm is often almost as good as a constant time algorithm
- Logarithmic costs grow very slowly



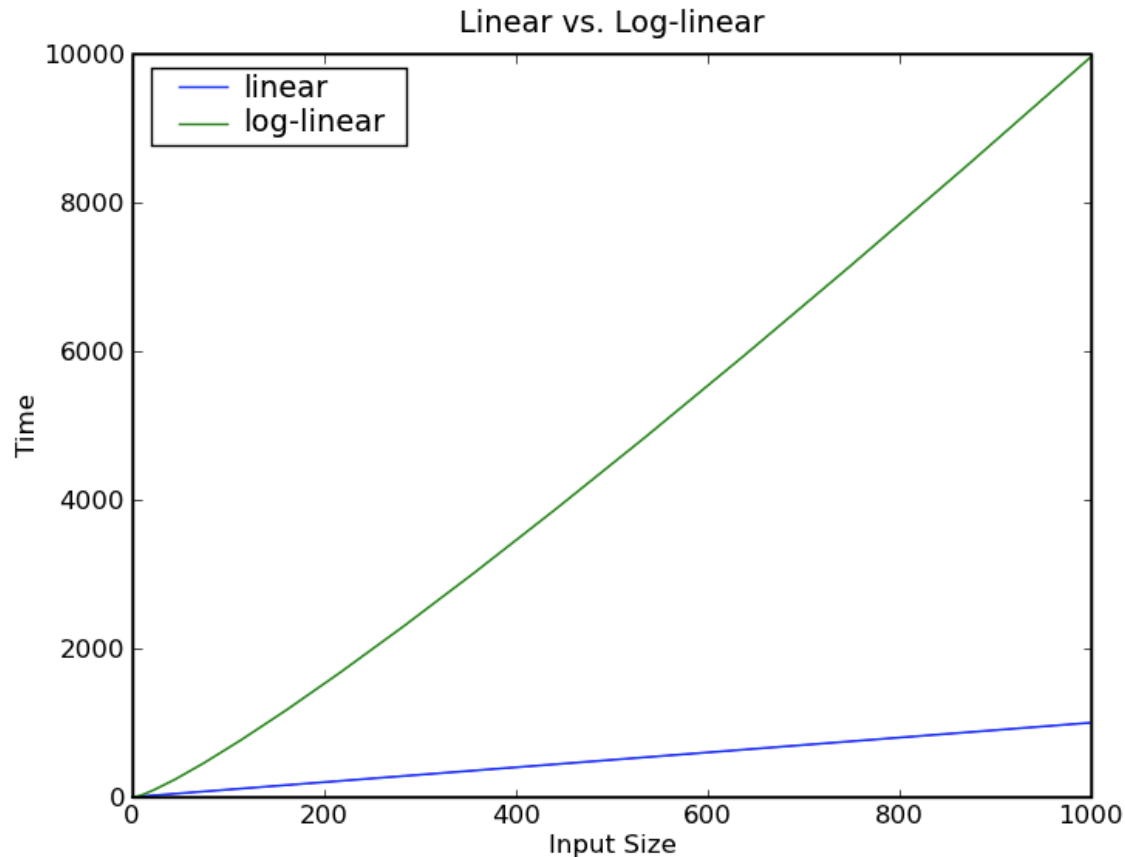
# Logarithmic versus Linear

- Logarithmic clearly better for large scale problems than linear
- Does not imply linear is bad, however



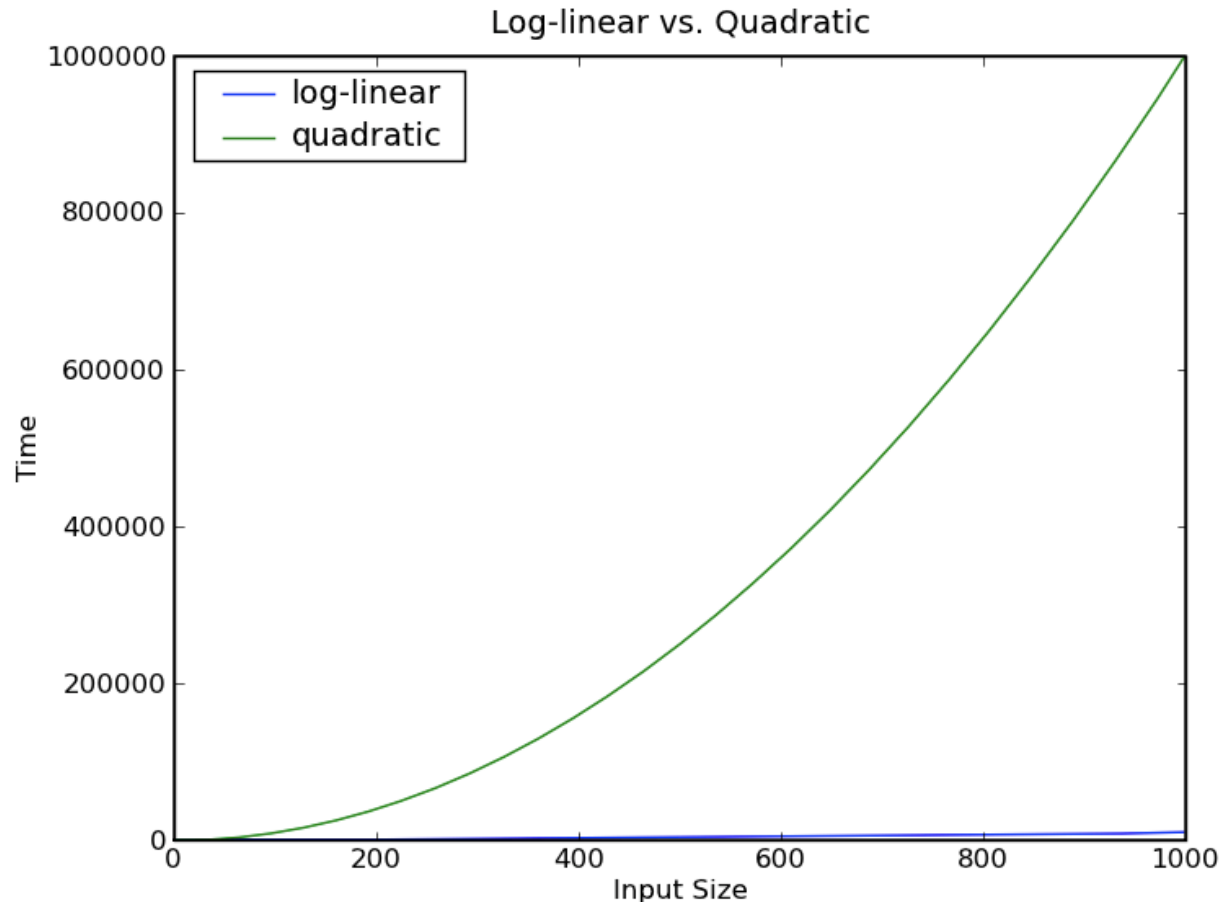
# Linear versus Log-linear

- While  $\log(n)$  may grow slowly, when multiplied by a linear factor, growth is much more rapid than pure linear
- $O(n \log n)$  algorithms are still very valuable.



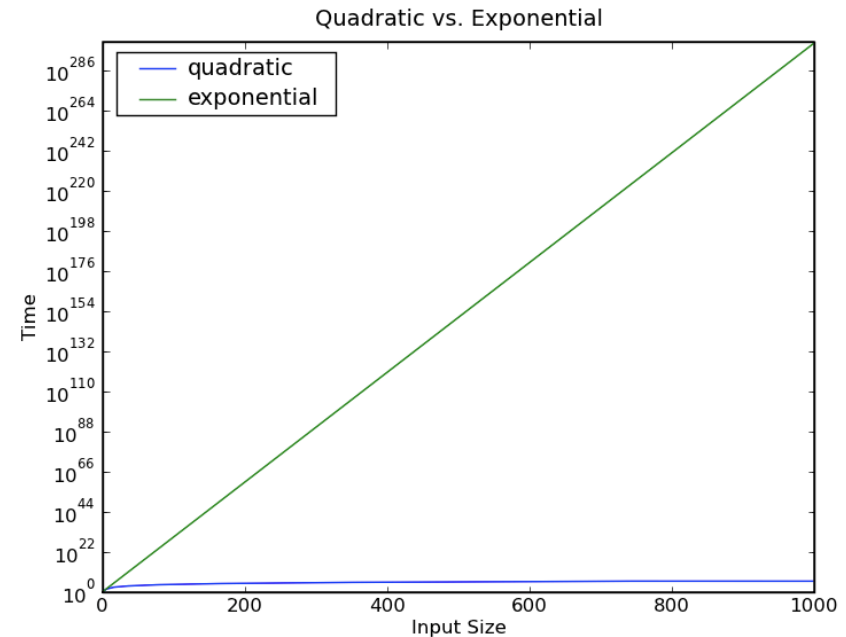
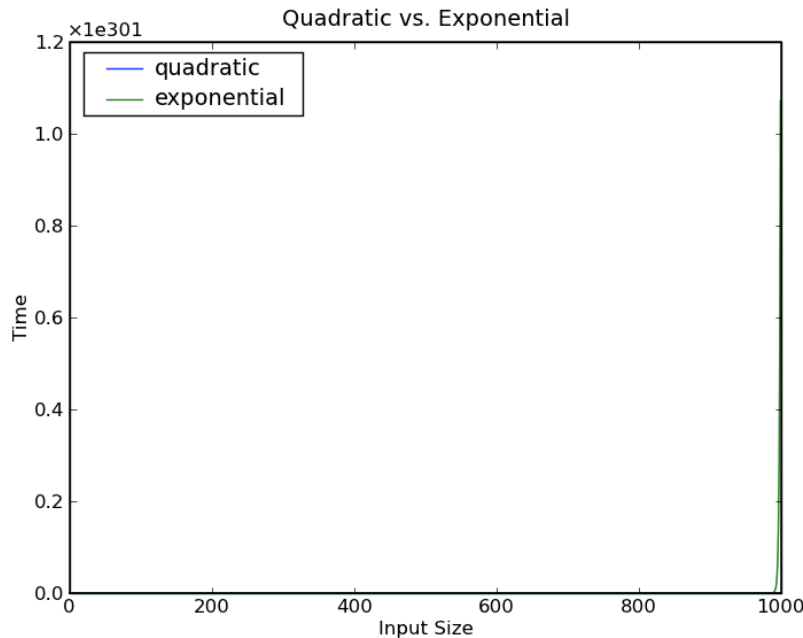
# Log-linear versus Quadratic

- Quadratic is often a problem, however.
- Some problems inherently quadratic but if possible better to look for more efficient solutions



# Quadratic versus Exponential

- Exponential algorithms very expensive
  - Right plot is on a log scale, since left plot almost invisible given how rapidly exponential grows
- Exponential generally not of use except for small problems



# Warning

- Execution time and the algorithm complexity are different paradigms.
- Running time may differ even if two algorithms have the same algorithm complexity (Even when their purposes are the same).

```
def factIT(n):  
    answer = 1  
    while n > 0:  
        answer *= n  
        n -= 1  
    return answer
```

```
def factREC(n):  
    if n == 0:  
        return 1  
    else:  
        return n*factREC(n-1)
```

They have same complexity  $O(n)$ . But their execution times are different.

# Tips

- We know that,  $O(2^n)$  algorithm complexity is bad. But, if we sure that  $n$  won't be up too high, it won't matter.
- When we calculate the big-O, we did not care about constant factors.
  - $5n + 37 \rightarrow O(n)$
- But, sometimes improving the constants does matter, e.g. in game development
  - $5n+37 \rightarrow 5n+10$  (not worthy, but better than nothing)
  - $5n+37 \rightarrow 3n+12$  (better)