

BBM 202 - ALGORITHMS



HACETTEPE UNIVERSITY

DEPT. OF COMPUTER ENGINEERING

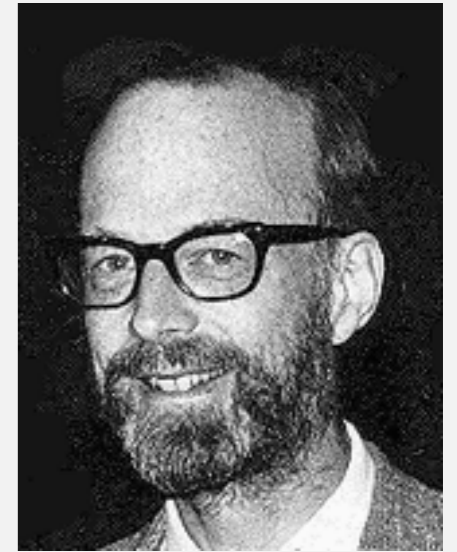
QUICKSORT

Acknowledgement: The course slides are adapted from the slides prepared by R. Sedgewick and K. Wayne of Princeton University.

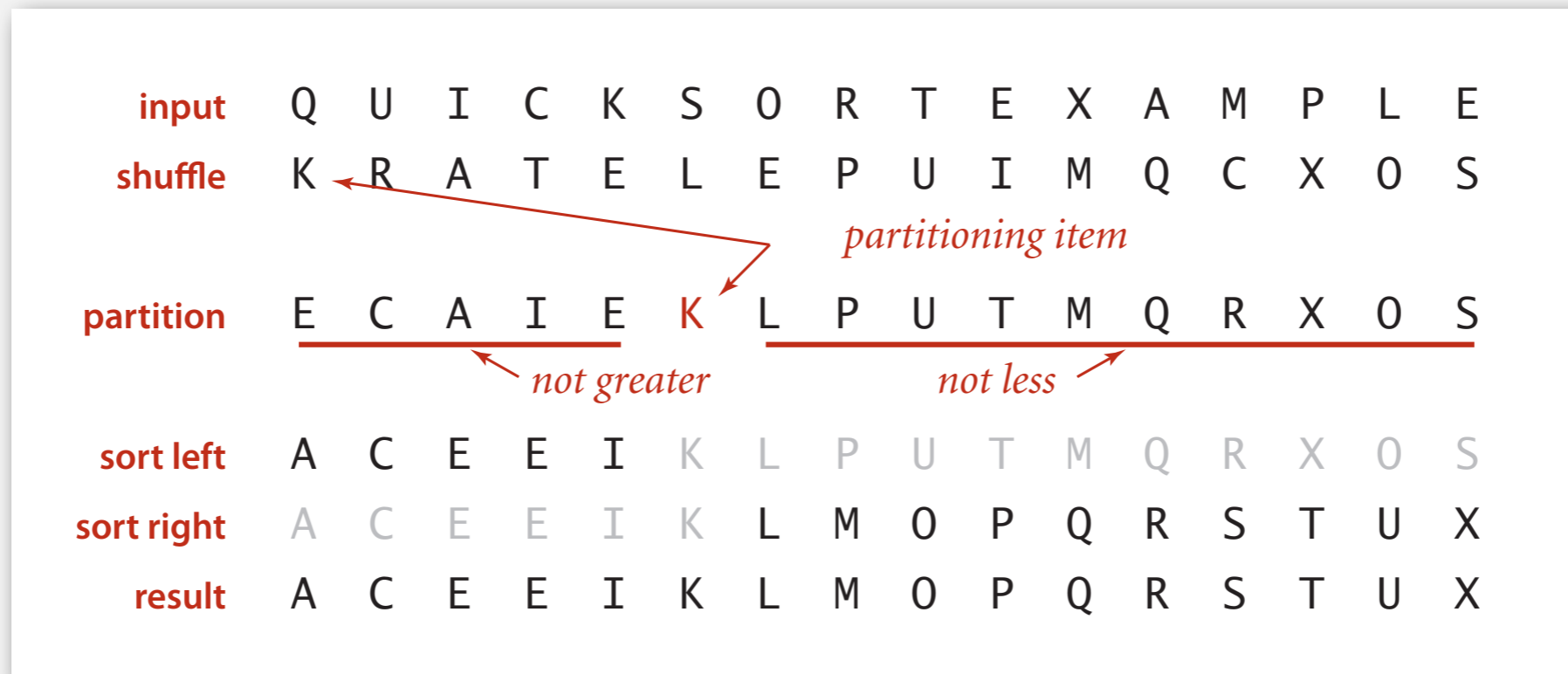
Quicksort

Basic plan.

- **Shuffle** the array.
- **Partition** so that, for some j
 - entry $a[j]$ is in place
 - no larger entry to the left of j
 - no smaller entry to the right of j
- **Sort** each piece recursively.



Sir Charles Antony Richard Hoare
1980 Turing Award



Shuffling

Shuffling

- Shuffling is the process of rearranging an array of elements randomly.
- A good shuffling algorithm is unbiased, where every ordering is equally likely.
- e.g. the Fisher–Yates shuffle (aka. the Knuth shuffle)

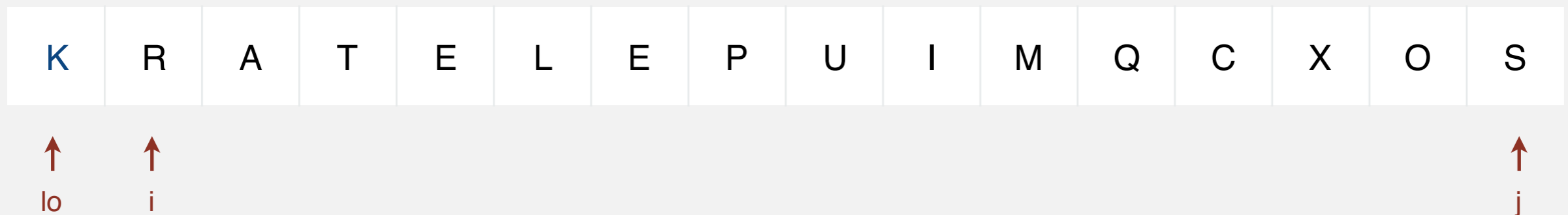


<http://bl.ocks.org/mbostock/39566aca95eb03ddd526>

Quicksort partitioning

Repeat until i and j pointers cross.

- Scan i from left to right so long as $a[i] < a[lo]$.
- Scan j from right to left so long as $a[j] > a[lo]$.
- Exchange $a[i]$ with $a[j]$.

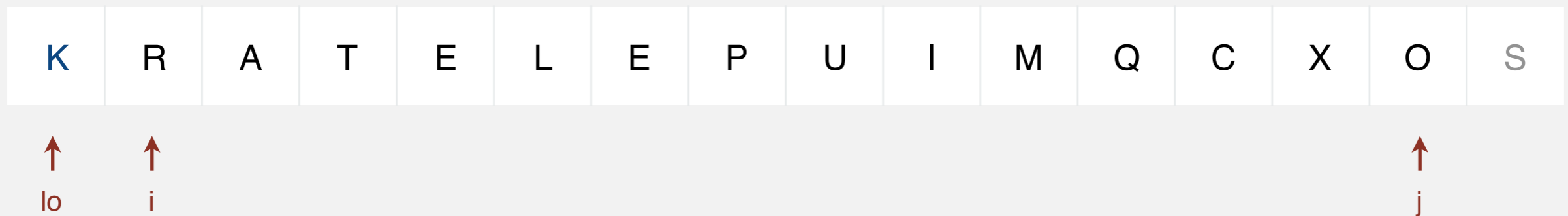


stop i scan because $a[i] \geq a[lo]$

Quicksort partitioning

Repeat until i and j pointers cross.

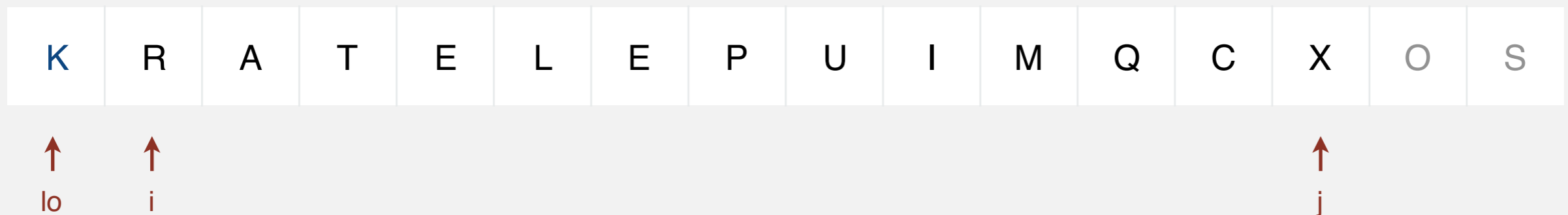
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Quicksort partitioning

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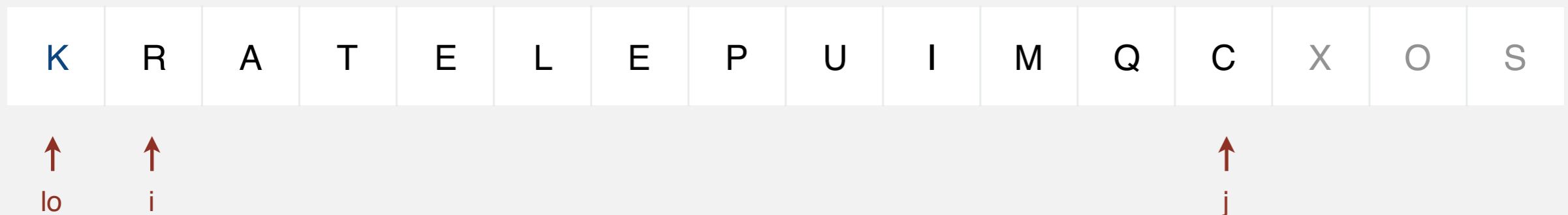
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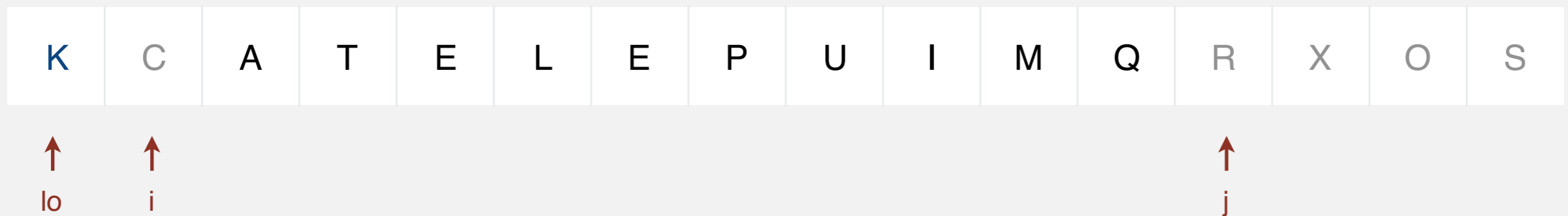


stop j scan and exchange $a[i]$ with $a[j]$

Quicksort partitioning

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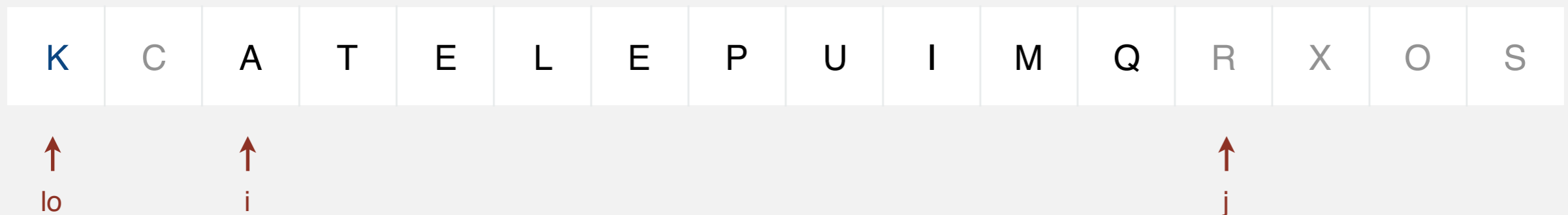
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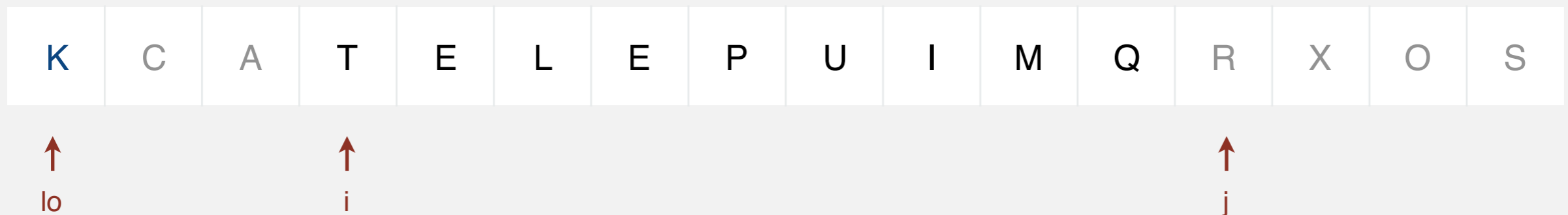
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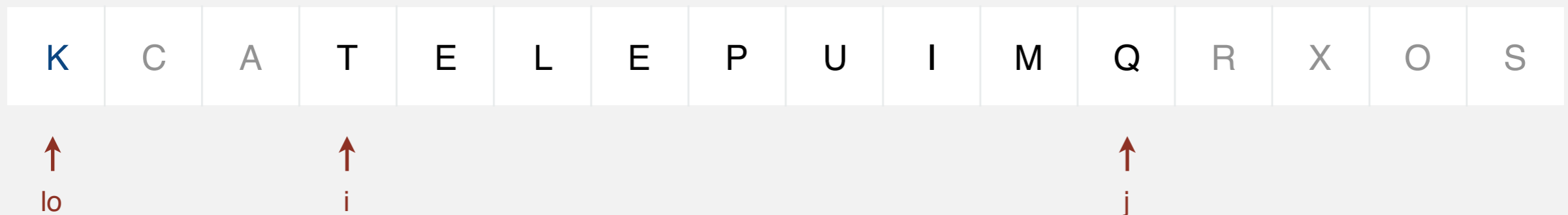


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Quicksort partitioning

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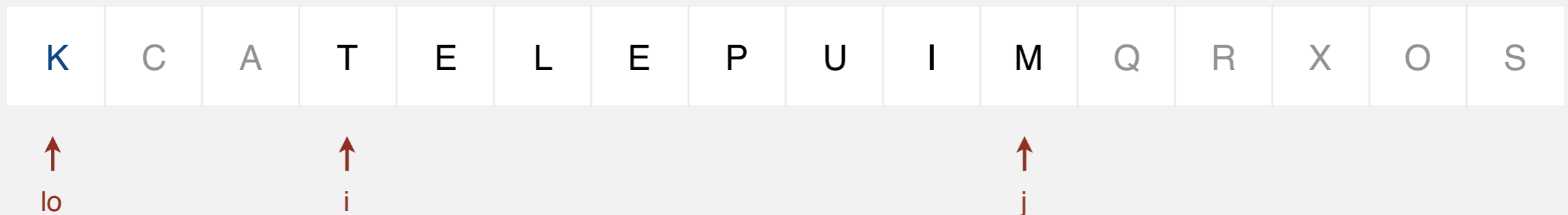
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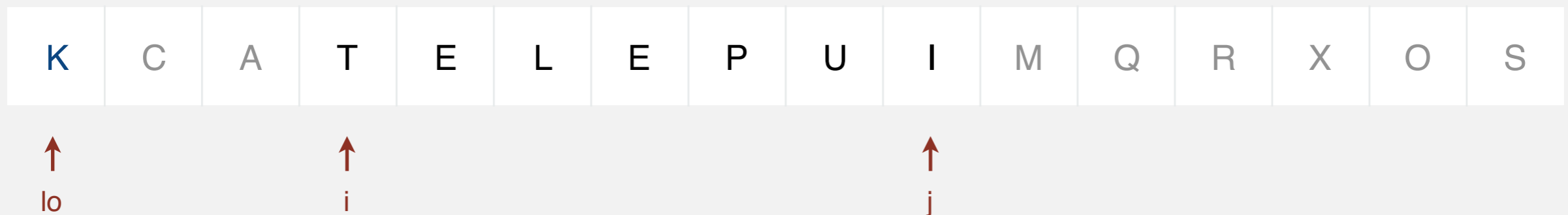
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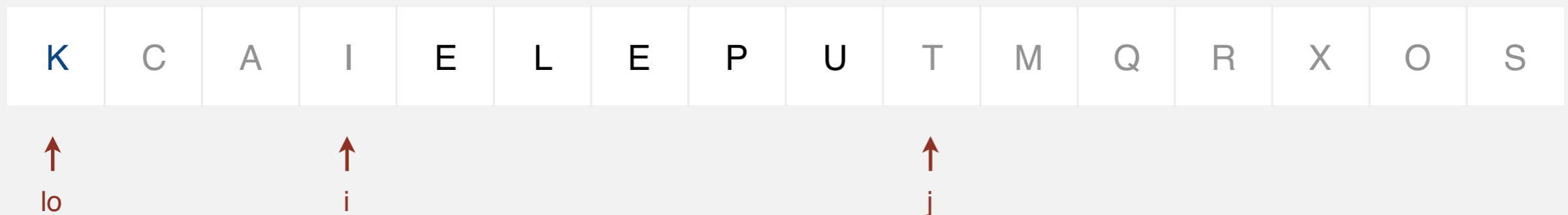


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Quicksort partitioning

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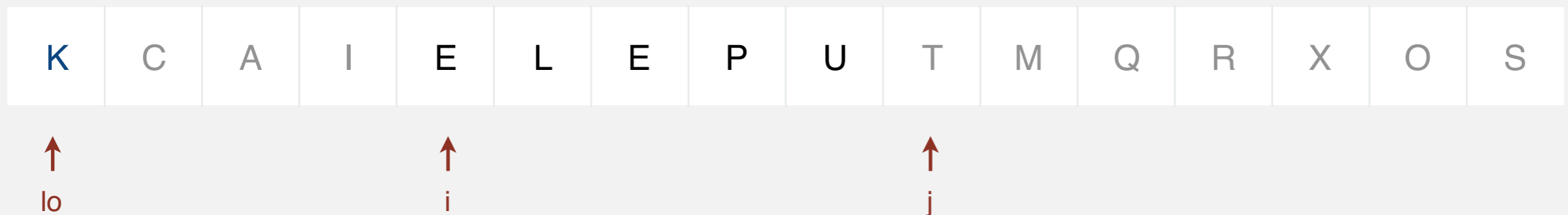
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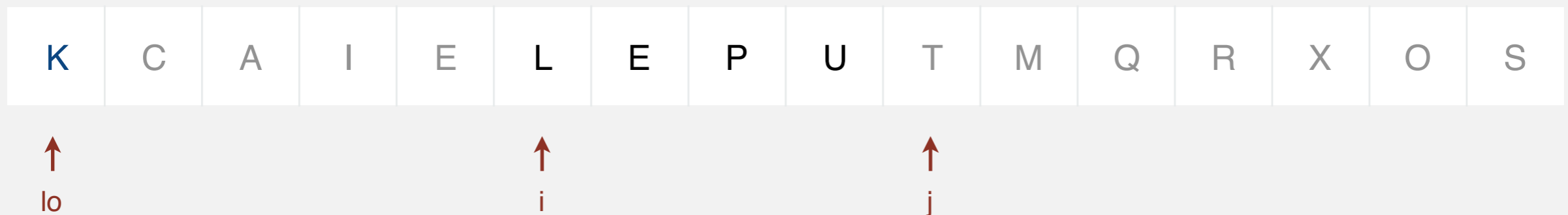
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stop i scan because $a[i] \geq a[lo]$

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Quicksort partitioning

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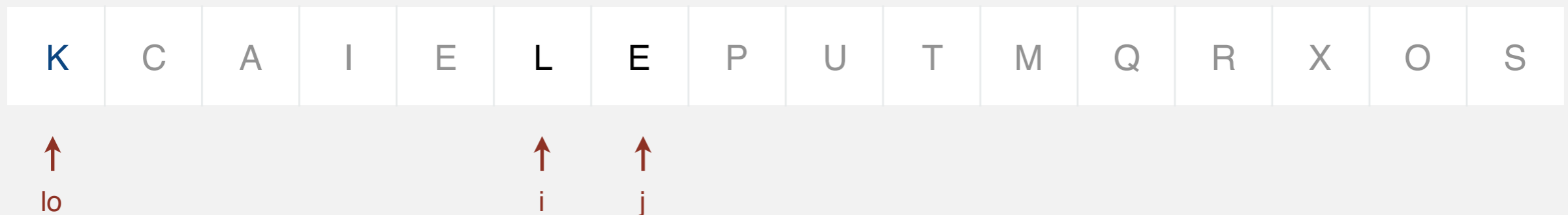
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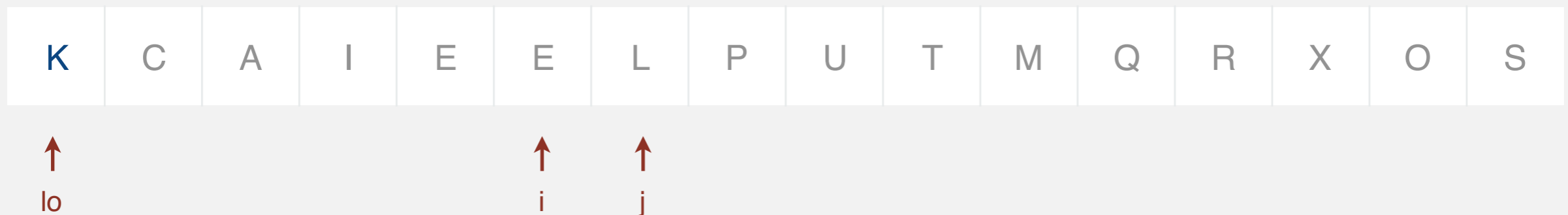


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Quicksort partitioning

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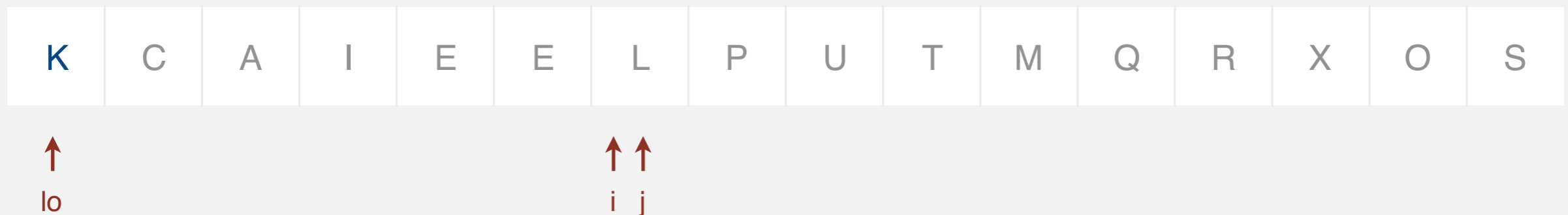
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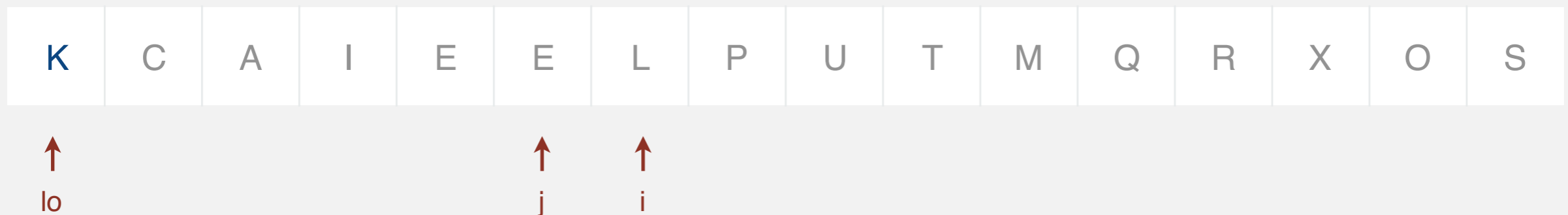


stop i scan because $a[i] \geq a[lo]$

Quicksort partitioning

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- Scan i from left to right so long as $a[i] < a[lo]$.
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- Exchange $a[i]$ with $a[j]$.



stop j scan because $a[j] \leq a[lo]$

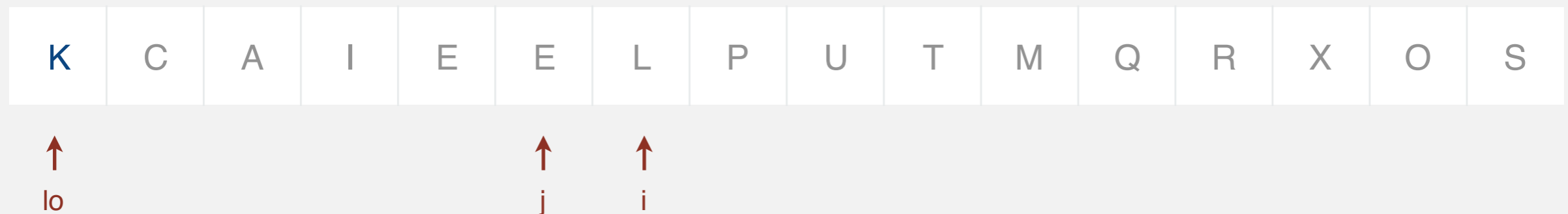
Quicksort partitioning

Repeat until i and j pointers cross.

- Scan i from left to right so long as $a[i] < a[lo]$.
- Scan j from right to left so long as $a[j] > a[lo]$.
- Exchange $a[i]$ with $a[j]$.

When pointers cross.

- Exchange $a[lo]$ with $a[j]$.



pointers cross: exchange $a[lo]$ with $a[j]$

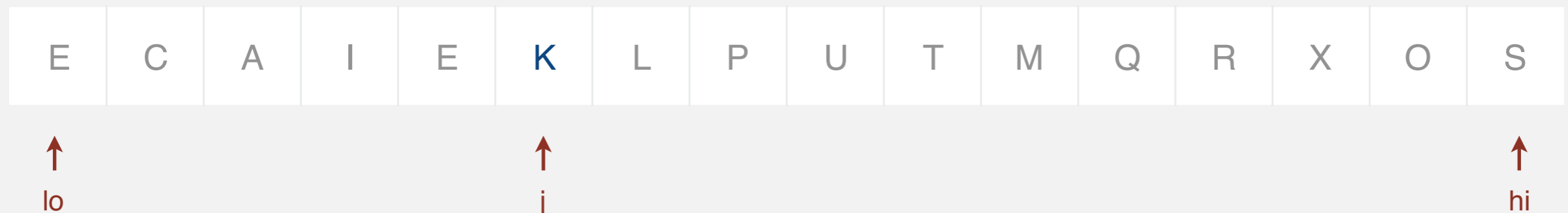
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- Scan j from right to left so long as $a[j] > a[lo]$.
- Exchange $a[i]$ with $a[j]$.

When pointers cross.

- Exchange $a[lo]$ with $a[j]$.



partitioned!

Quicksort partitioning

Basic plan.

- Scan i from left for an item that belongs on the right.
- Scan j from right for an item that belongs on the left.
- Exchange $a[i]$ and $a[j]$.
- Repeat until pointers cross.

	i	j	v	$a[i]$															
				0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
initial values	0	16		K	R	A	T	E	L	E	P	U	I	M	Q	C	X	O	S
scan left, scan right	1	12		K	R	A	T	E	L	E	P	U	I	M	Q	C	X	O	S
exchange	1	12		K	C	A	T	E	L	E	P	U	I	M	Q	R	X	O	S
scan left, scan right	3	9		K	C	A	T	E	L	E	P	U	I	M	Q	R	X	O	S
exchange	3	9		K	C	A	I	E	L	E	P	U	T	M	Q	R	X	O	S
scan left, scan right	5	6		K	C	A	I	E	L	E	P	U	T	M	Q	R	X	O	S
exchange	5	6		K	C	A	I	E	E	L	P	U	T	M	Q	R	X	O	S
scan left, scan right	6	5		K	C	A	I	E	E	L	P	U	T	M	Q	R	X	O	S
final exchange	6	5		E	C	A	I	E	K	L	P	U	T	M	Q	R	X	O	S
result	6	5		E	C	A	I	E	K	L	P	U	T	M	Q	R	X	O	S

Partitioning trace (array contents before and after each exchange)

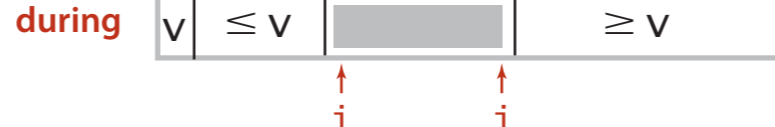
Quicksort: Java code for partitioning

```
private static int partition(Comparable[] a, int lo, int hi)
{
    int i = lo, j = hi+1;
    while (true)
    {
        while (less(a[++i], a[lo]))                find item on left to swap
            if (i == hi) break;

        while (less(a[lo], a[--j]))                find item on right to swap
            if (j == lo) break;

        if (i >= j) break;                          check if pointers cross
        exch(a, i, j);                               swap
    }

    exch(a, lo, j);                                swap with partitioning item
    return j;                                       return index of item now known to be in place
}
```




Quicksort: Java implementation

```
public class Quick
{
    private static int partition(Comparable[] a, int lo, int hi)
    { /* see previous slide */ }

    public static void sort(Comparable[] a)
    {
        StdRandom.shuffle(a);
        sort(a, 0, a.length - 1);
    }

    private static void sort(Comparable[] a, int lo, int hi)
    {
        if (hi <= lo) return;
        int j = partition(a, lo, hi);
        sort(a, lo, j-1);
        sort(a, j+1, hi);
    }
}
```

shuffle needed for
performance guarantee
(stay tuned)



Quicksort trace

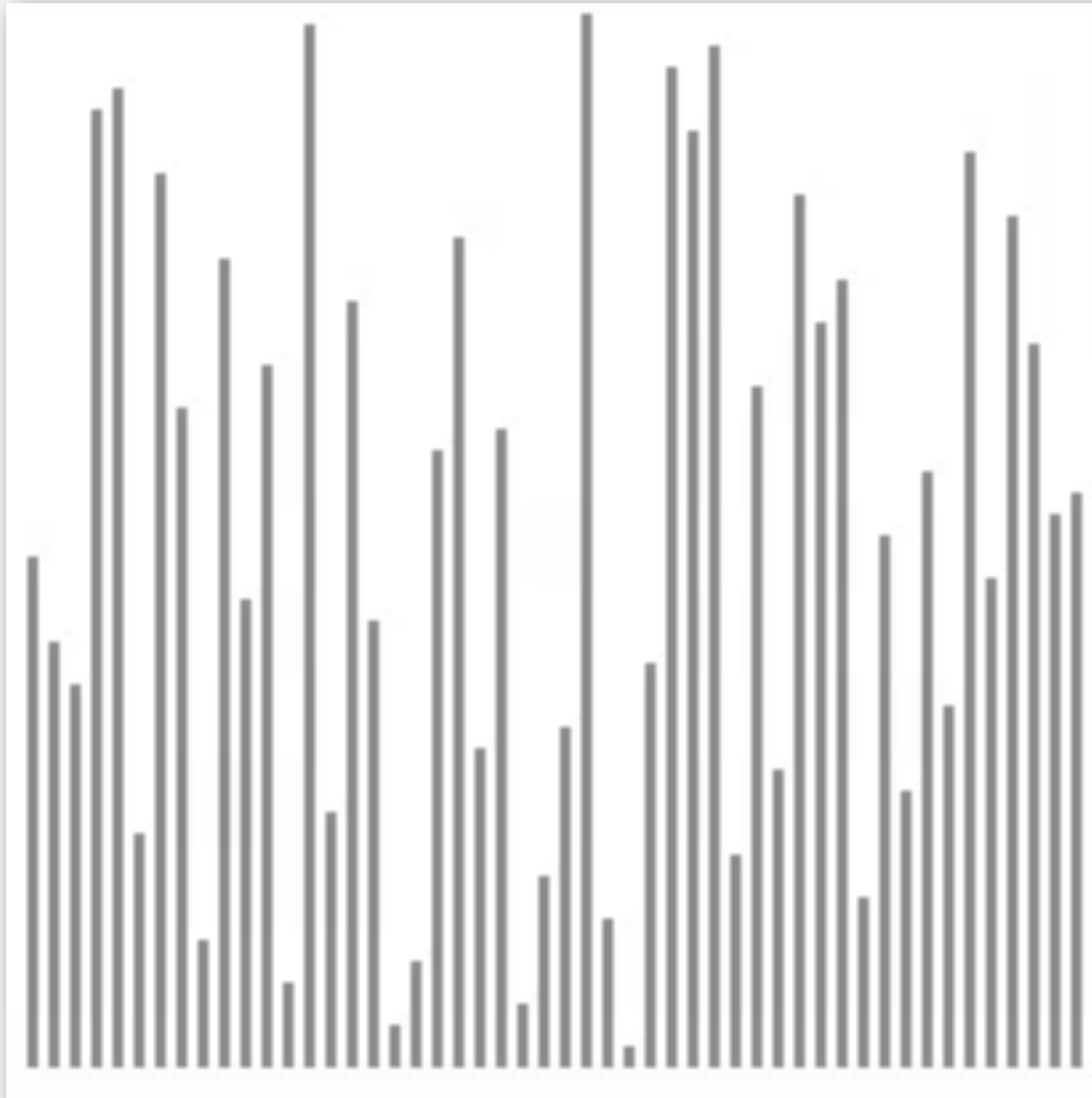
	lo	j	hi	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
initial values				Q	U	I	C	K	S	O	R	T	E	X	A	M	P	L	E
random shuffle				K	R	A	T	E	L	E	P	U	I	M	Q	C	X	O	S
	0	5	15	E	C	A	I	E	K	L	P	U	T	M	Q	R	X	O	S
	0	3	4	E	C	A	E	I	K	L	P	U	T	M	Q	R	X	O	S
	0	2	2	A	C	E	E	I	K	L	P	U	T	M	Q	R	X	O	S
	0	0	1	A	C	E	E	I	K	L	P	U	T	M	Q	R	X	O	S
	1		1	A	C	E	E	I	K	L	P	U	T	M	Q	R	X	O	S
	4		4	A	C	E	E	I	K	L	P	U	T	M	Q	R	X	O	S
	6	6	15	A	C	E	E	I	K	L	P	U	T	M	Q	R	X	O	S
	7	9	15	A	C	E	E	I	K	L	M	O	P	T	Q	R	X	U	S
	7	7	8	A	C	E	E	I	K	L	M	O	P	T	Q	R	X	U	S
	8		8	A	C	E	E	I	K	L	M	O	P	T	Q	R	X	U	S
	10	13	15	A	C	E	E	I	K	L	M	O	P	S	Q	R	T	U	X
	10	12	12	A	C	E	E	I	K	L	M	O	P	R	Q	S	T	U	X
	10	11	11	A	C	E	E	I	K	L	M	O	P	Q	R	S	T	U	X
	10		10	A	C	E	E	I	K	L	M	O	P	Q	R	S	T	U	X
	14	14	15	A	C	E	E	I	K	L	M	O	P	Q	R	S	T	U	X
	15		15	A	C	E	E	I	K	L	M	O	P	Q	R	S	T	U	X
result				A	C	E	E	I	K	L	M	O	P	Q	R	S	T	U	X

no partition for subarrays of size 1

Quicksort trace (array contents after each partition)

Quicksort animation

50 random items



- ▲ algorithm position
- █ in order
- █ current subarray
- █ not in order

<http://www.sorting-algorithms.com/quick-sort>

Quicksort: implementation details

Partitioning in-place. Using an extra array makes partitioning easier (and stable), but is not worth the cost.

Terminating the loop. Testing whether the pointers cross is a bit trickier than it might seem.

Staying in bounds. The $(j == lo)$ test is redundant (why?), but the $(i == hi)$ test is not.

Preserving randomness. Shuffling is needed for performance guarantee.

Equal keys. When duplicates are present, it is (counter-intuitively) better to stop on keys equal to the partitioning item's key.

Quicksort: empirical analysis

Running time estimates:

- Home PC executes 10^8 compares/second.
- Supercomputer executes 10^{12} compares/second.

	insertion sort (N^2)			mergesort ($N \log N$)			quicksort ($N \log N$)		
computer	thousand	million	billion	thousand	million	billion	thousand	million	billion
home	instant	2.8 hours	317 years	instant	1 second	18 min	instant	0.6 sec	12 min
super	instant	1 second	1 week	instant	instant	instant	instant	instant	instant

Lesson 1. Good algorithms are better than supercomputers.

Lesson 2. Great algorithms are better than good ones.

Quicksort: best-case analysis

Best case. Number of compares is $\sim N \lg N$.

Each partitioning process splits the array exactly in half.

			a[]														
lo	j	hi	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
initial values			H	A	C	B	F	E	G	D	L	I	K	J	N	M	O
random shuffle			H	A	C	B	F	E	G	D	L	I	K	J	N	M	O
0	7	14	D	A	C	B	F	E	G	H	L	I	K	J	N	M	O
0	3	6	B	A	C	D	F	E	G	H	L	I	K	J	N	M	O
0	1	2	A	B	C	D	F	E	G	H	L	I	K	J	N	M	O
0		0	A	B	C	D	F	E	G	H	L	I	K	J	N	M	O
2		2	A	B	C	D	F	E	G	H	L	I	K	J	N	M	O
4	5	6	A	B	C	D	E	F	G	H	L	I	K	J	N	M	O
4		4	A	B	C	D	E	F	G	H	L	I	K	J	N	M	O
6		6	A	B	C	D	E	F	G	H	L	I	K	J	N	M	O
8	11	14	A	B	C	D	E	F	G	H	J	I	K	L	N	M	O
8	9	10	A	B	C	D	E	F	G	H	I	J	K	L	N	M	O
8		8	A	B	C	D	E	F	G	H	I	J	K	L	N	M	O
10		10	A	B	C	D	E	F	G	H	I	J	K	L	N	M	O
12	13	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
12		12	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
14		14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
			A	B	C	D	E	F	G	H	I	J	K	L	M	N	O

Quicksort: worst-case analysis

Worst case. Number of compares is $\sim \frac{1}{2} N^2$.

One of the subarrays is empty for every partition.

			a[]														
lo	j	hi	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
initial values			A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
random shuffle			A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
0	0	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
1	1	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
2	2	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
3	3	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
4	4	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
5	5	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
6	6	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
7	7	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
8	8	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
9	9	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
10	10	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
11	11	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
12	12	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
13	13	14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
14		14	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
			A	B	C	D	E	F	G	H	I	J	K	L	M	N	O

Quicksort: summary of performance characteristics

Worst case. Number of compares is quadratic.

- $N + (N - 1) + (N - 2) + \dots + 1 \sim \frac{1}{2} N^2$.
- More likely that your computer is struck by lightning bolt.

Average case. Number of compares is $\sim N \lg N$.

- more compares than mergesort.
- **But** faster than mergesort in practice because of less data movement.

Random shuffle.

- Probabilistic guarantee against worst case.
- Basis for math model that can be validated with experiments.

Caveat emptor. Many textbook implementations go **quadratic** if array

- Is sorted or reverse sorted.
- Has many duplicates (even if randomized!)


Quicksort properties

Proposition. Quicksort is an **in-place** sorting algorithm.

Pf.

- Partitioning: constant extra space.
- Depth of recursion: logarithmic extra space (with high probability).

can guarantee logarithmic depth by
recurring on smaller subarray
before larger subarray



Proposition. Quicksort is **not stable**.

Pf.

i	j	0	1	2	3
		B ₁	C ₁	C ₂	A ₁
1	3	B ₁	C ₁	C ₂	A ₁
1	3	B ₁	A ₁	C ₂	C ₁
0	1	A ₁	B ₁	C ₂	C ₁

Quicksort: practical improvements

Insertion sort small subarrays.

- Even quicksort has too much overhead for tiny subarrays.
- Cutoff to insertion sort for ≈ 10 items.
- Note: could delay insertion sort until one pass at end.

```
private static void sort(Comparable[] a, int lo, int hi)
{
    if (hi <= lo + CUTOFF - 1)
    {
        Insertion.sort(a, lo, hi);
        return;
    }
    int j = partition(a, lo, hi);
    sort(a, lo, j-1);
    sort(a, j+1, hi);
}
```

Quicksort: practical improvements

Median of sample.

- Best choice of pivot item = median.
- Estimate true median by taking median of sample.
- Median-of-3 (random) items.



~ 12/7 $N \ln N$ compares (slightly fewer)

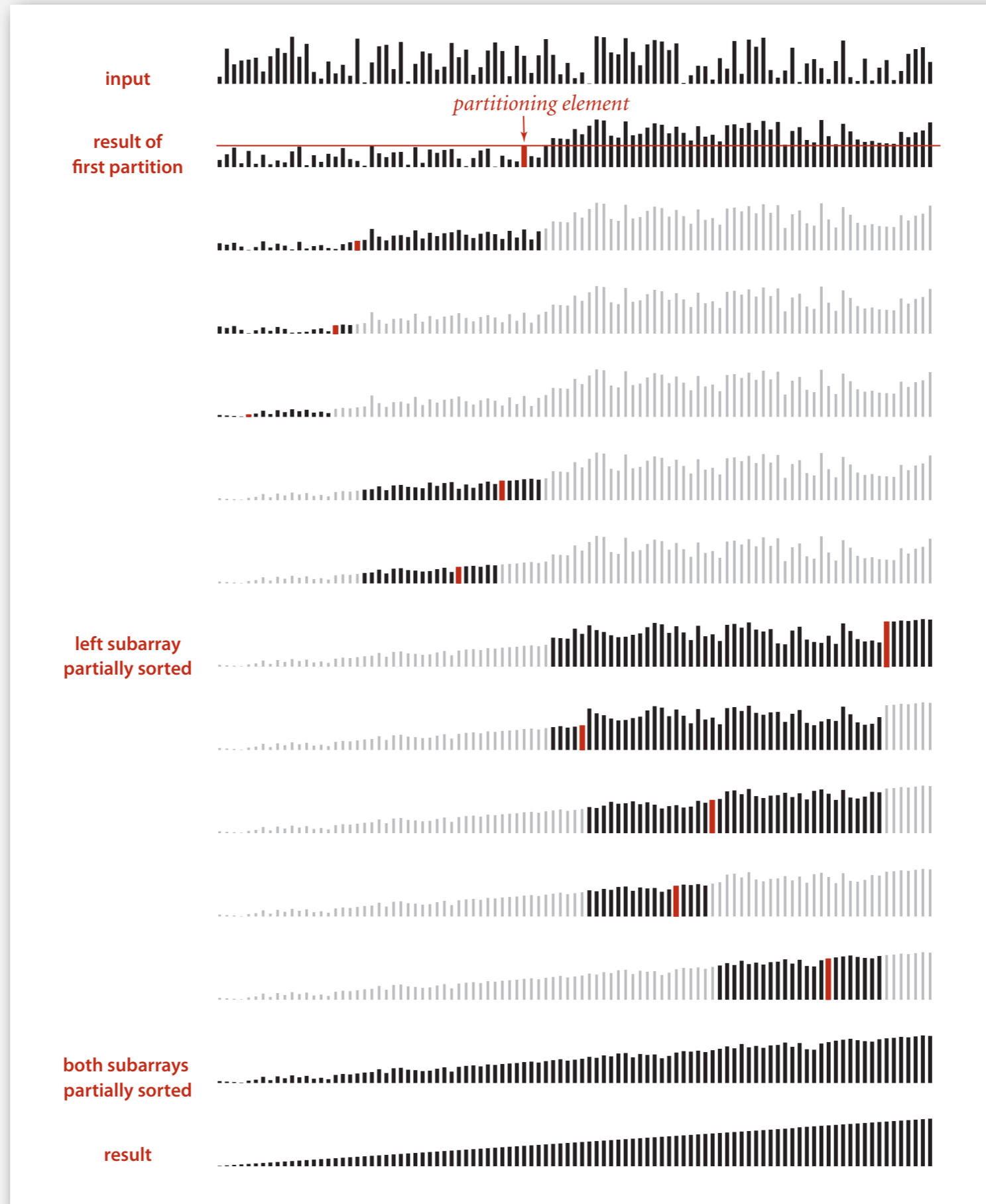
~ 12/35 $N \ln N$ exchanges (slightly more)

```
private static void sort(Comparable[] a, int lo, int hi)
{
    if (hi <= lo) return;

    int m = medianOf3(a, lo, lo + (hi - lo)/2, hi);
    swap(a, lo, m);

    int j = partition(a, lo, hi);
    sort(a, lo, j-1);
    sort(a, j+1, hi);
}
```

Quicksort with median-of-3 and cutoff to insertion sort: visualization



Selection

Goal. Given an array of N items, find the k^{th} largest.

Ex. Min ($k = 0$), max ($k = N - 1$), median ($k = N / 2$).

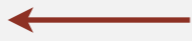
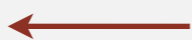
Applications.

- Order statistics.
- Find the "top k ."

Use theory as a guide.

- Easy $N \log N$ upper bound. How?
- Easy N upper bound for $k = 1, 2, 3$. How?
- Easy N lower bound. Why?

Which is true?

- $N \log N$ lower bound?  is selection as hard as sorting?
- N upper bound?  is there a linear-time algorithm for each k ?

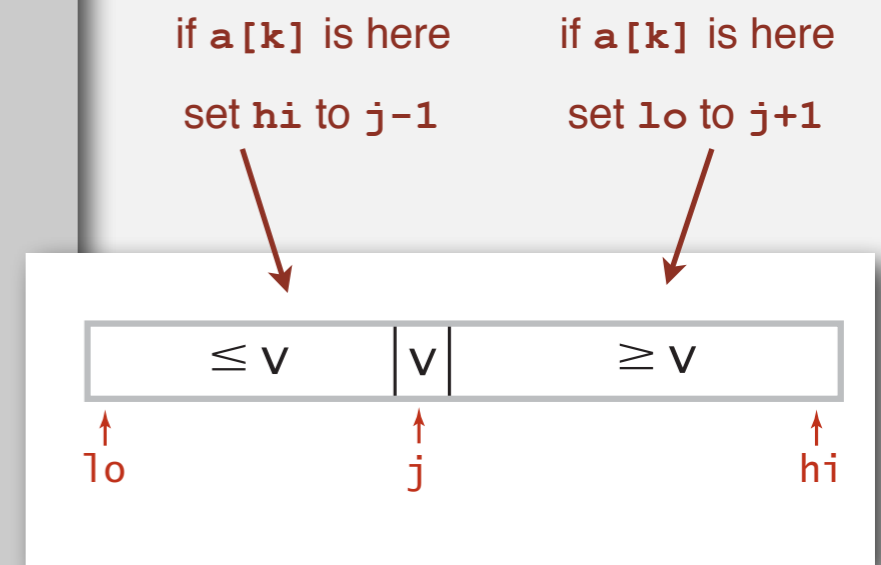
Quick-select

Partition array so that:

- Entry $a[j]$ is in place.
- No larger entry to the left of j .
- No smaller entry to the right of j .

Repeat in **one** subarray, depending on j ; finished when j equals k .

```
public static Comparable select(Comparable[] a, int k)
{
    StdRandom.shuffle(a);
    int lo = 0, hi = a.length - 1;
    while (hi > lo)
    {
        int j = partition(a, lo, hi);
        if (j < k) lo = j + 1;
        else if (j > k) hi = j - 1;
        else
            return a[k];
    }
    return a[k];
}
```




Quick-select: mathematical analysis

Proposition. Quick-select takes **linear** time on average.

Pf sketch.

- Intuitively, each partitioning step splits array approximately in half:
 $N + N/2 + N/4 + \dots + 1 \sim 2N$ compares.
- Formal analysis similar to quicksort analysis yields:

$$C_N = 2N + k \ln(N/k) + (N-k) \ln(N/(N-k))$$

 $(2 + 2 \ln 2) N$ to find the median

Remark. Quick-select uses $\sim \frac{1}{2} N^2$ compares in the worst case, but (as with quicksort) the random shuffle provides a probabilistic guarantee.

Duplicate keys

Often, purpose of sort is to bring items with equal keys together.

- Sort population by age.
- Find collinear points.
- Remove duplicates from mailing list.
- Sort job applicants by college attended.

Typical characteristics of such applications.

- Huge array.
- Small number of key values.

```
Chicago 09:25:52
Chicago 09:03:13
Chicago 09:21:05
Chicago 09:19:46
Chicago 09:19:32
Chicago 09:00:00
Chicago 09:35:21
Chicago 09:00:59
Houston 09:01:10
Houston 09:00:13
Phoenix 09:37:44
Phoenix 09:00:03
Phoenix 09:14:25
Seattle 09:10:25
Seattle 09:36:14
Seattle 09:22:43
Seattle 09:10:11
Seattle 09:22:54
```

↑
key

Duplicate keys

Mergesort with duplicate keys.

Always between $\frac{1}{2} N \lg N$ and $N \lg N$ compares.

Quicksort with duplicate keys.

- Algorithm goes **quadratic** unless partitioning stops on equal keys!
- 1990s C user found this defect in `qsort()`.

several textbook and system
implementation also have this defect

S T O P O N E Q U A L K E Y S

↑
swap

↑
if we don't stop
on equal keys

↑
if we stop on
equal keys

Duplicate keys: the problem

Mistake. Put all items equal to the partitioning item on one side.

Consequence. $\sim \frac{1}{2} N^2$ compares when all keys equal.

B A A B A B B **B** C C C

A A A A A A A A A A **A**

Recommended. Stop scans on items equal to the partitioning item.

Consequence. $\sim N \lg N$ compares when all keys equal.

B A A B A **B** C C B C B

A A A A A **A** A A A A A

Desirable. Put all items equal to the partitioning item in place.

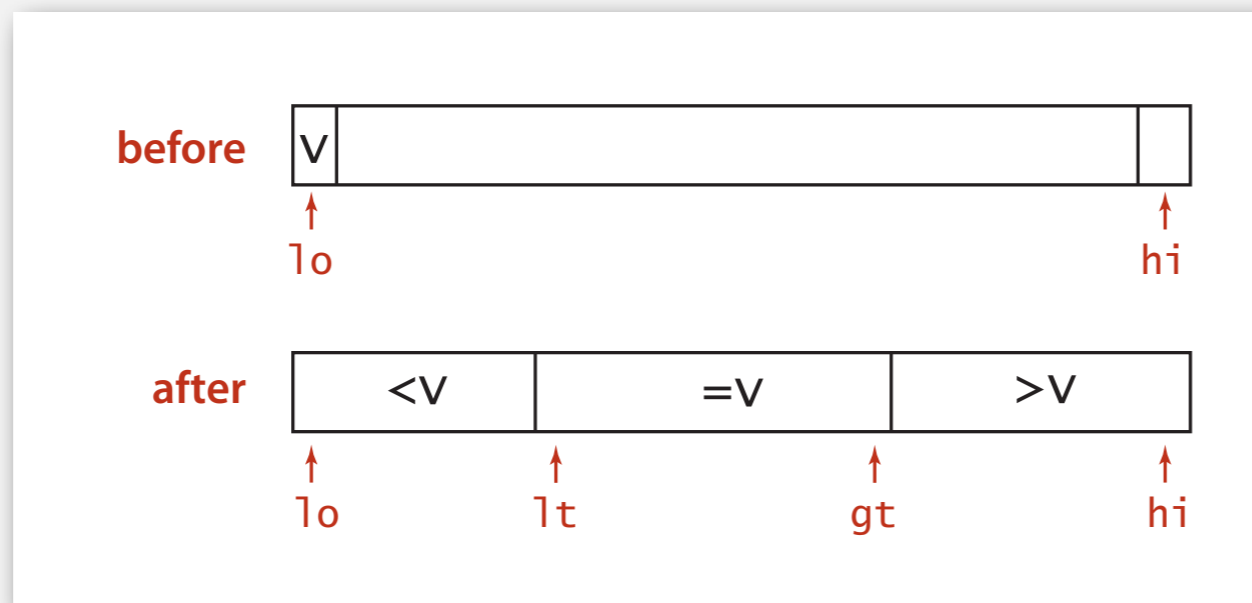
A A A **B B B B B** C C C

A A A A A A A A A A A

3-way partitioning

Goal. Partition array into 3 parts so that:

- Entries between lt and gt equal to partition item v .
- No larger entries to left of lt .
- No smaller entries to right of gt .

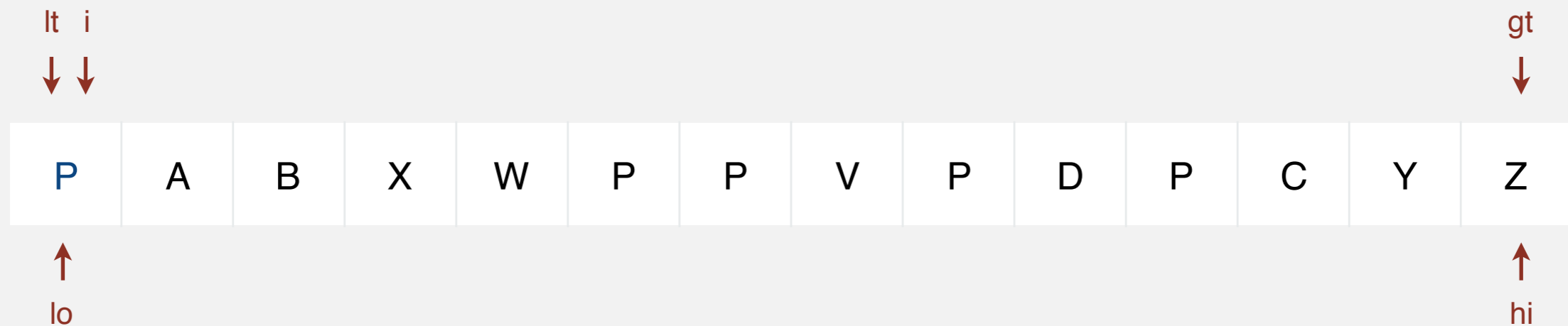


Dutch national flag problem. [Edsger Dijkstra]

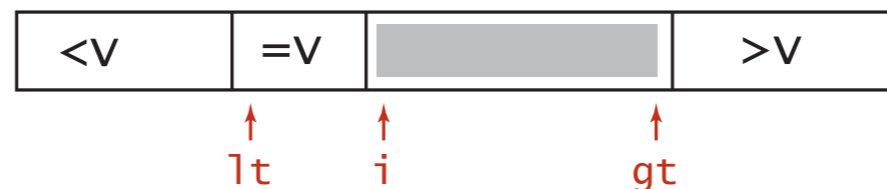
- Conventional wisdom until mid 1990s: not worth doing.
- New approach discovered when fixing mistake in C library `qsort()`.
- Now incorporated into `qsort()` and Java `system sort`.

Dijkstra 3-way partitioning

- Let v be partitioning item $a[lo]$.
- Scan i from left to right.
 - ($a[i] < v$): exchange $a[lt]$ with $a[i]$ and increment both lt and i
 - ($a[i] > v$): exchange $a[gt]$ with $a[i]$ and decrement gt
 - ($a[i] == v$): increment i

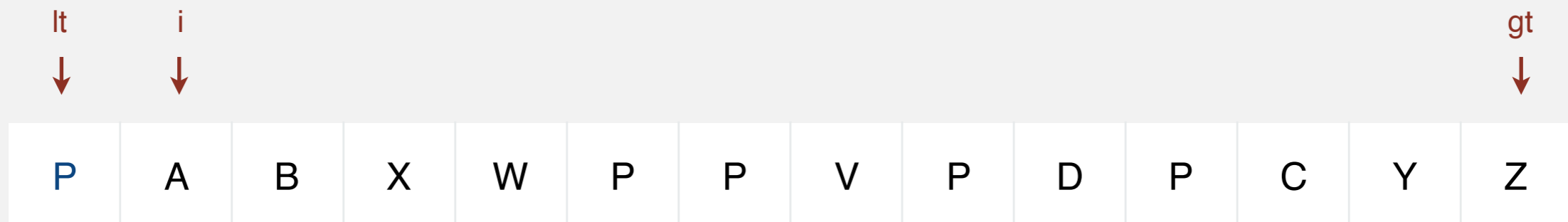


invariant

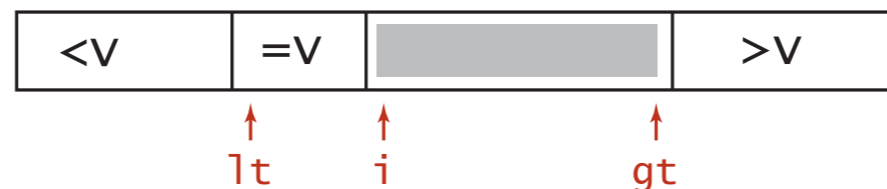


Dijkstra 3-way partitioning

- Let v be partitioning item $a[l_0]$.
- Scan i from left to right.
 - ($a[i] < v$): exchange $a[l_t]$ with $a[i]$ and increment both l_t and i
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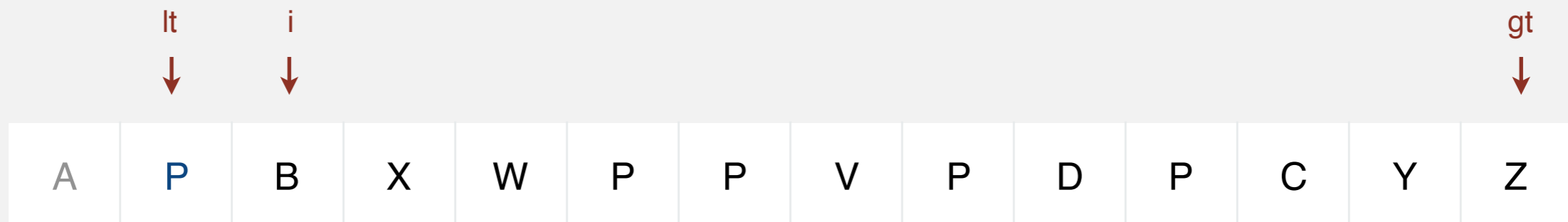


invariant

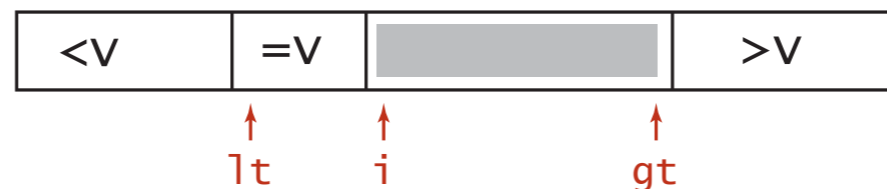


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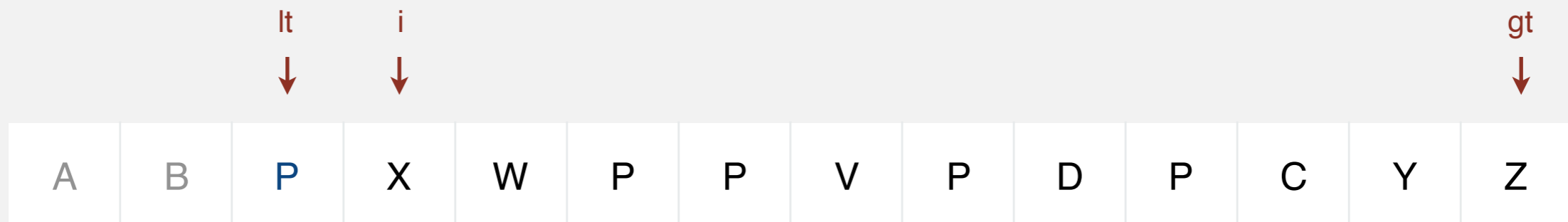


invariant

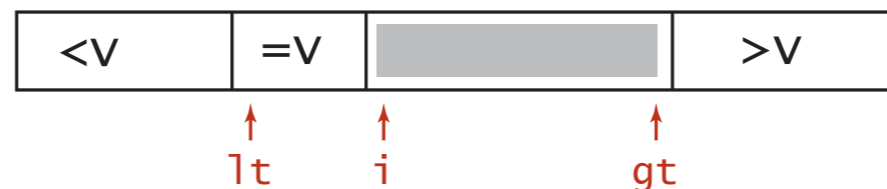


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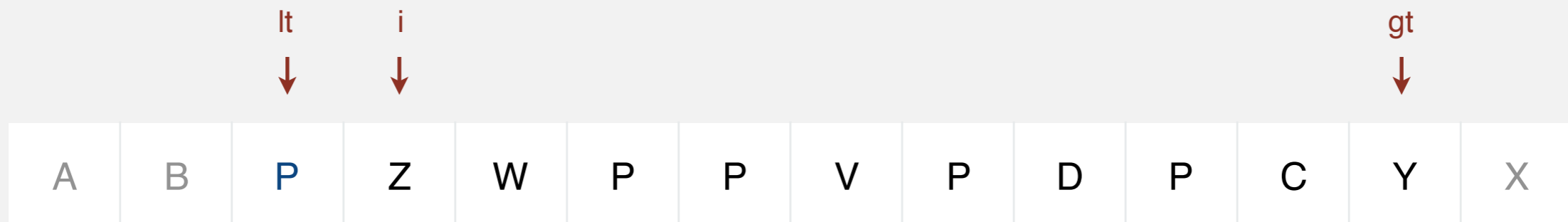


invariant

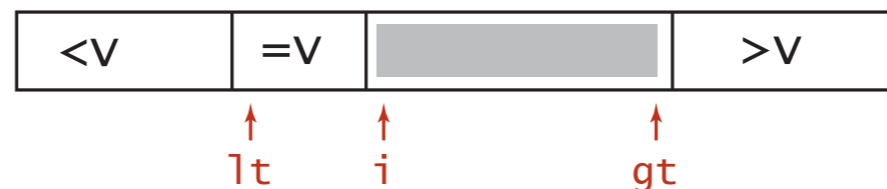


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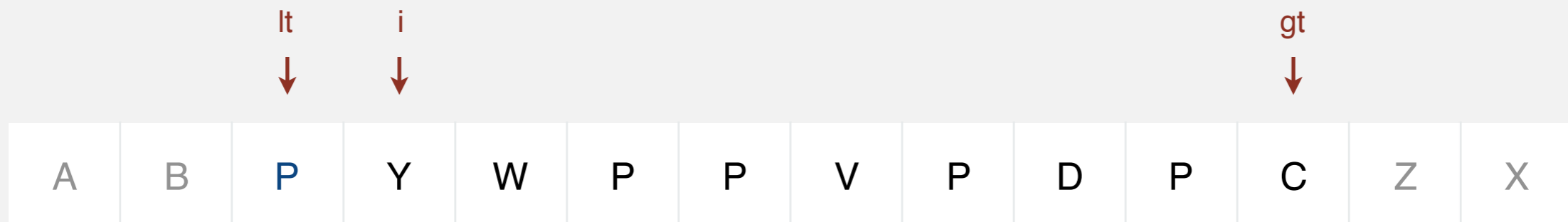


invariant

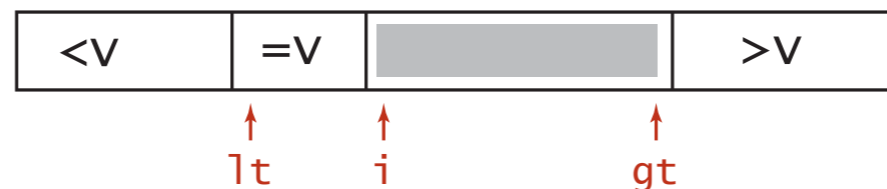


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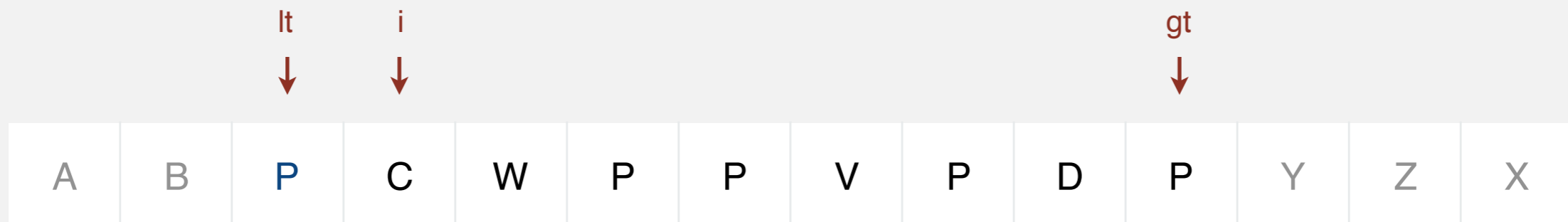


invariant

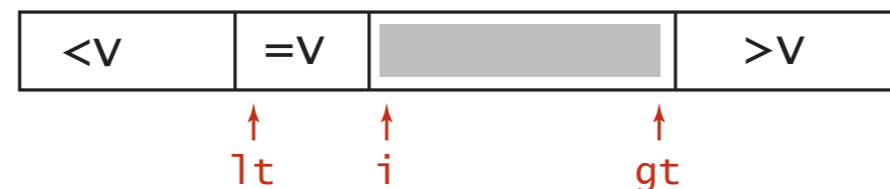


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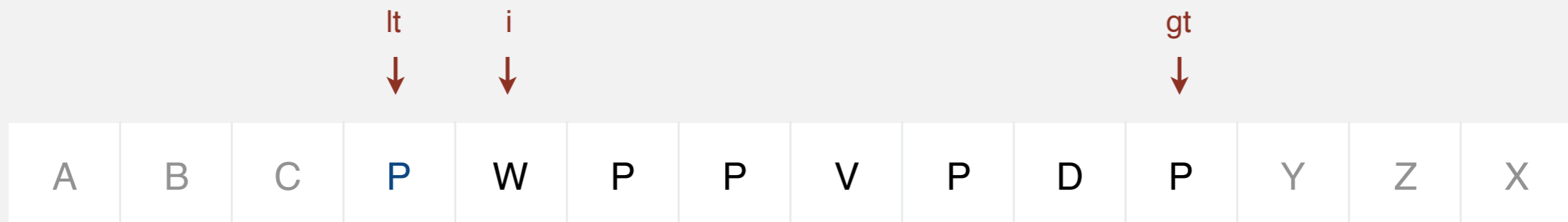


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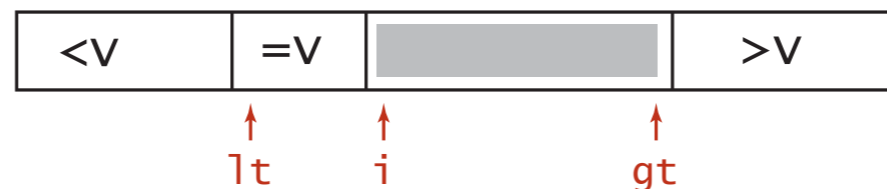


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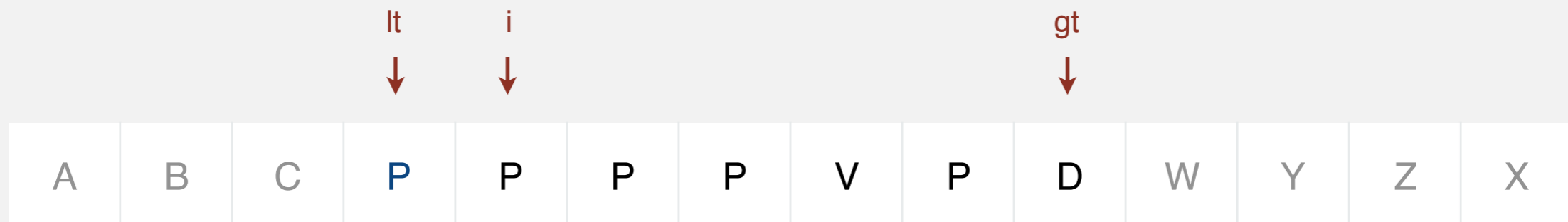


invariant

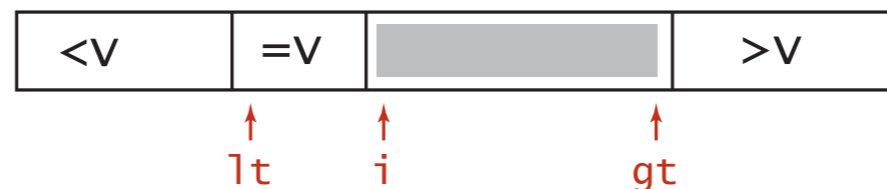


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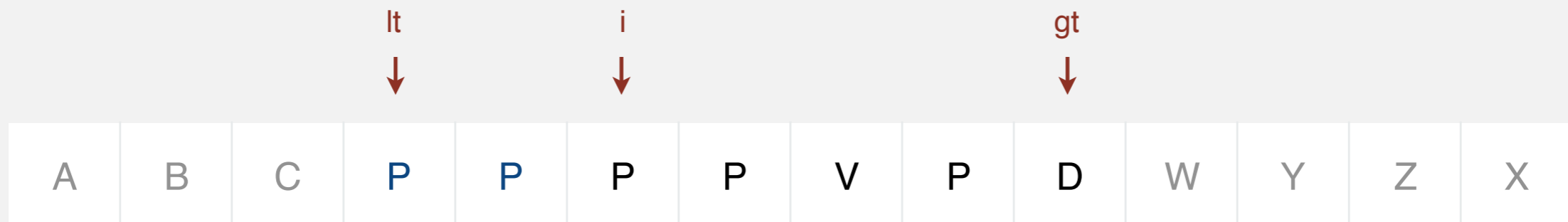


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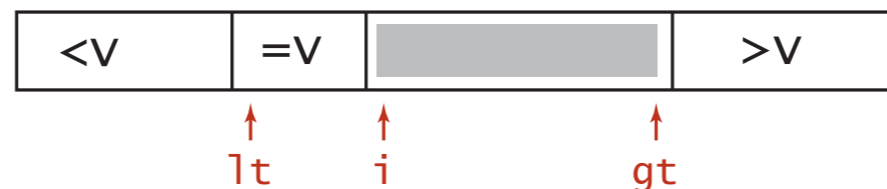


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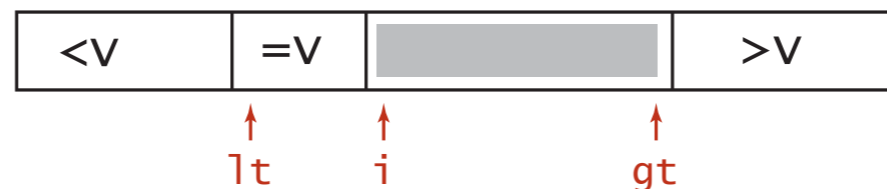


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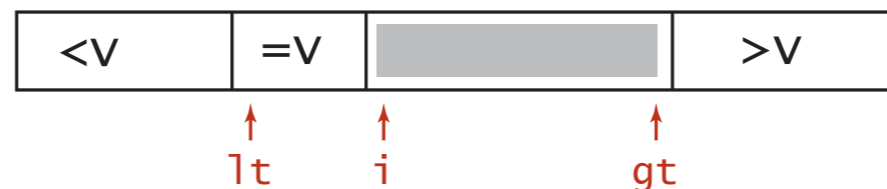


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invariant

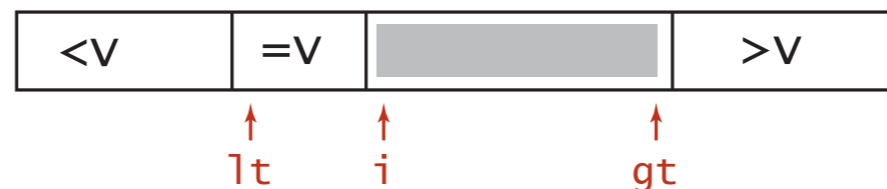


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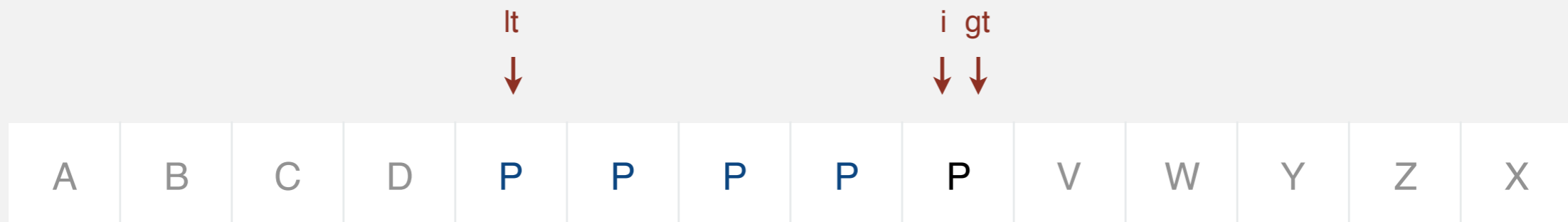


invariant

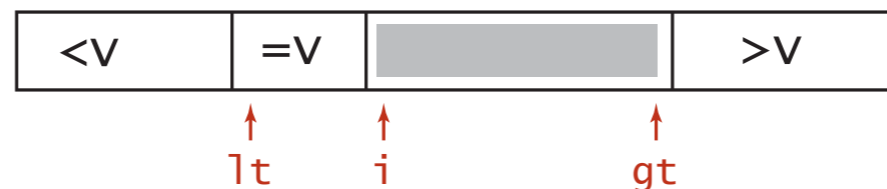


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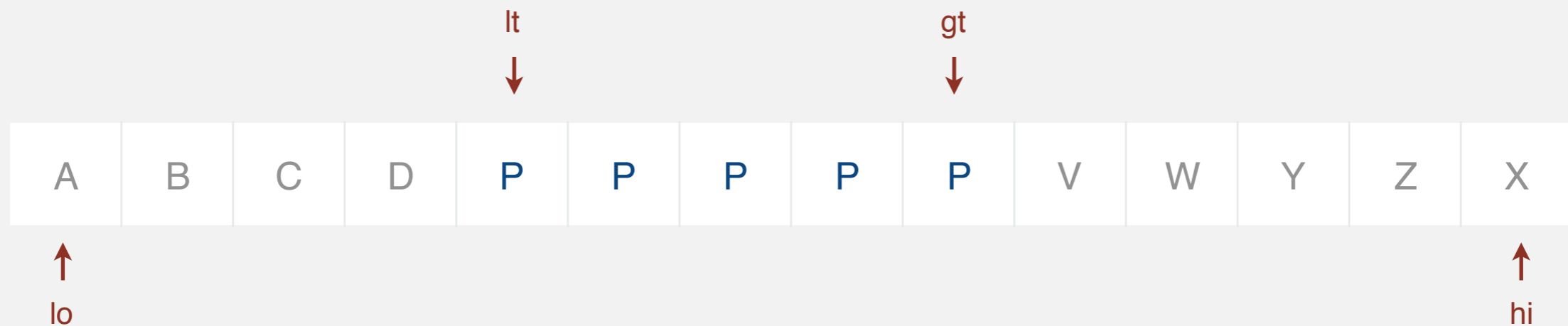


invariant

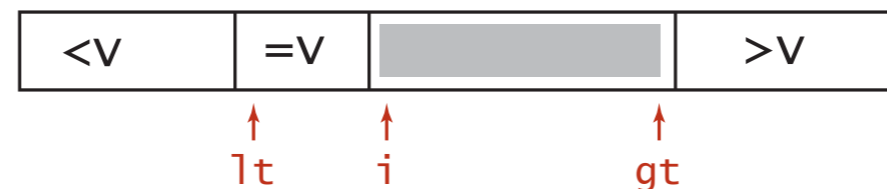


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invariant



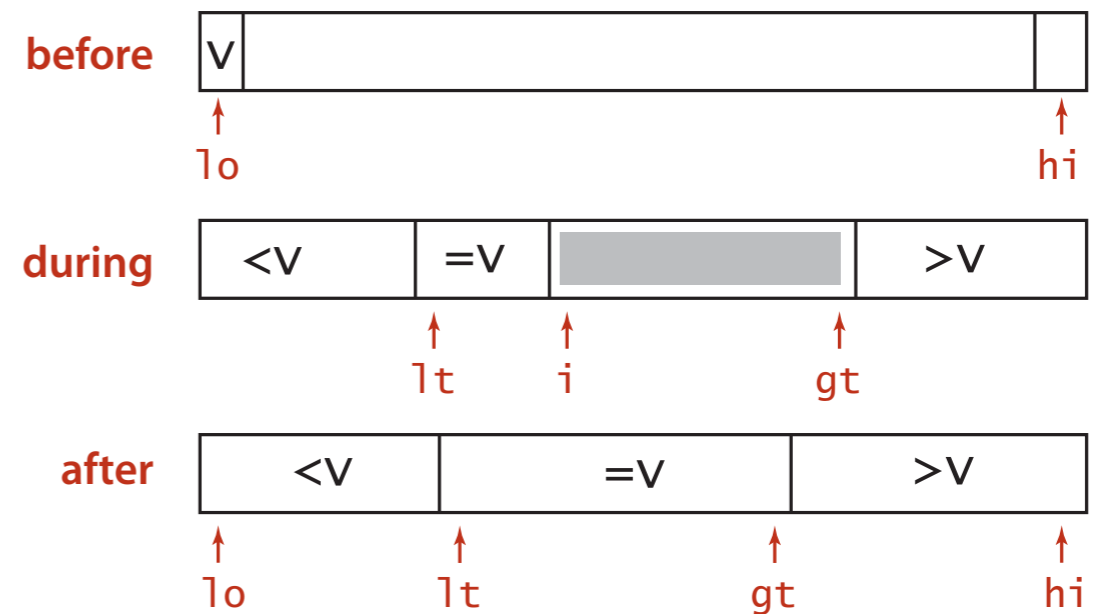
Dijkstra 3-way partitioning algorithm

3-way partitioning.

- Let v be partitioning item $a[l_0]$.
- Scan i from left to right.
 - $a[i]$ less than v : exchange $a[l_t]$ with $a[i]$ and increment both l_t and i
 - $a[i]$ greater than v : exchange $a[gt]$ with $a[i]$ and decrement gt
 - $a[i]$ equal to v : increment i

Most of the right properties.

- In-place.
- Not much code.
- Linear time if keys are all equal.



Dijkstra's 3-way partitioning: trace

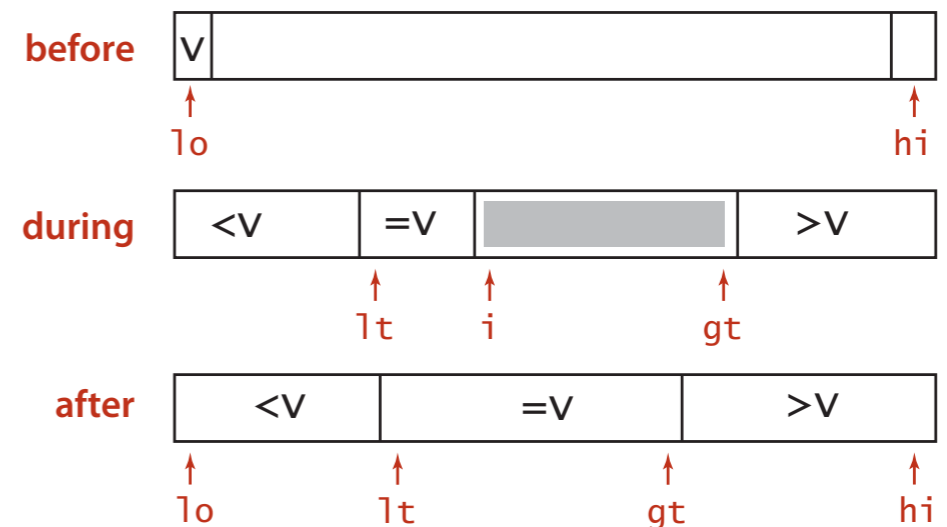
			a[]												
l	t	i	gt	0	1	2	3	4	5	6	7	8	9	10	11
0	0	0	11	R	B	W	W	R	W	B	R	R	W	B	R
0	1	1	11	R	B	W	W	R	W	B	R	R	W	B	R
1	2	2	11	B	R	W	W	R	W	B	R	R	W	B	R
1	2	2	10	B	R	R	W	R	W	B	R	R	W	B	W
1	3	3	10	B	R	R	W	R	W	B	R	R	W	B	W
1	3	3	9	B	R	R	B	R	W	B	R	R	W	W	W
2	4	4	9	B	B	R	R	R	W	B	R	R	W	W	W
2	5	5	9	B	B	R	R	R	W	B	R	R	W	W	W
2	5	5	8	B	B	R	R	R	W	B	R	R	W	W	W
2	5	5	7	B	B	R	R	R	R	B	R	R	W	W	W
2	6	6	7	B	B	R	R	R	R	B	R	R	W	W	W
3	7	7	7	B	B	B	R	R	R	R	R	R	W	W	W
3	8	8	7	B	B	B	R	R	R	R	R	R	W	W	W
3	8	8	7	B	B	B	R	R	R	R	R	R	W	W	W

3-way partitioning trace (array contents after each loop iteration)

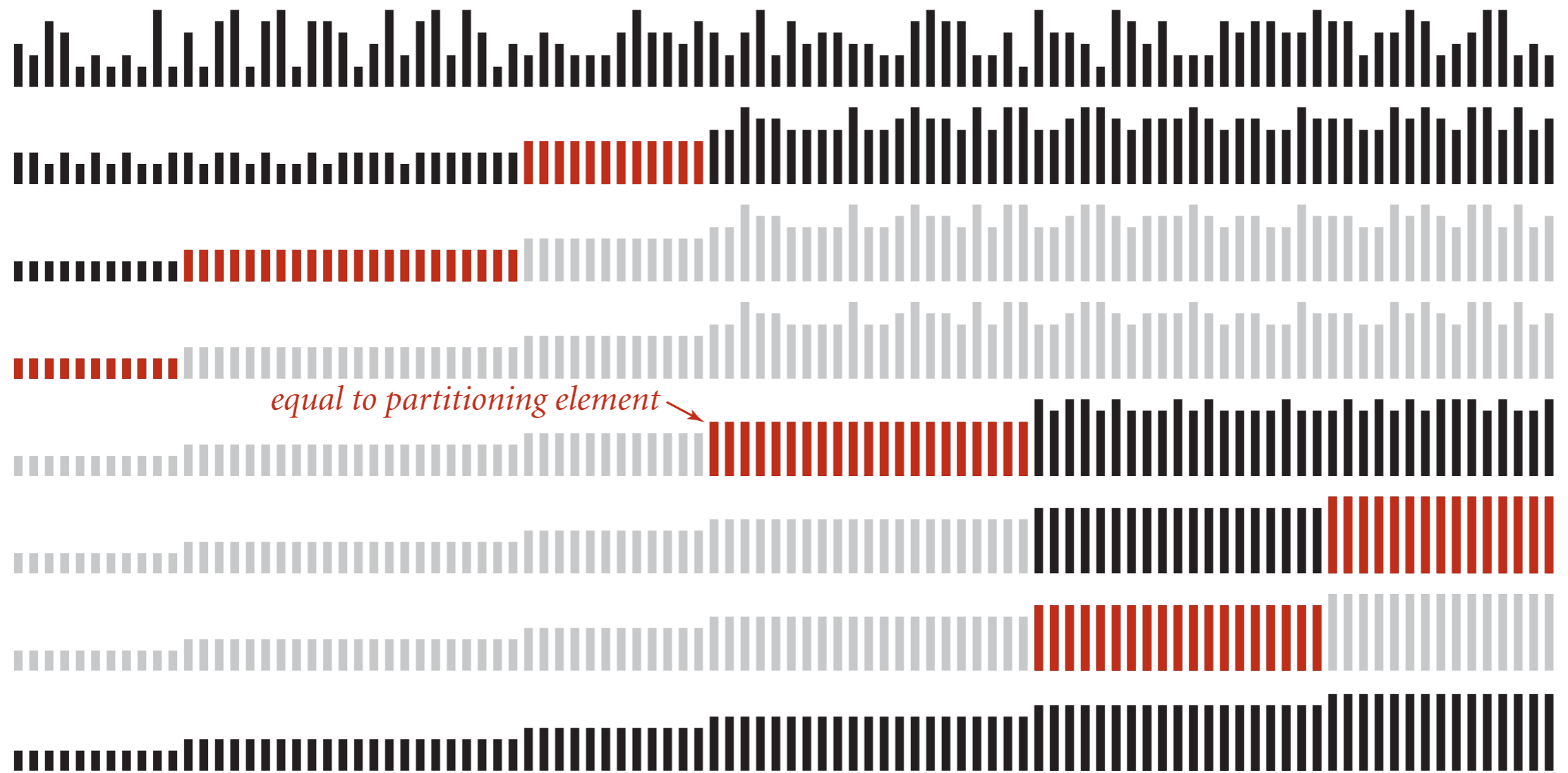
3-way quicksort: Java implementation

```
private static void sort(Comparable[] a, int lo, int hi)
{
    if (hi <= lo) return;
    int lt = lo, gt = hi;
    Comparable v = a[lo];
    int i = lo;
    while (i <= gt)
    {
        int cmp = a[i].compareTo(v);
        if (cmp < 0)  exch(a, lt++, i++);
        else if (cmp > 0) exch(a, i, gt--);
        else          i++;
    }

    sort(a, lo, lt - 1);
    sort(a, gt + 1, hi);
}
```



3-way quicksort: visual trace



Sorting summary

	inplace?	stable?	worst	average	best	remarks
selection	✓		$N^2 / 2$	$N^2 / 2$	$N^2 / 2$	N exchanges
insertion	✓	✓	$N^2 / 2$	$N^2 / 4$	N	use for small N or partially ordered
shell	✓		?	?	N	tight code, subquadratic
merge		✓	$N \lg N$	$N \lg N$	$N \lg N$	$N \log N$ guarantee, stable
quick	✓		$N^2 / 2$	$N \lg N$	$N \lg N$	$N \log N$ probabilistic guarantee fastest in practice
3-way quick	✓		$N^2 / 2$	$N \lg N$	N	improves quicksort in presence of duplicate keys
???	✓	✓	$N \lg N$	$N \lg N$	$N \lg N$	holy sorting grail