Lecture 1:
Course policies,
Introduction to Database Management Systems
Today

- Introduction
  - About the class
  - Organization of the course

- Introduction to Database Management Systems (DBMS)
About the class
The course web page is
http://web.cs.hacettepe.edu.tr/~bbm371

Announcements will be posted on Piazza
http://piazza.com/hacettepe.edu.tr/fall2020/bbm371
Textbook

Database Management Systems, Raghu Ramakrishnan, McGraw-Hill Education
Database System Implementation, Hector Garcia-Molina, Jeffrey D. Ullman, Jennifer Widom
Course Work and Grading

► **Quizes (25 points)**
  ▶ 5 out of 6

► **Midterm exams (25 points)**
  ▶ Closed book and notes

► **Final exam (50 points)**
  ▶ Closed book and notes
## Course Overview (Tentative)

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<tr>
<th>Week</th>
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<th>Topic</th>
<th>Assessments</th>
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<td>8.10.2020</td>
<td>Introduction to Data Management and Databases, Architecture</td>
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Introduction to Database Management Systems
What is Data?

**Data**: Almost any kind of unorganized fact(s).

**Examples:**
- You throw a dice for a million times. Results are your data.
- Anything you see in this classroom.
- Music on a CD.
- A computer file.
What is Signal?

- Signal is the encoding of the data that is needed for transmission.

- Analog
- Digital
What is Information?

- Data becomes information when it is processed and organized and thereby it becomes useful.
Data-Centric Applications

- Applications in which data plays an important role
  - Airline reservation systems
    - Data: aircrafts, flights, flight attendants, passengers, etc.
  - Banking applications
    - Data: clients, deposits, withdraws, etc.
  - Hospital systems
    - Data: patients, physicians, diagnosis, prescriptions, etc.
  - University systems
    - Data: students, teaching staff, courses, enrollments, etc.
How to represent Data?

- Text
- Number
- Image
- Audio
- Video
In the early days, database applications were built directly on top of file systems, which leads to:

- Data redundancy and inconsistency: data is stored in multiple file formats resulting in duplication of information in different files
- Difficulty in accessing data
  - Need to write a new program to carry out each new task
- Data isolation
  - Multiple files and formats
- Integrity problems
  - Integrity constraints (e.g., account balance > 0) become “buried” in program code rather than being stated explicitly
  - Hard to add new constraints or change existing ones
Purpose of Database Systems (cont.)

- Atomicity of updates
  - Failures may leave database in an inconsistent state with partial updates carried out
  - Example: Transfer of funds from one account to another should either complete or not happen at all

- Concurrent access by multiple users
  - Concurrent access needed for performance
  - Uncontrolled concurrent accesses can lead to inconsistencies
    - Ex: Two people reading a balance (say 100) and updating it by withdrawing money (say 50 each) at the same time

- Security problems
  - Hard to provide user access to some, but not all, data

Database systems offer solutions to all the above problems
Why Use a Database System?

- Data independence and efficient access
- Reduced application and development time
- Data integrity and security
- Uniform data administration
- Concurrent access
- Recovery from crashes
What is Management?

The process of dealing with things (or people)!

- Initiation/Setting Objectives
- Planning
- Design and Implementation
- Execution
- Monitoring and Control
What is a DBMS?

► A very large, integrated collection of data.
► Models real-world enterprise
► A Database Management System (DBMS) is a software package designed to store and manage databases
► Information about:
  ► Entities: such as students, faculty, courses
  ► Relationships: between entities for example a student is enrolled to a course
History of DBMS

- 1950s and early 1960s:
  - Data processing using magnetic tapes for storage
    - Tapes provided only sequential access
  - Punched cards for input

- Late 1960s and 1970s:
  - Hard disks allowed direct access to data
  - Network and hierarchical data models in widespread use
  - Ted Codd defines the relational data model
    - Would win the ACM Turing Award for this work
  - IBM Research begins System R prototype
  - UC Berkeley (Michael Stonebraker) begins Ingres prototype
  - Oracle releases first commercial relational database
  - High-performance (for the era) transaction processing
History of DBMS (cont.)

- **2000s**
  - Big data storage systems
    - Google BigTable, Yahoo PNuts, Amazon,
    - “NoSQL” systems.
  - Big data analysis: beyond SQL
    - Map reduce and friends

- **2010s**
  - SQL reloaded
    - SQL front end to Map Reduce systems
    - Massively parallel database systems
    - Multi-core main-memory databases
Suppose we are building a system to store the information about:

- students
- courses
- professors
- who takes what, who teaches what
Can we do it without a DBMS?

Sure we can! Start by storing the data in files:

students.txt  courses.txt  professors.txt

Now write C/C++, Java or Python programs to implement specific tasks.
Doing it without a DBMS...

- Enroll “Mary Johnson” in “CSE444”:

  Write a program to do the following:

  Read ‘students.txt’
  Read ‘courses.txt’
  Find&update the record “Mary Johnson”
  Find&update the record “CSE444”
  Write “students.txt”
  Write “courses.txt”
Why Study Databases?

► Shift from computation to information
  ► Low-end users: Web Applications needs to organize information (a mess will not be effective)
  ► High-end users: Scientific applications now have data management problems!

► Datasets increasing in diversity and volume
  ► Digital libraries, interactive video, Human Genome project etc.

► DBMS encompasses most of CS
  ► OS, languages, AI, multimedia etc.
A data model is a collection of concepts for describing data. (high-level). A collection of tools for describing:
- Data
- Data relationships
- Data semantics
- Data constraints

A schema is a description of a particular collection of data, using the given data model.

- Relational model
- Entity-Relationship data model (mainly for database design)
- Object-based data models (Object-oriented and Object-relational)
- Semi-structured data model (XML)
- Other older models:
  - Network model
  - Hierarchical model
The relational model of data is the most widely used model today.

- Main concept: relation, basically a table with rows and columns

- Every relation has a schema, which describes the columns, or fields.

- Schema is defined by: name of schema, the name of each field (or attribute or column) and type of each field

*Students*(sid: string, name: string, login: string, age: integer, gpa: real)*
## Entity: Student

**Students** (sid: string, name: string, login: string, age: integer, gpa: real)

<table>
<thead>
<tr>
<th>Sid</th>
<th>name</th>
<th>login</th>
<th>age</th>
<th>gpa</th>
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<tr>
<td>53666</td>
<td>Jones</td>
<td>jones@cs</td>
<td>18</td>
<td>3.4</td>
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<tr>
<td>53688</td>
<td>Smith</td>
<td>smith@ee</td>
<td>18</td>
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**Attribute**
(field or column)

Using age as a field is not a good idea, why?

**Integrity Constraints:** We can define the field sid to be unique or age to be larger than 0. Rules for records to satisfy.
Levels of Abstraction

- Unlike programmers of early systems, programmer of relational system does not need to implement lower level details.
- Many views, single conceptual (logical) schema and physical schema.
  - Views (external level) describe how users see the data.
  - Conceptual schema (logical level) defines logical structure.
  - Physical schema (physical level) describes the files and indexes used.
### Dept-Table

<table>
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<tr>
<th>deptno</th>
<th>dNam</th>
<th>dAdr</th>
<th>dChair</th>
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<tr>
<td>357</td>
<td>EleM</td>
<td>ggg</td>
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### Staff-Table

<table>
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<td>1</td>
<td>Ebru</td>
<td>Inf.Ret</td>
<td>Assoc</td>
</tr>
<tr>
<td>2</td>
<td>HS</td>
<td>Inf.Ret</td>
<td>Prof</td>
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### External Level

<table>
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<th>deptN</th>
<th>dChai</th>
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<td>356</td>
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<td>HS</td>
<td>Prof</td>
</tr>
<tr>
<td>....</td>
<td>....</td>
<td>...</td>
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</table>

### Conceptual Layer

### Physical Layer

**Dept-File**: 356BilMmmm2357EleMggg4

**Staff-File**: 1EbruInf.Ret.Assoc2HSInf.Ret.Prof
Logical Level

Physical Level

Base Tables

View Level

View

View

View

Stored Tables ...
Physical Layer

- The DBMS must know
  - exact physical location
  - precise physical structure

Employee record

A.B.C. De Silva | 222, Galle Road, Colombo |
Name (20 characters) Address (40 characters)

650370690V | Senior Lecturer
NID (10 char) Designation (15 char)
The conceptual model is a logical representation of the entire contents of the database.

The conceptual model is made up of base tables.

Base tables are “real” in that they contain physical records.
The user/application see
- authorised data
- own format
External views allow to

- hide unauthorised data
  - e.g. salary, dob
- provide user view
  - e.g. view employee name, designation, department data taken from employee and department files
- derive new attributes
  - e.g. age derived from dob
Example: University Database

- Conceptual schema:
  - Students(sid:string, name:string, login:string, age:integer, gpa:real)
  - Courses(cid:string, cname:string, credits:integer)
  - Enrolled(sid:string, cid:string, grade:string)

- Physical schema:
  - Relations stored as unordered files
  - Index on first column of Students

- External Schema (View):
  - Course_info(cid:string, enrollment:integer)
Data Independence

- Applications insulated from how data is structured and stored

- **Physical Data Independence** – the ability to modify the physical schema without changing the logical schema
  - Applications depend on the logical schema
  - In general, the interfaces between the various levels and components should be well defined so that changes in some parts do not seriously influence others.

One of the most important benefits of using a DBMS!
A typical database system has a layered architecture.

The figure does not show the concurrency control and recovery components.

This is one of several possible architectures; each system has its own variations.
Database Architecture

- Centralized databases
  - One to a few cores, shared memory

- Client-server,
  - One server machine executes work on behalf of multiple client machines.

- Parallel databases (will be discussed in BBM471)
  - Many core shared memory
  - Shared disk
  - Shared nothing

- Distributed databases (will be discussed in BBM471)
  - Geographical distribution
  - Schema/data heterogeneity
Database Architecture (Centralized/Shared-Memory)
Data Definition Language (DDL)

- Specification notation for defining the database schema
  
  Example: `create table instructor ( 
  ID char(5),
  name varchar(20),
  dept_name varchar(20),
  salary numeric(8,2))`

- DDL compiler generates a set of table templates stored in a *data dictionary*

- Data dictionary contains metadata (i.e., data about data)
  
  - Database schema
  - Integrity constraints
    - Primary key (ID uniquely identifies instructors)
  - Authorization
    - Who can access what
Data Manipulation Language (DML)

- Language for accessing and updating the data organized by the appropriate data model
  - DML also known as query language

- There are basically two types of data-manipulation language
  - **Procedural DML** -- require a user to specify what data are needed and how to get those data.
  - **Declarative DML** -- require a user to specify what data are needed without specifying how to get those data.

- Declarative DMLs are usually easier to learn and use than are procedural DMLs.
- Declarative DMLs are also referred to as non-procedural DMLs.
- The portion of a DML that involves information retrieval is called a **query** language.
SQL Query Language

- SQL query language is nonprocedural. A query takes as input several tables (possibly only one) and always returns a single table.

- Example to find all instructors in Comp. Sci. dept

  ```
  select name
  from instructor
  where dept_name = 'Comp. Sci.'
  ```

- SQL is **NOT** a Turing machine equivalent language

- To be able to compute complex functions SQL is usually embedded in some higher-level language

- Application programs generally access databases through one of
  - Language extensions to allow embedded SQL
  - Application program interface (e.g., ODBC/JDBC) which allow SQL queries to be sent to a database
Database Access from Application Program

- Non-procedural query languages such as SQL are not as powerful as a universal Turing machine.

- SQL does not support actions such as input from users, output to displays, or communication over the network.

- Such computations and actions must be written in a host language, such as C/C++, Java or Python, with embedded SQL queries that access the data in the database.

- Application programs -- are programs that are used to interact with the database in this fashion.
Database Applications

Database applications are usually partitioned into two or three parts

- **(a) Two-tier architecture** -- the application resides at the client machine, where it invokes database system functionality at the server machine.

- **(b) Three-tier architecture** -- the client machine acts as a front end and does not contain any direct database calls.
  - The client end communicates with an application server, usually through a forms interface.
  - The application server in turn communicates with a database system to access data.
An Overview of Database Concepts

Database Design
- Entity Relationship (ER) Diagrams
- Data Definition Language (DDL)
- Normalization / Functional Dependencies
- DBMS Models

Querying
- Structured Query Language (SQL)
- Relational Query Languages (RA, TRC, DRC)

Physical Storage
- Utilizing the Memory Hierarchy (Buffering)
- How to Store Data in Files
- Finding data fast; Indexing Structures
- External Sorting

Transaction Management
- Query Optimization
- Concurrency Control
- Crash Recovery

Covered in this course
Covered in BBM 471