

# BBM 202 - ALGORITHMS



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## REDUCTIONS

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**Acknowledgement:** The course slides are adapted from the slides prepared by R. Sedgewick and K. Wayne of Princeton University.

### Bird's-eye view

**Desiderata.** Classify **problems** according to computational requirements.

complexity	order of growth	examples
linear	$N$	min, max, median, Burrows-Wheeler transform, ...
linearithmic	$N \log N$	sorting, convex hull, closest pair, farthest pair, ...
quadratic	$N^2$	?
$\vdots$	$\vdots$	$\vdots$
exponential	$c^N$	?

**Frustrating news.** Huge number of problems have defied classification.

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### Bird's-eye view

**Desiderata.** Classify **problems** according to computational requirements.

**Desiderata'.**

Suppose we could (could not) solve problem  $X$  efficiently.  
What else could (could not) we solve efficiently?

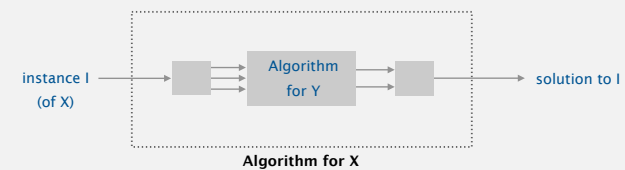


“ Give me a lever long enough and a fulcrum on which to place it, and I shall move the world. ” — Archimedes

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### Reduction

**Def.** Problem  $X$  **reduces to** problem  $Y$  if you can use an algorithm that solves  $Y$  to help solve  $X$ .



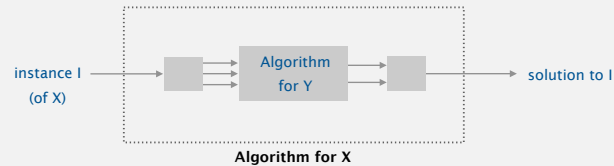
Cost of solving  $X$  = total cost of solving  $Y$  + cost of reduction.

↑ perhaps many calls to  $Y$  on problems of different sizes  
↑ preprocessing and postprocessing

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## Reduction

Def. Problem  $X$  **reduces to** problem  $Y$  if you can use an algorithm that solves  $Y$  to help solve  $X$ .



Ex 1. [element distinctness reduces to sorting]

To solve element distinctness on  $N$  items:

- Sort  $N$  items.
- Check adjacent pairs for equality.

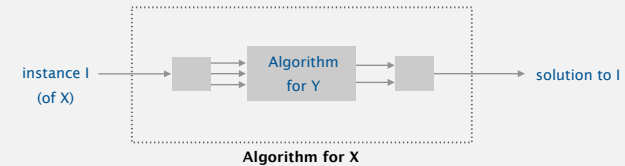
Cost of solving element distinctness.  $N \log N + N$ .

cost of sorting  
cost of reduction

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## Reduction

Def. Problem  $X$  **reduces to** problem  $Y$  if you can use an algorithm that solves  $Y$  to help solve  $X$ .



Ex 2. [3-collinear reduces to sorting]

To solve 3-collinear instance on  $N$  points in the plane:

- For each point, sort other points by polar angle or slope.
- check adjacent triples for collinearity

Cost of solving 3-collinear.  $N^2 \log N + N^2$ .

cost of sorting  
cost of reduction

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## REDUCTIONS

- ▶ Designing algorithms
- ▶ Establishing lower bounds
- ▶ Classifying problems

## Reduction: design algorithms

Def. Problem  $X$  **reduces to** problem  $Y$  if you can use an algorithm that solves  $Y$  to help solve  $X$ .

Design algorithm. Given algorithm for  $Y$ , can also solve  $X$ .

Ex.

- Element distinctness reduces to sorting.
- 3-collinear reduces to sorting.
- CPM reduces to topological sort. [shortest paths lecture]
- h-v line intersection reduces to 1d range searching. [geometric BST lecture]
- Baseball elimination reduces to maxflow.
- Burrows-Wheeler transform reduces to suffix sort.
- ...

Mentality. Since I know how to solve  $Y$ , can I use that algorithm to solve  $X$ ?

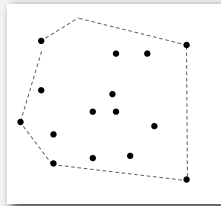
↑  
programmer's version: I have code for  $Y$ . Can I use it for  $X$ ?

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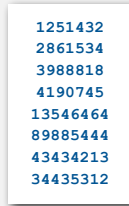
## Convex hull reduces to sorting

**Sorting.** Given  $N$  distinct integers, rearrange them in ascending order.

**Convex hull.** Given  $N$  points in the plane, identify the extreme points of the convex hull (in counterclockwise order).



convex hull



sorting

**Proposition.** Convex hull reduces to sorting.

**Pf.** Graham scan algorithm.

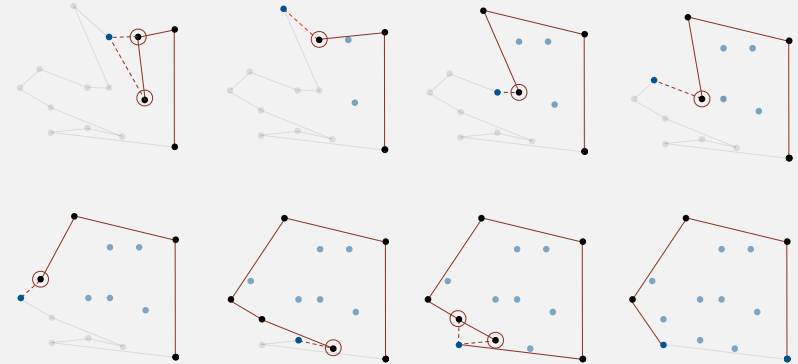
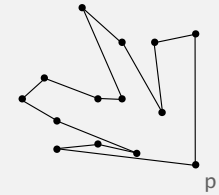
Cost of convex hull.  $N \log N + N$ .

↖ cost of sorting     ↖ cost of reduction

## Graham scan algorithm

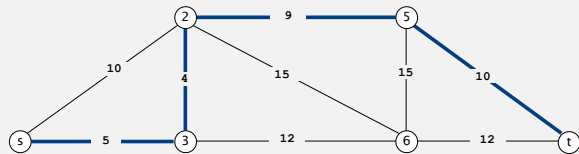
**Graham scan.**

- Choose point  $p$  with smallest (or largest)  $y$ -coordinate.
- **Sort** points by polar angle with  $p$  to get simple polygon.
- Consider points in order, and discard those that would create a clockwise turn.



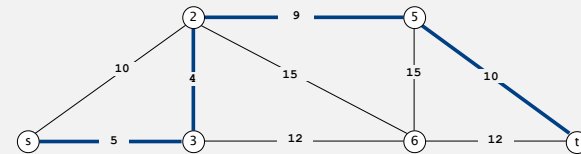
## Shortest paths on edge-weighted graphs and digraphs

**Proposition.** Undirected shortest paths (with nonnegative weights) reduces to directed shortest path.

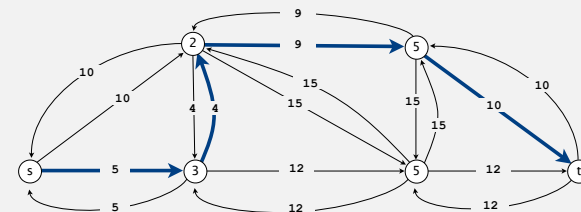


## Shortest paths on edge-weighted graphs and digraphs

**Proposition.** Undirected shortest paths (with nonnegative weights) reduces to directed shortest path.

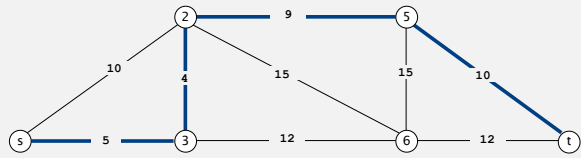


**Pf.** Replace each undirected edge by two directed edges.



## Shortest paths on edge-weighted graphs and digraphs

**Proposition.** Undirected shortest paths (with nonnegative weights) reduces to directed shortest path.



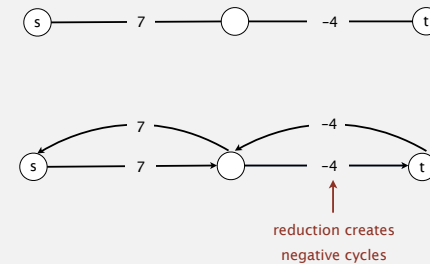
cost of shortest paths in digraph      cost of reduction

Cost of undirected shortest paths.  $E \log V + E$ .

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## Shortest paths with negative weights

**Caveat.** Reduction is invalid for edge-weighted graphs with negative weights (even if no negative cycles).



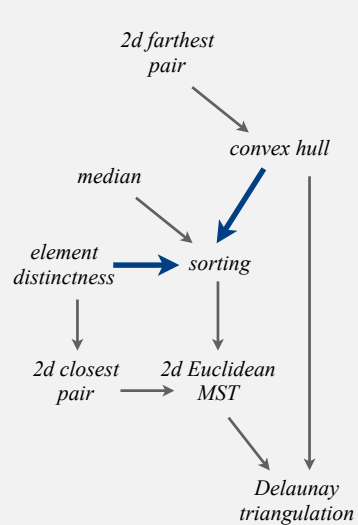
**Remark.** Can still solve shortest-paths problem in undirected graphs (if no negative cycles), but need more sophisticated techniques.

reduces to weighted non-bipartite matching (!)

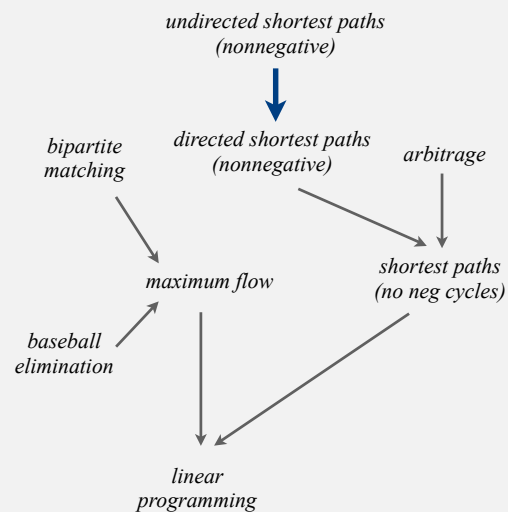
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## Some reductions involving familiar problems

computational geometry



combinatorial optimization



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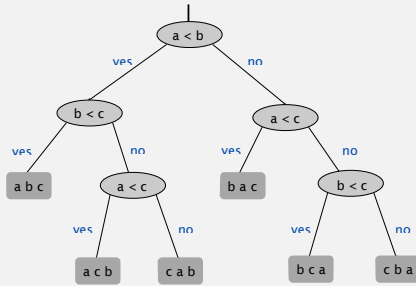
## REDUCTIONS

- ▶ Designing algorithms
- ▶ Establishing lower bounds
- ▶ Classifying problems

## Bird's-eye view

**Goal.** Prove that a problem requires a certain number of steps.

**Ex.** In decision tree model, any compare-based sorting algorithm requires  $\Omega(N \log N)$  compares in the worst case.



argument must apply to all conceivable algorithms

**Bad news.** Very difficult to establish lower bounds from scratch.

**Good news.** Spread  $\Omega(N \log N)$  lower bound to  $Y$  by reducing sorting to  $Y$ .

assuming cost of reduction is not too high

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## Linear-time reductions

**Def.** Problem  $X$  **linear-time reduces** to problem  $Y$  if  $X$  can be solved with:

- Linear number of standard computational steps.
- Constant number of calls to  $Y$ .

**Ex.** Almost all of the reductions we've seen so far.

**Establish lower bound:**

- If  $X$  takes  $\Omega(N \log N)$  steps, then so does  $Y$ .
- If  $X$  takes  $\Omega(N^2)$  steps, then so does  $Y$ .

**Mentality.**

- If I could easily solve  $Y$ , then I could easily solve  $X$ .
- I can't easily solve  $X$ .
- Therefore, I can't easily solve  $Y$ .

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## Element distinctness linear-time reduces to closest pair

**Closest pair.** Given  $N$  points in the plane, find the closest pair.

**Element distinctness.** Given  $N$  elements, are any two equal?

**Proposition.** Element distinctness linear-time reduces to closest pair.

**Pf.**

- Element distinctness instance:  $x_1, x_2, \dots, x_N$ .
- Closest pair instance:  $(x_1, x_1), (x_2, x_2), \dots, (x_N, x_N)$ .
- Two elements are distinct if and only if closest pair  $= 0$ .

allows quadratic tests of the form:  
 $x_i < x_j$  or  $(x_i - x_k)^2 - (x_j - x_k)^2 < 0$

**Element distinctness lower bound.** In quadratic decision tree model, any algorithm that solves element distinctness takes  $\Omega(N \log N)$  steps.

**Implication.** In quadratic decision tree model, any algorithm for closest pair takes  $\Omega(N \log N)$  steps.

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## More linear-time reductions and lower bounds

sorting

element distinctness  
 $(N \log N$  lower bound)

sorting

2d convex hull

2d closest pair

2d Euclidean MST

Delaunay triangulation

3-sum

3-sum  
 (conjectured  $N^2$  lower bound)

3-collinear

3-concurrent

dihedral rotation

min area triangle

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## Establishing lower bounds: summary

Establishing lower bounds through reduction is an important tool in guiding algorithm design efforts.

Q. How to convince yourself no linear-time convex hull algorithm exists?

A1. [hard way] Long futile search for a linear-time algorithm.

A2. [easy way] Linear-time reduction from sorting.

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## REDUCTIONS

- ▶ Designing algorithms
- ▶ Establishing lower bounds
- ▶ Classifying problems

## Classifying problems: summary

**Desiderata.** Problem with algorithm that matches lower bound.

**Ex.** Sorting, convex hull, and closest pair have complexity  $N \log N$ .

**Desiderata'.** Prove that two problems  $X$  and  $Y$  have the same complexity.

- First, show that problem  $X$  linear-time reduces to  $Y$ .
- Second, show that  $Y$  linear-time reduces to  $X$ .
- Conclude that  $X$  and  $Y$  have the same complexity.

even if we don't know what it is!



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## Caveat

**Sort.** Given  $N$  distinct integers, rearrange them in ascending order.

**Convex Hull.** Given  $N$  points in the plane, identify the extreme points of the convex hull (in counterclockwise order).

**Proposition.** *Sort* linear-time reduces to *Convex Hull*.

**Proposition.** *Convex Hull* linear-time reduces to *Sort*.

**Conclusion.** *Sort* and *Convex Hull* have the same complexity.

**A possible real-world scenario.**

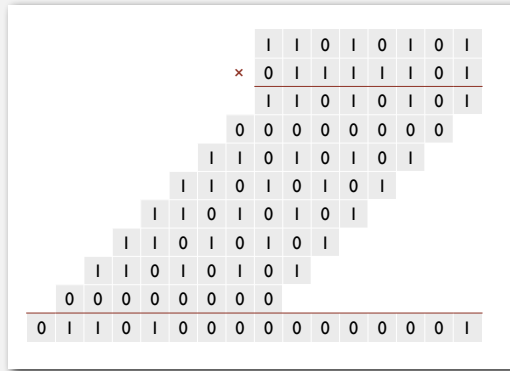
- System designer specs the APIs for project.
- Alice implements `sort()` using `convexHull()`.
- Bob implements `convexHull()` using `sort()`.
- Infinite reduction loop!
- Who's fault?

well, maybe not so realistic

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## Integer arithmetic reductions

**Integer multiplication.** Given two  $N$ -bit integers, compute their product.  
**Brute force.**  $N^2$  bit operations.



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## Integer arithmetic reductions

**Integer multiplication.** Given two  $N$ -bit integers, compute their product.  
**Brute force.**  $N^2$  bit operations.

problem	arithmetic	order of growth
integer multiplication	$a \times b$	$M(N)$
integer division	$a / b, a \bmod b$	$M(N)$
integer square	$a^2$	$M(N)$
integer square root	$\lfloor \sqrt{a} \rfloor$	$M(N)$

integer arithmetic problems with the same complexity as integer multiplication

Q. Is brute-force algorithm optimal?

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## History of complexity of integer multiplication

year	algorithm	order of growth
?	brute force	$N^2$
1962	Karatsuba-Ofman	$N^{1.585}$
1963	Toom-3, Toom-4	$N^{1.465}, N^{1.404}$
1966	Toom-Cook	$N^{1+\epsilon}$
1971	Schönhage-Strassen	$N \log N \log \log N$
2007	Fürer	$N \log N 2^{\log^3 N}$
?	?	$N$

number of bit operations to multiply two  $N$ -bit integers

used in Maple, Mathematica, gcc, cryptography, ...

**Remark.** GNU Multiple Precision Library uses one of five different algorithm depending on size of operands.

**GMP**  
«Arithmetic without limitations»

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## Linear algebra reductions

**Matrix multiplication.** Given two  $N$ -by- $N$  matrices, compute their product.  
**Brute force.**  $N^3$  flops.



$$0.5 \cdot 0.1 + 0.3 \cdot 0.0 + 0.9 \cdot 0.4 + 0.6 \cdot 0.1 = 0.47$$

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## Linear algebra reductions

**Matrix multiplication.** Given two  $N$ -by- $N$  matrices, compute their product.  
**Brute force.**  $N^3$  flops.

problem	linear algebra	order of growth
matrix multiplication	$A \times B$	MM(N)
matrix inversion	$A^{-1}$	MM(N)
determinant	$ A $	MM(N)
system of linear equations	$Ax = b$	MM(N)
LU decomposition	$A = LU$	MM(N)
least squares	$\min \ Ax - b\ _2$	MM(N)

numerical linear algebra problems with the same complexity as matrix multiplication

Q. Is brute-force algorithm optimal?

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## History of complexity of matrix multiplication

year	algorithm	order of growth
?	brute force	$N^3$
1969	Strassen	$N^{2.808}$
1978	Pan	$N^{2.796}$
1979	Bini	$N^{2.780}$
1981	Schönhage	$N^{2.522}$
1982	Romani	$N^{2.517}$
1982	Coppersmith-Winograd	$N^{2.496}$
1986	Strassen	$N^{2.479}$
1989	Coppersmith-Winograd	$N^{2.376}$
2010	Strother	$N^{2.3737}$
2011	Williams	$N^{2.3727}$
?	?	$N^{2 + \epsilon}$

number of floating-point operations to multiply two  $N$ -by- $N$  matrices

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## Birds-eye view: revised

**Desiderata.** Classify **problems** according to computational requirements.

complexity	order of growth	examples
linear	$N$	min, max, median, ...
linearithmic	$N \log N$	sorting, convex hull, closest pair, farthest pair, ...
$M(N)$	?	integer multiplication, division, square root, ...
MM(N)	?	matrix multiplication, $Ax = b$ , least square, determinant, ...
⋮	⋮	⋮
NP-complete	probably not $N^b$	3-SAT, IND-SET, ILP, ...

Good news. Can put many problems into equivalence classes.

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## Summary

Reductions are important in theory to:

- Establish tractability.
- Establish intractability.
- Classify problems according to their computational requirements.

Reductions are important in practice to:

- Design algorithms.
- Design reusable software modules.
  - stacks, queues, priority queues, symbol tables, sets, graphs
  - sorting, regular expressions, Delaunay triangulation
  - MST, shortest path, maxflow, linear programming
- Determine difficulty of your problem and choose the right tool.
  - use exact algorithm for tractable problems
  - use heuristics for intractable problems

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