# CINERAL DEEPLEARNING

#### Lecture #06 – Understanding and Visualizing Convolutional Neural Networks

HACETTEPE UNIVERSITY COMPUTER VISION LAB Erkut Erdem // Hacettepe University // Fall 2023

#### Previously on CMP784

- convolution layer
- design guidelines for CNNs
- CNN architectures
- transfer learning
- semantic segmentation networks
- object detection networks



#### Lecture Overview

- more on transfer learning
- visualizing neuron activations
- visualizing class activations
- pre-images
- adversarial examples
- adversarial training

Disclaimer: Much of the material and slides for this lecture were borrowed from

- -Andrea Vedaldi's tutorial on Understanding Visual Representations
- -Wojciech Samek's talk on Towards explainable Deep Learning
- -Efstratios Gavves and Max Willing's UvA deep learning class
- -Fei-Fei Li, Justin Johnson and Serana Yeung's CS231n class
- -lan Goodfellow's talk on Adversarial Examples and Adversarial Training
- Justin Johnson's EECS 498/598 class

#### Image Representations



- An encoder maps the data into a vectorial representation
- Facilitate labelling of images, text, sound, videos, ...

#### Modern Convolutional Nets



Excellent **performance** in most image understanding tasks

Learn a sequence of **general-purpose representations** 

Millions of parameters learned from data The "**meaning**" of the representation is unclear

#### **Modern Convolutional Nets**



## Transfer Learning with Deep Networks

#### Invariance and Covariance



Matthew D. Zeiler, Rob Fergus. Visualizing and Understanding Convolutional Networks. arXiv 2013.

## Filter Invariance and Equivariance



Mathieu Aubry and Bryan C. Russell. Understanding deep features with computer-generated imagery. ICCV 2015.

Filters learn how different

variances affect appearance

Different layers and different

hierarchies focus on different

#### Filter Invariance and Equivariance



Mathieu Aubry and Bryan C. Russell. Understanding deep features with computer-generated imagery. ICCV 2015.

## Pre-training and Transfer Learning

[Evaluations in A. S. Razavian, 2014, Chatfield et al., 2014]



#### **CNN** as universal representations

- First several layers in most CNNs are generic
- They can be reused when training data is comparatively scarce.

#### Application

- Pre-train on ImageNet classification 1M images
- Cut at some deep conv or FC layer to get features

## **Transfer Learning**



 A general purpose deep encoder is obtained by chopping off the last layers of a CNN trained on a large dataset.

## Transfer Learning with CNNs

- Keep layers 1-7 of our ImageNet-trained model fixed
- Train a new softmax classifier on top using the training images of the new dataset.



#### **CNNs as Filter Banks**

Deep representations used as local features



• In R-CNN and similar models, the most important shared component are the convolutional features.

## Interpretability



Different dimensions of "interpretability"

prediction

"Explain why a certain pattern x has been classified in a certain way f(x)." model data



data

Different dimensions of "interpretability"

#### prediction

"Explain why a certain pattern x has been classified in a certain way f(x)."



#### model

"What would a pattern belonging to a certain category typically look like according to the model."



Different dimensions of "interpretability"

#### prediction

"Explain why a certain pattern x has been classified in a certain way f(x)."



#### model

"What would a pattern belonging to a certain category typically look like according to the model."



#### data

"Which dimensions of the data are most relevant for the task."

#### 1) Verify that classifier works as expected

Wrong decisions can be costly and dangerous

"Autonomous car crashes, because it wrongly recognizes ..."



"Al medical diagnosis system misclassifies patient's disease ..."



2) Improve classifier

Standard ML Interpretable ML model/data improvement data data human inspection ML ML interpremodel model tability predictions verified predictions Generalization error Generalization error + human experience



#### 3) Learn from the learning machine

"It's not a human move. I've never seen a human play this move." (Fan Hui)



Old promise:

"Learn about the human brain."



#### 4) Interpretability in the sciences



C<sub>6</sub>H<sub>5</sub>U, 13

5) Compliance to legislation

European Union's new General \_\_\_\_\_\_ "right to explanation"

Retain human decision in order to assign responsibility.

"With interpretability we can ensure that ML models work in compliance to proposed legislation."



Find the input pattern that maximizes class probability. Find the most likely input pattern for a given class.

Explain individual prediction.

#### • Finding a prototype:





#### Individual explanation:



## Some Approaches

- Visualize patches that maximally activate neurons
- Visualize the weights
- Visualize the representation space (e.g. with t-SNE)
- Occlusion experiments
- Human experiment comparisons
- Deconv approaches (single backward pass)
- Optimization over image approaches (optimization)

## Visualize patches that maximally activate neurons

2006 . 2006 Ш

Figure 4: Top regions for six pool<sub>5</sub> units. Receptive fields and activation values are drawn in white. Some units are aligned to concepts, such as people (row 1) or text (4). Other units capture texture and material properties, such as dot arrays (2) and specular reflections (6).

Rich feature hierarchies for accurate object detection and semantic segmentation [Girshick, Donahue, Darrell, Malik]

one-stream AlexNet



#### First Layer: Visualize Filters



#### First Layer: Visualize Filters



AlexNet: 64 x 3 x 11 x 11

ResNet-101: 64 x 3 x 7 x 7

DenseNet-121: 64 x 3 x 7 x 7



Krizhevsky, "One weird trick for parallelizing convolutional neural networks", arXiv 2014 He et al, "Deep Residual Learning for Image Recognition", CVPR 2016 Huang et al, "Densely Connected Convolutional Networks", CVPR 2017

#### Higher Layers: Visualize Filters

you can still do it for higher layers, it's just not that interesting

(these are taken from ConvNetJS CIFAR-10 demo) Weights: layer 1 weights Weights: 如果我父亲没法师)(你自己的自己的爱爱的事实是没有的)(你不是我们的吗 · 模式)(當時你兒爹的聖阿克的家名樂爹为約的)(論約這些認識與發展的學師讓感覺)(專問書店的 商品的原因的性质的主要)(可能因为自然的资源的资源的资源的资源的资源的资源的资源的资源 建苯基苯(國際學校局會會會會會會會會會)(以非可能於專用會會會會會會)(關係處 layer 2 weights 副和田田)(自然自然の学校を完成なない)(自然などのなどのなどのなどの)(国 医胆酸酮疟原)(甘尿胆溶酶尿纤维酶医甘尿酸素原治)(分析的起来的脂肪 (夏秋日日町町) Weights: ■天静器的型质用就是起来提供)(日本注意的是这种形式是少) 船)(團團 新国和美国美国国际教会会 ) (新聞教育教会会会会会会会会会会会会会会会会会) 当星)(開閉 layer 3 weights 海棠堂)(總基與總法總澤德與思樂於後別的醫院和原則)(出於法律連進醫護醫員的以保於論你 周期経済法法)(環境基本部務局局局施済施設施設設施)(現象通貨運行施設部分のおび運 非常非可能成功)(如何是自然性爱爱的教育性感觉和思想是否)(在生活的必要是是非可能 · 如何可能注意问题》("你能会说你可能能能能能能能能能能能能。")(这些能能能是希望的问题 (1) 和 (1) \

#### Last Layer

\_ fc7 layer

4096-dimensional feature vector for an image (layer immediately before the classifier)

Run the network on many images, collect the feature vectors



#### Last Layer: Nearest Neighbors

Test

**Recall**: Nearest neighbors in <u>pixel</u> space



image L2 Nearest neighbors in <u>feature</u> space





## Last Layer: Dimensionality Reduction

#### t-SNE visualization [van der Maaten & Hinton]

- Embed high-dimensional points so that locally, pairwise distances are conserved
- i.e. similar things end up in similar places. dissimilar things end up wherever
- **Right**: Example embedding of MNIST digits (0-9) in 2D



#### t-SNE visualization:

 two images are placed nearby if their CNN codes are close. See more:

http://cs.stanford.edu/people /karpathy/cnnembed/



## t-SNE visualization:


#### Visualize patches that maximally a



- Run many images through the network, record values of chosen channel
- Visualize image patches that correspond to maximal activations



# Which Pixels Matter? Saliency via Occlusion

True Label: Pomeranian True Label: Car Wheel

True Label: Afghan Hound

(d) Classifier, probability of correct class

> (as a function of the position of the square of zeros in the original image)

### Which Pixels Matter? Saliency via Occlusion

Mask part of the image before feeding to CNN, check how much predicted probabilities change









## Which Pixels Matter? Saliency via Occlusion

Mask part of the image before feeding to CNN, check how much predicted probabilities change













African elephant, Loxodonta africana



go-kart







Forward pass: Compute probabilities





K. Simonyan, A. Vedaldi and A. Zisserman. Deep Inside Convolutional Networks: Visualizing Image Class Workshop 2014

Forward pass: Compute probabilities



Compute gradient of (unnormalized) class score with respect to image pixels, take absolute value and max over RGB channels

K. Simonyan, A. Vedaldi and A. Zisserman. Deep Inside Convolutional Networks: Visualizing Image Class Workshop 2014



- Given the "monkey" class, what are the most "monkey-ish" parts in my image?
- Approximate  $S_c$  around an initial point  $I_0$ with the first order Taylor expansion  $S_c(I)|_{I_0} \approx w^T I + b$ , where  $w = \frac{\partial S_c}{\partial I}|_{I_0}$



from backpropagation

– Solution is locally optimal









#### Saliency Maps: Segmentation without Supervision



Use GrabCut on saliency map

### Intermediate Features via (guide



Pick a single intermediate neuron, e.g. one value in 128 x 13 x 13 conv5 feature map

Compute gradient of neuron value with respect to image pixels



### Intermediate Features via (guide



Pick a single intermediate neuron, e.g. one value in  $128 \times 13 \times 13$  conv5 feature map

Compute gradient of neuron value with respect to image pixels



### Intermediate Features via (guided) backprop



Maximally activating patches (Each row is a different neuron)



Guided Backprop

### Intermediate Features via (guided) backprop







#### Guided Backprop

# Visualizing arbitrary neurons along the way to the top...



# Visualizing arbitrary neurons along the way to the top...



Visualizing arbitrary neurons along the way to the top...







$$F_k = \frac{1}{HW} \sum_{h,w} f_{h,w,k}$$



$$F_k = \frac{1}{HW} \sum_{h,w} f_{h,w,k} \quad S_c = \sum_k w_{k,c} F_k$$











Class activation maps of top 5 predictions



Class activation maps for one object class

#### Problem: Can only apply to last conv layer



Class activation maps of top 5 predictions

Class activation maps for one object class

1. Pick any layer, with activations  $A \in \mathbb{R}^{H \times W \times K}$ 

- 1. Pick any layer, with activations  $A \in \mathbb{R}^{H \times W \times K}$
- 2. Compute gradient of class score  $S_c$  with respect to A:

$$\frac{\partial S_c}{\partial A} \in \mathbb{R}^{H \times W \times K}$$

- 1. Pick any layer, with activations  $A \in \mathbb{R}^{H \times W \times K}$
- 2. Compute gradient of class score  $S_c$  with respect to A:

 $\frac{\partial S_c}{\partial A} \in \mathbb{R}^{H \times W \times K}$ 

3. Global Average Pool the gradients to get weights  $\alpha \in \mathbb{R}^{K}$ :

$$\alpha_k = \frac{1}{HW} \sum\nolimits_{h,w} \frac{\partial S_c}{\partial A_{h,w,k}}$$

1. Pick any layer, with activations  $A \in \mathbb{R}^{H \times W \times K}$ 

2. Compute gradient of class score  $S_c$  with respect to A:

3. Global Average Pool the gradients to get weights  $\alpha \in \mathbb{R}^{K}$ :

 $\frac{\partial S_c}{\partial A} \in \mathbb{R}^{H \times W \times K}$ 

$$\alpha_{k} = \frac{1}{HW} \sum_{h,w} \frac{\partial S_{c}}{\partial A_{h,w,k}}$$
4. Compute activation map  $M^{c} \in \mathbb{R}^{H,W}$ :  
 $M_{h,w}^{c} = ReLU\left(\sum_{k} \alpha_{k} A_{h,w,k}\right)$ 





(j)Guided Grad-CAM 'Dog' (k) Occlusion map for 'Dog' (l)ResNet Grad-CAM 'Dog'

Can also be applied beyond classification models, e.g. image captioning



A group of people flying kites on a beach

A man is sitting at a table with a pizza

### Visualizing CNN Features: Gradient Ascent

#### (Guided) backprop:

Find the part of an image that a neuron responds to

#### Gradient ascent:

Generate a synthetic image that maximally activates a neuron



## Visualizing CNN Features: Gradient Ascent

1. Initialize image to zeros



#### Score for class c (before Softmax)



Repeat:

- 2. Forward image to compute current scores
- 3. Backprop to get gradient of neuron value with respect to image pixels
- 4. Make a small update to the image

### Visualizing CNN Features: Gradient Ascent

$$\arg\max_{I} S_{c}(I) - \lambda \|I\|_{2}^{2}$$

Simple regularizer: Penalize L2 norm of generated image
$$\arg\max_{I} S_{c}(I) - \lambda \|I\|_{2}^{2}$$

Simple regularizer: Penalize L2 norm of generated image



K. Simonyan, A. Vedaldi and A. Zisserman. Deep Inside Convolutional Networks: Visualizing Image Classification Models and Saliency Maps. ICLR Workshop 2014

$$\arg\max_{I} S_{c}(I) - \lambda \|I\|_{2}^{2}$$

Simple regularizer: Penalize L2 norm of generated image





K. Simonyan, A. Vedaldi and A. Zisserman. Deep Inside Convolutional Networks: Visualizing Image Classification Models and Saliency Maps. ICLR Workshop 2014

$$\arg\max_{I} S_{c}(I) - \lambda \|I\|_{2}^{2}$$

Better regularizer: Penalize L2 norm of image; also during optimization periodically

Gaussian blur image
Clip pixels with small values to 0
Clip pixels with small gradients to 0

$$\arg\max_{I} S_{c}(I) - \lambda \|I\|_{2}^{2}$$

Better regularizer: Penalize L2 norm of image; also during optimization periodically

Gaussian blur image
Clip pixels with small values to 0
Clip pixels with small gradients to 0



Flamingo



Ground Beetle



Pelican



Indian Cobra

$$\arg\max_{I} S_{c}(I) - \lambda \|I\|_{2}^{2}$$

Better regularizer: Penalize L2 norm of image; also during optimization periodically

Gaussian blur image
Clip pixels with small values to 0
Clip pixels with small gradients to 0



Hartebeest



Station Wagon



**Billiard Table** 



Black Swan

Use the same approach to visualize intermediate features



Yosinski et al, "Understanding Neural Networks Through Deep Visualization", ICML DL Workshop 2014.

## Network Comparison

VGV2GN24-M "conv feature

VGG-VD



## **Deep Visualization Toolbox**

#### yosinski.com/deepvis

#### #deepvis



Jason Yosinski



Jeff Clune



Anh Nguyen



Thomas Fuchs



Hod Lipson







## **Activation Maximization**

• Look for an image that maximally activates a **specific feature component** 















Adding "multi-faceted" visualization gives even nicer results: (Plus more careful regularization, center-bias)

Reconstructions of multiple feature types (facets) recognized by the same "grocery store" neuron



Corresponding example training set images recognized by the same neuron as in the "grocery store" class



Nguyen et al, "Multifaceted Feature Visualization: Uncovering the Different Types of Features Learned By Each Neuron in Deep Neural Networks", ICML Visualization for Deep Learning Workshop 2016.



Nguyen et al, "Multifaceted Feature Visualization: Uncovering the Different Types of Features Learned By Each Neuron in Deep Neural Networks", ICML Visualization for Deep Learning Workshop 2016.

# Understanding the Model: Pre-Images



# Understanding the Model: Pre-Images

Image Space

**Representation Space** 



• The reconstruction ambiguity **provides useful information about the representation** 

# A simple yet general and effective method $\min_{\substack{\mathbf{x} \\ \mathbf{x}}} \|\Phi(\mathbf{x}) - \Phi_0\|_2^2 \\ \min_{\mathbf{x}} \|\Phi(\mathbf{x}) - \Phi_0\|_2^2$



Image Representation

# A simple yet general and effective method $\min_{\substack{\mathbf{x} \\ \mathbf{x} \\ \mathbf{x}}} \|\Phi(\mathbf{x}) - \Phi_0\|_2^2$ $\min_{\mathbf{x}} \|\Phi(\mathbf{x}) - \Phi_0\|_2^2$



- Start from random noise
- Optimize using stochastic gradient descent

A simple yet general and effective method  $\min_{\mathbf{x}} \|\Phi(\mathbf{x}) - \Phi_0\|_2^2 + R_{TV}(\mathbf{x}) + R_{\alpha}(\mathbf{x})$   $\min_{\mathbf{x}} \|\Phi(\mathbf{x}) - \Phi_0\|_2^2$ 

No prior





A simple yet general and effective method  $\min_{\mathbf{x}} \|\Phi(\mathbf{x}) - \Phi_0\|_2^2 + R_{TV}(\mathbf{x}) + R_\alpha(\mathbf{x})$   $\min_{\mathbf{x}} \|\Phi(\mathbf{x}) - \Phi_0\|_2^2 + R_{TV}(\mathbf{x})$ 

No prior

#### TV-norm $\beta = 1$





TV-norm  $\beta = 2$ 



# Inverting a Deep CNN

AlexNet [Krizhevsky et al. 2012]



# Inverting a Deep CNN is conv 1 conv 2 conv 3 conv 4 conv 5 fc 6 fc 7 fc 8





# Inverting a Deep CNN of the second se





# Inverting a Deep CNN of the second se





# Inverting a Deep CNN [1] [2





# Inverting a Deep CNN of the term of te





# Inverting a Deep CNN of the term of term o





#### 





# Inverting a Deep CNN [1] [2





# Inverting a Deep CNN of the term of term o





# Inverting a Deep CNN of the term of term o





#### 





#### 




#### 





# Inverting a Deep CNN of the test of test o





# Inverting a Deep CNN of the second se





#### 





# Inverting a Deep CNN of the test of te





#### 





# Inverting a Deep CNN of the term of term o





#### 





# Inverting a Deep CNN [1] [2



Original Image





### Multiple reconstructions. Images in quadrants all "look" the same to the CNN (same code)



## Inverting Visual Representations with Convolutional Networks [Dosovitskiy and Brox2016]

Minimize mean squared error:

 $\mathbb{E}_{\mathbf{x},\boldsymbol{\phi}} ||\mathbf{x} - f(\boldsymbol{\phi})||^2$ 

Pre-image as the conditional expectation:

 $\hat{f}(\boldsymbol{\phi}_0) = \mathbb{E}_{\mathbf{x}} \left[ \mathbf{x} \, | \, \boldsymbol{\phi} = \boldsymbol{\phi}_0 \right],$ 

Given a training set of images and their features, learn weights of an deconvolutional network:

$$\hat{\mathbf{w}} = \arg\min_{\mathbf{w}} \sum_{i} ||\mathbf{x}_i - f(\boldsymbol{\phi}_i, \mathbf{w})||_2^2$$



## Visualizing CNN Features: Gradient Ascent





Employs auto-encoder and generative adversarial network components







volcano

## Visualizing CNN Features: Gradient Ascent



## Caricaturization

[Google Inceptionism 2015, Mahendran et al. 2015]

• Emphasize patterns that are detected by a certain representation

$$\min_{\mathbf{x}} - \langle \Phi(\mathbf{x}_0), \Phi(\mathbf{x}) \rangle + R_{TV}(\mathbf{x}) + R_{\alpha}(\mathbf{x})$$

- Key differences:
  - The starting point is the image  $\mathbf{x}_0$
  - particular configurations of features are emphasized, not individual features

## Results (VGG-M)



conv4







123

## Results (VGG-M)



fc8







.24

# Interlude: Neural Art

• Surprisingly, the filters learned by discriminative neural networks capture well the "style" of an image.

This can be used to transfer the style of an image (e.g. a painting) to any other.

#### **Optimization based**

• L. A. Gatys, A. S. Ecker, and M. Bethge. Texture synthesis and the controlled generation of natural stimuli using convolutional neural networks. In Proc. NIPS, 2015.

#### Feed-forward neural network equivalents

- D. Ulyanov, V. Lebedev, A. Vedaldi, and V. Lempitsky. Texture networks: Feedforward synthesis of textures and stylized images. Proc. ICML, 2016.
- J. Johnson, A. Alahi, and L. Fei-Fei. Perceptual losses for real-time style transfer and super-resolution. In Proc. ECCV, 2016.

# Generation by Moment Matching

























#### Artistic style transfer for videos

Manuel Ruder Alexey Dosovitskiy Thomas Brox

University of Freiburg Chair of Pattern Recognition and Image Processing

https://www.youtube.com/watch?v=Khuj4ASIdmU

# Fooling Deep Networks

# Since 2013, deep neural networks have matched human performance at...



(Szegedy et al, 2014)

...recognizing objects and faces....



(Taigmen et al, 2013)



(Goodfellow et al, 2013)

...solving CAPTCHAS and reading addresses...



(Goodfellow et al, 2013)

and other tasks...

# Fooling images

- What if we follow a similar procedure but with a different goal
- Generate "visually random" images
  - Images that make a lot of sense to a Convnet but no sense at all to us
- Or, assume we make very small changes to a picture (invisible to the naked eye)
  - Is a convnet always invariant to these changes?
  - Or could it be fooled?

# **Adversarial Examples**

- 1. Start from an arbitrary image
- 2. Pick an arbitrary category
- 3. Modify the image (via gradient ascent) to maximize the class score
- 4. Stop when the network is fooled

# Adversarial Examples

African elephant



schooner



koala



iPod



Difference



Difference



10x Difference



10x Difference



# **Adversarial Attacks and Defense**

Adversarial Attack: Method for generating adversarial examples for a network

Adversarial Defense: Change to network architecture, training, etc that make it harder to attack
# **Adversarial Attacks and Defense**

Adversarial Attack: Method for generating adversarial examples for a network — Easy

Adversarial Defense: Change to network architecture, training, etc that make it harder to attack — Hard

# **Adversarial Attacks**

White-box attack: We have access to the network architecture and weights. Can get outputs, gradients for arbitrary input images.



# **Adversarial Attacks**

White-box attack: We have access to the network architecture and weights. Can get outputs, gradients for arbitrary input images.



Black-box attack: We don't know network architecture or weights; can only get network predictions for arbitrary input images



P(elephant) = 0.9P(cat) = 0.05

# **Adversarial Examples**

African elephant







10x Difference



#### Huge area of research!

Security concern for networks deployed in the wild

#### Intriguing properties of neural networks [Szegedy et al., 2013]



149

Deep Neural Networks are Easily Fooled: High Confidence Predictions for Unrecognizable Images [Nguyen, Yosinski, Clune, 2014]



Deep Neural Networks are Easily Fooled: High Confidence Predictions for Unrecognizable Images [Nguyen, Yosinski, Clune, 2014]



>99.6% confidences

# Not just for neural nets

- Linear models
  - Logistic regression
  - Softmax regression
  - SVMs
- Decision trees
- Nearest neighbors

# Attacking a Linear Model



- Softmax regression
- Turning "9" into other digits
- Yellow boxes denote misclassifications

Lets fool a binary linear  
classifier: (logistic regression)  
$$P(y=1 \mid x; w, b) = \frac{1}{1+e^{-(w^T x+b)}} = \sigma(w^T x + b)$$



Since the probabilities of class 1 and 0 sum to one, the probability for class 0 is  $P(y=0 \mid x; w, b) = 1 - P(y=1 \mid x; w, b)$ . Hence, an example is classified as a positive example (y = 1) if  $\sigma(w^T x + b) > 0.5$ , or equivalently if the score  $w^T x + b > 0$ .



$$P(y=1 \mid x;w,b) = rac{1}{1+e^{-(w^Tx+b)}} = \sigma(w^Tx+b)$$

class 1 score = dot product:

$$= -2 + 1 + 3 + 2 + 2 - 2 + 1 - 4 - 5 + 1 = -3$$

=> probability of class 1 is  $1/(1+e^{(-(-3))}) = 0.0474$ 

i.e. the classifier is 95% certain that this is class 0 example.

$$P(y=1 \mid x;w,b) = rac{1}{1+e^{-(w^Tx+b)}} = \sigma(w^Tx+b)$$

class 1 score = dot product:

=> probability of class 1 is  $1/(1+e^{(-(-3))}) = 0.0474$ 

i.e. the classifier is 95% certain that this is class 0 example.

$$P(y=1 \mid x;w,b) = rac{1}{1+e^{-(w^Tx+b)}} = \sigma(w^Tx+b)$$

X	2	-1	3	-2	2	2	1	-4	5	1	
W	-1	-1	1	-1	1	-1	1	1	-1	1	
adversarial <b>x</b>	1.5	-1.5	3.5	-2.5	2.5	1.5	1.5	-3.5	4.5	1.5	
class 1 score before:											

-2 + 1 + 3 + 2 + 2 - 2 + 1 - 4 - 5 + 1 = -3

=> probability of class 1 is  $1/(1+e^{(-(-3))}) = 0.0474$ -1.5+1.5+3.5+2.5+2.5+1.5+1.5-3.5-4.5+1.5 = 2  $P(y=1 \mid x; w, b) = \frac{1}{1+e^{-(w^T x+b)}} = \sigma(w^T x + b)$ 

=> probability of class 1 is now  $1/(1+e^{-(-2))}) = 0.88$ 

i.e. we improved the class 1 probability from 5% to 88%

X
2
-1
3
-2
2
2
1
-4
5
1

W
-1
-1
1
-1
1
-1
1
1
1
1
1

adversarial x
1.5
-1.5
3.5
-2.5
2.5
1.5
1.5
-3.5
4.5
1.5

class 1 score before:
-2 + 1 + 3 + 2 + 2 - 2 + 1 - 4 - 5 + 1 = -3
=> probability of class 1 is 
$$1/(1 + e^{(-(-3)))} = 0.0474$$
This input 224x

-1.5+1.5+3.5+2.5+2.5-1.5+1.5-3.5-4.5+1.5 = 2=> probability of class 1 is now 1/(1+e^(-(2))) = 0.88

i.e. we improved the class 1 probability from 5% to 88% (It's significantly easier

This was only with 10 input dimensions. A 224x224 input image has 150,528.

with more numbers, need

smaller nudge for each)

## Blog post: Breaking Linear Classifiers on ImageNet

Recall CIFAR-10 linear classifiers:



ImageNet classifiers:



http://karpathy.github.io/2015/03/30/breaking-convnets/

#### mix in a tiny bit of Goldfish classifier weights



100% Goldfish







8.0% goldfish



1.0% kit fox



8.3% goldfish







3.9% school bus



12.5% daisy



# Adversarial Examples from Overfitting



# Adversarial Examples from Excessive Linearity



# Modern deep nets are very piecewise linear



## The Fast Gradient Sign Method

$$J(\tilde{\boldsymbol{x}}, \boldsymbol{\theta}) \approx J(\boldsymbol{x}, \boldsymbol{\theta}) + (\tilde{\boldsymbol{x}} - \boldsymbol{x})^{\top} \nabla_{\boldsymbol{x}} J(\boldsymbol{x}).$$

Maximize

$$J(\boldsymbol{x}, \boldsymbol{\theta}) + (\tilde{\boldsymbol{x}} - \boldsymbol{x})^\top \nabla_{\boldsymbol{x}} J(\boldsymbol{x})$$

subject to

$$\|\tilde{\boldsymbol{x}} - \boldsymbol{x}\|_{\infty} \leq \epsilon$$
  
 $\Rightarrow \tilde{\boldsymbol{x}} = \boldsymbol{x} + \epsilon \operatorname{sign} \left( \nabla_{\boldsymbol{x}} J(\boldsymbol{x}) \right).$ 

Adversarial examples in the physical world - Kurakin, et al - 2016 Explaining and Harnessing Adversarial Examples - Goodfellow, et al - 2014

# **Adversarial Examples**



 $+.007 \times$ 



"panda" 57.7% confidence



"gibbon" 99.3 % confidence



 $\boldsymbol{X}^{adv} = \boldsymbol{X} + \epsilon \operatorname{sign} (\nabla_X J(\boldsymbol{X}, y_{true}))$ 

Score of label  $y_{true}$ , given input image X

Adversarial examples in the physical world - Kurakin, et al - 2016 Explaining and Harnessing Adversarial Examples - Goodfellow, et al - 2014

# Adversarial Examples that Fool both Human and Computer Vision



Left: An image of a cat Right: The same image after it has been adversarially

perturbed to look like a dog

(Elsayed et al., 2018)

# **Practical Attacks**

• Fool real classifiers trained by remotely hosted API (MetaMind, Amazon, Google)

• Fool malware detector networks

• Display adversarial examples in the physical world and fool machine learning systems that perceive them through a camera

# Adversarial Examples in the Physical World



Adversarial examples in the physical world - Kurakin, et al - 2016

## Hypothetical Attacks on Autonomous Vehicles



## Physical Adversarial Examples

- Physical adversarial examples against the YOLO detector
- Adversarial examples take the form of sticker perturbations that are apply to a real STOP sign



train

# **Audio Adversarial Examples**

 targeted audio adversarial examples on speech-to-text transcription neural networks



"without the dataset the article is useless"

"okay google browse to evil dot com"



https://nicholas.carlini.com/code/audio\_adversarial\_examples/

Figure credit: N. Carlini and D. Wagner 174

# Failed defenses

Generative pretraining		Removing perturbation with an autoencoder				
Add at t	ding noise est time Ei	nsembles	sembles			
Confidence-reducin perturbation at test	g time Multiple	Error correcting codes				
Weight decay		9				
Various non-linear units	Double backprop Dropout	Ado at t	ding noise rain time			

# **Adversarial Training**



Decrease probability of bird class

# Still has same label (bird)

Adversarial examples in the physical world - Kurakin, et al - 2016

# Virtual Adversarial Training

Unlabeled; model guesses it's probably a bird, maybe a plane



Adversarial perturbation intended to change the guess

New guess should match old guess (probably bird, maybe plane)



Adversarial examples in the physical world - Kurakin, et al - 2016

# **Training on Adversarial Examples**



# Adversarial Training of other Models

- Linear models: SVM / linear regression cannot learn a step function, so adversarial training is less useful, very similar to weight decay
- k-NN: adversarial training is prone to overfitting.

• Takeway: neural nets can actually become more secure than other models. Adversarially trained neural nets have the best empirical success rate on adversarial examples of any machine learning model.

# **Next lecture:** Recurrent Neural Networks