

# Training of Fuzzy Inference Systems by Combining Variable Structure Systems Technique and Levenberg-Marquardt Algorithm

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**Abstract:** This paper presents a novel training algorithm for fuzzy inference systems. The algorithm combines the Levenberg-Marquardt algorithm with variable structure systems approach. The combination is performed by expressing the parameter update rule in continuous time and application of sliding control method to the gradient based training procedure. In this paper, it is discussed that a fuzzy inference mechanism can be trained such that the adjustable parameter values are forced to settle down (parameter stabilization) while minimizing an appropriate cost function (cost optimization). In the application example, control of a two degrees of freedom direct drive SCARA robotic manipulator is considered. As the controller, a standard fuzzy system architecture is used and the parameter tuning is performed by the proposed algorithm.

## I. INTRODUCTION

Twentieth century has witnessed widespread innovations in both hardware and software design. In the first half of the century, the emphasis was mainly on the development of accurate mechanical component design, whereas in the second half, new technologies emerged together with new needs and new directions in industry. The development of fast microprocessors enabled the design and implementation of *Expert-Machine Interaction* based computation environments. Ever increasing needs brought about by the multidimensionality of the problem space and time-varying behavior of real-life physical systems further required to reduce the role of expert and to increase the role of machine. A natural consequence of this rapid growth is the emergence of the field of intelligent systems, which use the techniques of soft computing.

Soft computing is a practical framework for solving complex problems through the use of human expertise and a priori knowledge about the problem in hand. *Fuzzy Inference Systems* are the most popular constituent of the soft computing area because of their ability to represent human expertise in the form of *IF antecedent THEN consequent* statements. In this domain, the system behavior is modeled through the use of linguistic descriptions. Although the earliest work by Prof. Zadeh on fuzzy systems has not been paid as

much attention as it deserved in early 1960s, since then the methodology has become a well-developed framework. The typical architectures of fuzzy inference systems are those introduced by Wang [1,2], Takagi and Sugeno [3], and Jang [4]. In [2], a fuzzy system having Gaussian membership functions, product inference rule and weighted average defuzzifier is constructed and has become the standard method in most applications. Takagi and Sugeno change the defuzzification procedure where dynamic systems are introduced as defuzzification subsystems. The potential advantage of the method is that, under certain constraints, the stability of the system can be studied. Jang *et al* [4] propose an adaptive neuro fuzzy inference system, in which a polynomial is used as the defuzzifier. This structure is commonly referred to as ANFIS in the related literature. The choice concerning the order of the polynomial and the variables to be used in the defuzzifier are left to the designer.

In control engineering practice, stability and robustness are of crucial importance. Because of this, the implementation-oriented control engineering expert is always in pursuit of a design, which provide accuracy as well as insensitivity to environmental disturbances and structural uncertainties. At this point, it must be emphasized that these ambiguities can never be modeled accurately. When the designer tries to minimize the ambiguities by the use of a detailed model, then the design becomes so tedious that its cost increases dramatically. A suitable way of tackling with uncertainties without the use of complicated models is to introduce Variable Structure Systems (VSS) theory based components into the system structure.

Variable Structure Control (VSC) has successfully been applied to a wide variety of systems having uncertainties in the representative system models. The philosophy of the control strategy is simple, being based on two goals. First, the system is forced towards a desired dynamics, second, the system is maintained on that differential geometry. In the literature, the former dynamics is named the reaching mode, while the latter is called the sliding mode. The control strategy borrows its name from the latter dynamic behavior, and is called *Sliding Mode Control (SMC)*.

Numerous contributions to VSS theory have been made during the last decade, some of them are as

follows: Hung *et al* [5] has reviewed the control strategy for linear and nonlinear systems. In [5], the switching schemes, putting the differential equations into canonical forms and generating simple SMC based controls are considered in detail. Gao *et al* [6], apply the SMC scheme to robotic manipulators and discuss the quality of the scheme. One of the crucial points in SMC is the selection of the parameters of the sliding surface. Some studies devoted to the adaptive design of sliding surfaces have shown that the performance of control system can be refined by interfacing it with an adaptation mechanism, which regularly redesigns the sliding surface [7,8]. This eventually results in a robust control system. The performance of SMC scheme is proven to be satisfactory in the face of external disturbances and uncertainties in the system model representation. The latest studies consider this robustness property by equipping the system with computationally intelligent methods. In [9] and [10], fuzzy inference systems are proposed for SMC scheme. A standard fuzzy system is studied and the relevant robustness analyses are carried out. Particularly, the work presented in [9] emphasizes that the robustness and stability properties of soft computing based control strategies can be studied through the use SMC theory. It is shown in the paper in this way that the approach is robust i. e. it can compensate the deficiencies caused by poor modeling of plant dynamics and external disturbances.

The objective of this paper is to develop a stable training procedure for fuzzy inference systems, which enforces the adjustable parameters to settle down to a steady state solution while minimizing an appropriate cost function. This is achieved through an appropriate combination of Levenberg-Marquardt algorithm [11] with a parameter stabilizing law.

This paper is organized as follows: The second section summarizes the conventional method followed in Levenberg-Marquardt optimization technique. The third section presents the derivation of parameter stabilizing law. In the fourth section, a standard fuzzy system model is considered and the relevant formulation for the architecture is given. Next section is devoted to the plant to be controlled in this study. This is followed by the simulation studies. Conclusions constitute the last part of the paper.

## II. LEVENBERG-MARQUARDT TRAINING METHOD

Levenberg-Marquardt method is an approximation to Newton's method [11]. The algorithm uses the second order derivatives of the cost function so that a better convergence behavior is observed. In the ordinary gradient method, only the first order derivatives are evaluated and the parameter change information contains solely the direction along which the cost is minimized, whereas the Levenberg-Marquardt technique extracts a better parameter change vector. It is motivated by this problem that, on the cost surface, there may be many solutions leading to the

convergence, raising the possibility of an excessively long time to reach to the solution. The algorithm can be stated as follows.

$$e = d - F(\phi, u) \quad (1)$$

$$J = \frac{1}{2} e^2 \quad (2)$$

$$\Delta\phi = -\left(\nabla^2 J(\phi)\right)^{-1} \nabla J(\phi) \quad (3)$$

Where  $\nabla^2 J(\phi)$  is the Hessian matrix and  $\nabla J(\phi)$  is the gradient relevant to the cost of (2). The observation error in (1) is used to minimize the cost function in (2) by utilizing the rule described by (3). The objective is to minimize instantaneous cost defined by (2). If the Taylor series expansion is applied to  $e(\phi)$  around the operating point, the first derivatives result in the Jacobian given by (4).

$$J_s = \begin{bmatrix} \frac{\partial e_1}{\partial \phi_1} & \dots & \frac{\partial e_1}{\partial \phi_B} \\ \vdots & \ddots & \vdots \\ \frac{\partial e_L}{\partial \phi_1} & \dots & \frac{\partial e_L}{\partial \phi_B} \end{bmatrix} \quad (4)$$

In (4),  $B$  is the number of adjustable parameters and  $L$  is the number of outputs. The final form of the parameter update-algorithm is described by (5) and the details are presented in [11].

$$\Delta\phi = N_\phi = -\left(J_s^T J_s + \mu I\right)^{-1} J_s^T e \quad (5)$$

For large  $\mu$ , the update formula given by (5) becomes the standard gradient descent with stepsize  $1/\mu$ , conversely for small  $\mu$ , the behavior is as that of Newton's method. Therefore, by the introduction of such a term, a smooth transition between Newton's method and steepest descent is achieved. Furthermore, this term introduces the elimination of invertibility problem in (5).

## III. DERIVATION OF THE PARAMETER STABILIZING LAW BY USING VARIABLE STRUCTURE SYSTEMS APPROACH

If the formula given in (5) is assumed to be activated at integer multiples of the sampling period  $T_s$ , the dynamic behavior of the parameter change can be formulated as given in (6) by utilizing Euler's first order approximation.

$$\dot{\phi} = -\frac{1}{T_s} \Delta\phi + \frac{\eta_\phi}{T_s} N_\phi \quad (6)$$

In above, the evaluated parameter change is multiplied by a scaling factor denoted by  $\eta_\phi$  for the selection of which a detailed analysis is presented in the subsequent discussion. It is to be noted that since (6) is based on the update formula of (3), the term  $T_s$  can drop out from the equations.

$$\Delta\phi(k) = \eta_\phi N_\phi(k) \quad (7)$$

In (7), the equivalency between the continuous and discrete forms of update dynamics is thus clarified. In the derivation of parameter stabilizing part of the eventual update formula, equations (6) and (7) are used, whereas the cost minimizing part will use solely the original form of the update mechanism given by (5). In this study, each adjustable parameter is assigned a switching function described by (8), which is for a generic parameter  $\phi$ , and the switching scheme, which is adopted for all design parameters in the architecture, is described by (9).

$$s_\phi = \Delta\phi \quad (8)$$

$$\dot{s}_\phi = -\tilde{Q}_\phi \tanh\left(\frac{s_\phi}{\varepsilon}\right) - \tilde{K}_\phi s_\phi = \Delta\dot{\phi} \quad (9)$$

Equating (9) and (6) and solving for  $\Delta\phi$  yields the following;

$$\Delta\phi = \eta_\phi N_\phi + T_s \tilde{Q}_\phi \tanh\left(\frac{s_\phi}{\varepsilon}\right) + T_s \tilde{K}_\phi s_\phi \quad (10)$$

Define the following quantities,

$$Q_\phi = T_s \tilde{Q}_\phi \text{ and } K_\phi = T_s \tilde{K}_\phi \quad (11)$$

$$\Delta\phi = \eta_\phi N_\phi + Q_\phi \tanh\left(\frac{\Delta\phi}{\varepsilon}\right) + K_\phi \Delta\phi \quad (12)$$

With the solution given in (12), the update dynamics is forced to behave as that defined by (9), which is actually a stable dynamics defined by the adopted switching function. In the derivations presented below, a key point is the fact that the system described by (6) is also driven by  $\eta_\phi$ , which is known as learning rate in the related literature. Now we prove that some special selection of this quantity leads to the parameter stabilizing rule. Let us define the following quantity for keeping analytic comprehensibility;

$$A_\phi = Q_\phi \tanh\left(\frac{\Delta\phi}{\varepsilon}\right) + K_\phi \Delta\phi \quad (13)$$

Now we have a model described by (6), and a solution formulated by (12). If one chooses a positive definite Lyapunov function as given by (14), the time derivative of this function must be negative definite for stability of parameter change ( $\Delta\phi$ ) dynamics. Clearly the stability in parameter change space implies the convergence in system parameters.

$$V = \frac{1}{2} s_\phi^2 = \frac{1}{2} (\Delta\phi)^2 \quad (14)$$

$$\dot{V} = (\Delta\phi)(\Delta\dot{\phi}) \quad (15)$$

If (6) and (12) are substituted into (15), the constraint stated in (16) is obtained for stability in the Lyapunov sense.

$$\eta_\phi^2 + \frac{1}{N_\phi} (A_\phi - \Delta\phi) \eta_\phi - \frac{1}{N_\phi^2} A_\phi \Delta\phi < 0 \quad (16)$$

Equation (16) can be rewritten in a more tractable form as follows.

$$\left(\eta_\phi + \frac{1}{N_\phi} A_\phi\right) \left(\eta_\phi - \frac{1}{N_\phi} \Delta\phi\right) < 0 \quad (17)$$

Since  $A_\phi$  and  $\Delta\phi$  have the same signs, the roots of the expression (17) clearly have opposite signs. The expression on the left-hand side assumes negative values between the roots. Therefore, in order to satisfy the inequality (17), the learning rate must satisfy the constraint given by (18).

$$0 < \eta_\phi < \min \left\{ \left| \frac{1}{N_\phi} \Delta\phi \right|, \left| -\frac{1}{N_\phi} A_\phi \right| \right\} \quad (18)$$

In (18), the interval of learning rate is restricted to positive values. This is due to preserve the compatibility between the gradient-based approaches and the proposed approach. An appropriate selection of  $\eta_\phi$  could be as follows:

$$\eta = \beta \min \left\{ \left| \frac{1}{N} \Delta\phi \right|, \left| -\frac{1}{N} A_\phi \right| \right\}, \quad 0 < \beta < 1 \quad (19)$$

By substituting the learning rate formulated in (18) into the stabilizing solution given in (11), the stabilizing component of the parameter change formula reduces to (20).

$$\Delta\phi_{VSS} = \beta \min \left( |\Delta\phi|, |A_\phi| \right) \text{sgn}(N_\phi) + A_\phi \quad (20)$$

The law introduced in (20) minimizes the cost of stability, which is the Lyapunov function defined by (14). The question now reduces to the following; can the cost defined by (2) be minimized by this rule? The answer is obviously not, because the stabilizing information is derived from the displacement of parameter vector denoted by  $\Delta\phi$ , whereas the minimization of (2) is achieved when  $\phi$  tends to  $\phi^*$  regardless of what the displacement is. Therefore the rule formulated in (20) needs a final modification. In order to minimize (2), the parameter change anticipated by Levenberg-Marquardt optimization technique, which is reviewed in the second section, should somehow be integrated into the final form of parameter update mechanism. As introduced in the second section, Levenberg-Marquardt algorithm (LM) evaluates a parameter change as given by (21).

$$\Delta\phi_{LM} = N_\phi \quad (21)$$

Combining the laws formulated in (20) and (21) in a weighted average, the parameter update law of (22) is obtained.

$$\Delta\phi = \frac{\alpha_1 \Delta\phi_{VSS} + \alpha_2 \Delta\phi_{LM}}{\alpha_1 + \alpha_2} \quad (22)$$

The parameter update formula given by (22) carries mixed information containing both the parametric convergence, which is introduced by VSS part, and the

cost minimization, which is due to the Levenberg-Marquardt technique. The balancing in this mixture is left to the designer by an appropriate selection of  $\alpha_1$  and  $\alpha_2$ .

#### IV. APPLICATION TO STANDARD FUZZY SYSTEMS

This section considers the standard fuzzy system approach introduced in [2] as the computationally intelligent architecture. The system that is considered in this study uses bell shaped membership functions as described by (23).

$$\mu_{ij}(u_j) = \frac{1}{1 + \left| \frac{u_j - c_{ij}}{a_{ij}} \right|^{2b_{ij}}} \quad (23)$$

In above,  $c_{ij}$  defines the center of  $i^{\text{th}}$  rule's  $j^{\text{th}}$  membership function,  $a_{ij}$  and  $b_{ij}$  characterize the slope and flatness of that function respectively. The structure of fuzzy system is illustrated in Fig. 1, for which the following type of a rule base structure is adopted.

IF  $u_1$  is  $U_1$  AND  $u_2$  is  $U_2$  AND ... AND  $u_m$  is  $U_m$   
THEN  $F = y_i$

In this representation, lowercase variables denote the inputs; uppercase variables stand for the fuzzy sets corresponding to the domain of each linguistic label. During the simulations,  $c_{ij}$ ,  $a_{ij}$  and  $b_{ij}$  parameters are kept constant and the adaptation is carried out on the  $y$  parameters of defuzzifier. The initial values of the membership functions are selected such that the region of interest is covered appropriately.

The overall realization performed by the system considered is given in (24), where weighted average defuzzifier is used with algebraic product aggregation method.

$$F = \frac{\sum_{i=1}^{\# \text{ Rules}} y_i \prod_{j=1}^{\# \text{ Inputs}} \mu_{ij}(u_j)}{\sum_{i=1}^{\# \text{ Rules}} \prod_{j=1}^{\# \text{ Inputs}} \mu_{ij}(u_j)} = \frac{\sum_{i=1}^{\# \text{ Rules}} y_i w_{ni}}{\sum_{i=1}^{\# \text{ Rules}} w_{ni}} \quad (24)$$

In (24), the vector of firing strengths denoted by  $w$  is normalized and the resulting vector is represented by  $w_n$ .

$$w_{ni} = \frac{\prod_{j=1}^{\# \text{ Inputs}} \mu_{ij}(u_j)}{\sum_{i=1}^{\# \text{ Rules}} \prod_{j=1}^{\# \text{ Inputs}} \mu_{ij}(u_j)} \quad (25)$$

With the definition given in (25), and the realization described by (24), the adjustable parameter set is selected as the  $y$  parameters of the defuzzifier. The Jacobian can now be formulated as given by (26).

$$J_s = -[w_{n1} \quad w_{n2} \quad \dots \quad w_{nR}] \quad (26)$$

The method presented in this paper uses solely the instantaneous observations contrary to what commonly adopted in the literature. Therefore the Jacobian has only one row. By construction of the algorithm presented, the internal parameter  $A_y$  is defined as follows;

$$A_{yi} = Q \tanh \left( \frac{\Delta y_i}{\varepsilon_i} \right) + K \Delta y_i \quad (27)$$

The parameter  $\varepsilon$  that defines the boundary layer is selected as unity for all adjustable parameters and for all simulations presented in this study. The parameter stabilizing law defined in (20) imposes the update rule formulated in (28), whereas the cost minimizing update rule, which is based on the Levenberg-Marquardt method, predicts the necessary parameter change value as described by (29). The final form of the update rule proposed can then be formulated as a weighted average of these two values. This is described by (30).

$$\Delta y_i \text{ VSS} = \beta \min \left( \Delta y_i, |A_{yi}| \right) \text{sgn} \left( N_{yi} \right) + A_{yi} \quad (28)$$

$$\Delta y_i \text{ LM} = N_{yi} \quad (29)$$

$$\Delta y_i = \frac{\alpha_1 \Delta y_i \text{ VSS} + \alpha_2 \Delta y_i \text{ LM}}{\alpha_1 + \alpha_2} \quad (30)$$

#### V. PLANT MODEL

In this study, a two degrees of freedom direct drive robotic manipulator is used as the test bed. Since the dynamics of such a mechatronic system is modeled by nonlinear and coupled differential equations, precise output tracking becomes a difficult objective due to the strong interdependency between the variables involved. Furthermore, the ambiguities concerning the friction related dynamics in the plant model make the design much more complicated. Therefore the methodology adopted must be intelligent in some sense.

The general form of robot dynamics is described by (31) where  $M(\theta)$ ,  $V(\theta, \dot{\theta})$ ,  $\tau(t)$  and  $f$  stand for the state varying inertia matrix, vector of coriolis terms, applied torque inputs and friction terms respectively.

$$M(\theta)\ddot{\theta} + V(\theta, \dot{\theta}) = \tau - f \quad (31)$$

If the angular positions and angular velocities are described as the state variables of the system, four coupled and first order differential equations can define the model. In (32) and (33), the terms seen in (31) are given explicitly.

$$M(\theta) = \begin{bmatrix} p_1 + 2p_3 \cos(\theta_2) & p_2 + p_3 \cos(\theta_2) \\ p_2 + p_3 \cos(\theta_2) & p_2 \end{bmatrix} \quad (32)$$

$$V(\theta, \dot{\theta}) = \begin{bmatrix} -\dot{\theta}_2 (2\dot{\theta}_1 + \dot{\theta}_2) p_3 \sin(\theta_2) \\ \dot{\theta}_1^2 p_3 \sin(\theta_2) \end{bmatrix} \quad (33)$$

In above,  $p_1 = 2.0857$ ,  $p_2 = 0.1168$  and  $p_3 = 0.1630$ . The details of the plant model are presented in [12].

## VI. SIMULATION STUDIES

In the simulation studies presented, the plant introduced in Sec. 5 is controlled by the fuzzy system considered in Sec. 4. The main objective is to keep the update dynamics in a stable region. This is achieved through a suitable combination of Levenberg-Marquardt optimization technique and the strategy based on the variable structure systems approach. The architecture of the control system is illustrated in Fig. 2.

The reference velocity trajectory, described by (34) and depicted in Fig. 3, is used in the simulations with zero initial errors.

$$\dot{\theta}_{d1,2} = \pi(1 - \tanh^2(\pi(t-2))) - \pi(1 - \tanh^2(\pi(t-12))) \quad (34)$$

The results presented concern the adjustment of only the defuzzifier parameters during the learning process and the membership functions are kept constant. The choice on the initial values of the membership function parameters is made so that the region of interest is covered appropriately. In the training of the controller, the squared sum of parametric changes can be defined to be the total cost of stability, which is described by (35).

$$J(t) = \left[ \sum_{j=1}^{Rules} (\Delta y_j(t))^2 \right]_{ELBOW} + \left[ \sum_{j=1}^{Rules} (\Delta y_j(t))^2 \right]_{BASE} \quad (35)$$

The state tracking error and the cost of stability graphs are depicted in Figs. 4 and 5 respectively. It is evident from Fig. 4 that once a fluctuation occurs on the error or rate of error, it is dampened out by the use of VSC philosophy in the learning strategy. The produced control signal is depicted in Fig. 6. In the simulations discussed, the settings used are given in Table 1.

Table 2. The Settings Used in the Simulations

$T_s$	2.5 msec.	$Q$	0.1
$\beta$	0.1	$K$	0.1
$\mu$	1.0	$\epsilon$	1.0
$\alpha_1$	$3.0 \forall i$	#Rules	9 (for each link)
$\alpha_2$	$2.0 \forall i$	#FIS Inputs	2 (for each link)

A remarkable property of the algorithm presented is the fact that it operates on-line. Therefore, the difficulties that are likely to occur in on-line learning and control are alleviated by the robustness provided by VSS technique. Finally, for the evaluation of Jacobian matrix, the conventional Levenberg-Marquardt optimization technique requires the outputs of a computationally intelligent system for a set of input patterns. Therefore the dimensions of the Jacobian increase and the computational cost increases. Since the methodology adopted in this paper utilizes an on-line learning strategy, the response to a single input pattern is sufficient to construct an instant value of Jacobian. This stipulates that the computational burden introduced by the VSS part is compensated by the reduction in Jacobian dimensions. This dimensionality is the fundamental problem in Levenberg-Marquardt

optimization procedure due to the necessity of matrix inversion at each step.

## VII. CONCLUSIONS

In this paper, a novel technique for improving learning performance of computationally intelligent architectures is presented. An approximate model of the Levenberg-Marquardt optimization procedure is constructed and variable structure systems approach is incorporated into the proposed form of the parameter update law. In this procedure, Levenberg-Marquardt optimization method is responsible for the minimization of squared error while the variable structure systems based law is responsible for the stability in the parameter space. The conventional approaches suffer from some handicaps, such as imperfect modeling, noisy observations or time varying parameters. If the effects of these factors are transformed to the cost hypersurface, whose dimensionality is determined by the adjustable design parameters, it is evident that the surface may have directions along which the sensitivity derivatives assume large values. In these cases, Levenberg-Marquardt optimization procedure evaluates large parametric displacements, which can eventually lead to a locally divergent behavior. In control engineering practice, such a behavior constitutes a potential danger from a safety point of view. The approach presented in this paper takes care of the instantaneous fluctuations in parameter space. Since the variable structure systems approach is well known with its robustness property, an appropriate combination of Levenberg-Marquardt optimization technique and variable structure systems can eliminate the handicaps stated in the preceding paragraph. The fluctuations that are most likely to occur in the parameter space during training are dampened out. The combination is therefore a good candidate for efficient parameter tuning. In the application example presented, the results confirm the prominent features of the approach, which are discussed in the previous section. The algorithm is applicable to any neuro-fuzzy system model provided that the model output is differentiable with respect to the parameter of interest.

## VIII. ACKNOWLEDGMENTS

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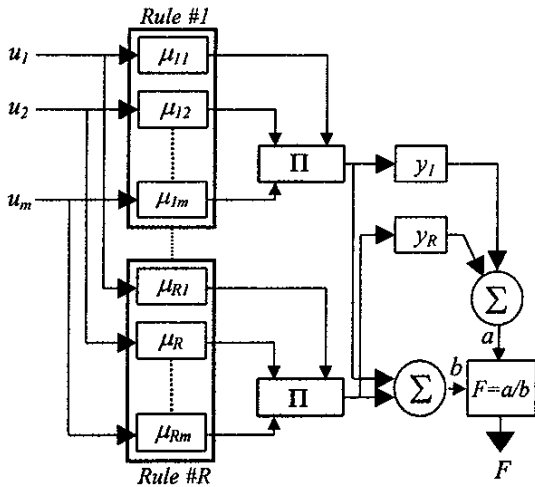


Fig. 1. Architecture of the Fuzzy System

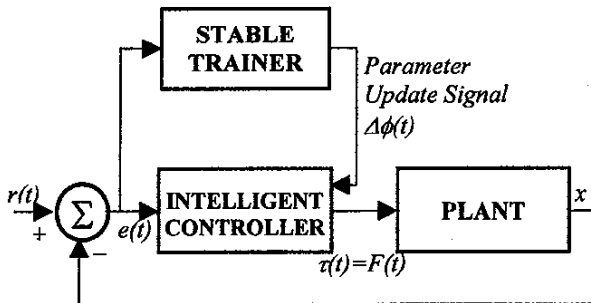


Fig. 2. Architecture of the Control System

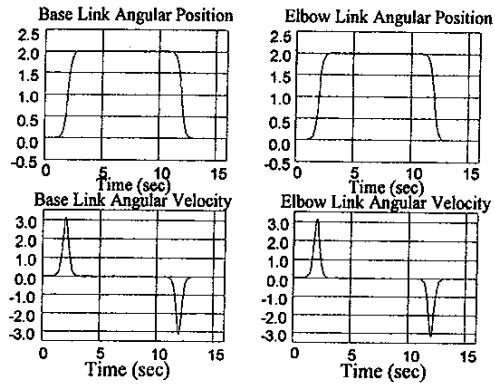


Fig. 3. Applied Reference Trajectory

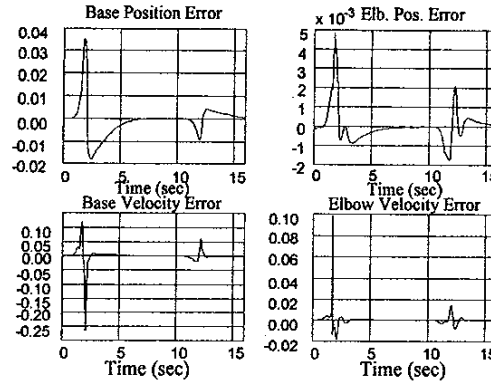


Fig. 4. State Tracking Error Graph

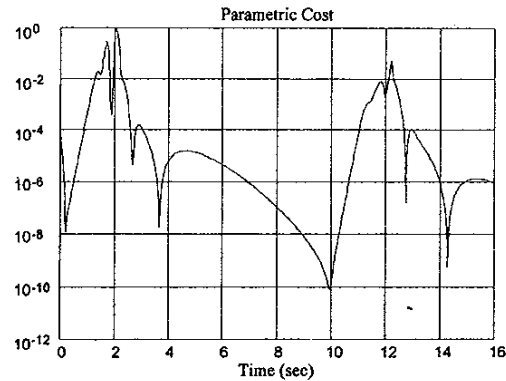


Fig. 5. Parametric Cost Graph

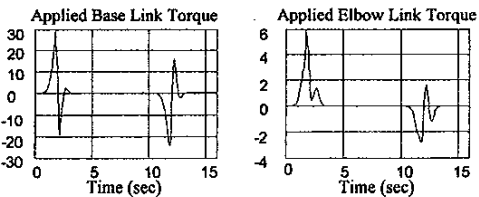


Fig. 6. Applied Control Signals